

Sectioning Protocol Determines Accuracy of Intraoperative Pathological Examination of Sentinel Lymph Node in Cervical Cancer: A Systematic Review and Meta-analysis

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Abstract

Background In cervical cancer, the benefits of sentinel lymph node biopsy (SLNB) have long been confined by the lack of precise intraoperative pathological examination. **Objective** To determine the diagnostic performance and optimal protocol of frozen section examination (FSE) in SLNB for cervical cancer. **Search Strategy** PubMed, EMBASE, Web of Science, Cochrane Library, Wanfang Data and China National Knowledge Infrastructure were searched from inception to July 30, 2019. **Selection Criteria** Studies reporting the data of SLNB combined with FSE in cervical cancer were included. **Data Collection and Analysis** Two independent reviewers extracted the data. Bivariate mixed-effects regression model was applied for analyses. Sensitivity of FSE in detecting SLN metastasis was the primary diagnostic indicator for evaluation. **Main Results** The pooled sensitivity of FSE among 31 eligible studies (1887 patients) was 0.77 (95% CI 0.66–0.85) with high heterogeneity ($I^2=69.73\%$). Two representative FSE protocols were identified from 26 studies, described as equatorial (E-protocol, SLN was bisected) and latitudinal (L-protocol, SLN was cut at intervals). Meta-regression showed that FSE protocol was the only source of heterogeneity ($p<0.001$). The pooled sensitivity was 0.86 (95% CI 0.79–0.91, $I^2=0\%$) and 0.59 (0.46–0.72, $I^2=58.47\%$) for FSE using L- and E- protocol, respectively. The pooled sensitivity of FSE using L-protocol would reach 0.97 (0.89–0.99) if only macrometastases were considered. These findings were robust to sensitivity analyses. **Conclusions** With L-protocol, FSE can provide precise intraoperative pathology for SLNB, which enables immediate decision-making for individualized managements. **Keywords** Cervical cancer, sentinel lymph node, metastasis, frozen section, sensitivity, meta-analysis.

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Running title: Frozen section of sentinel nodes in cervical cancer

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Main Results

The pooled sensitivity of FSE among 31 eligible studies (1887 patients) was 0.77 (95% CI 0.66–0.85) with high heterogeneity ($I^2=69.73\%$). Two representative FSE protocols were identified from 26 studies, described as equatorial (E-protocol, SLN was bisected) and latitudinal (L-protocol, SLN was cut at intervals). Meta-regression showed that FSE protocol was the only source of heterogeneity ($p<0.001$). The pooled sensitivity was 0.86 (95% CI 0.79–0.91, $I^2=0\%$) and 0.59 (0.46–0.72, $I^2=58.47\%$) for FSE using L- and E-protocol, respectively. The pooled sensitivity of FSE using L-protocol would reach 0.97 (0.89–0.99) if only macrometastases were considered. These findings were robust to sensitivity analyses.

Conclusions

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Keywords

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Tweetable abstract

In cervical cancer, sentinel nodal metastases can be precisely detected by frozen section examination using proper protocol.

Introduction

Over the past decades, sentinel lymph node biopsy (SLNB) has become an attractive surgical procedure in many malignancies.¹⁻⁵ The benefits of SLNB mainly include a reduction in surgical morbidity and time cost, achieved by replacing lymphadenectomies in selected patients,^{6,7} and revelation of aberrant drainage regions that are probably omitted during routine lymphadenectomies.⁸ Furthermore, by pathological ultrastaging of sentinel lymph nodes (SLN), the micro-metastatic burden in lymphatic system can be evaluated conveniently, enabling more precise individualized treatments.⁹⁻¹¹ With these benefits and high accuracy,¹² SLNB is currently recommended as an alternative of pelvic lymph node dissection (PLND) in early-stage cervical cancer.^{13,14}

However, in clinical practice, only a few authors have attempted to perform SLNB alone without a further PLND.^{6,7,15} A recent international survey by the Gynecologic Cancer Intergroup showed great divergence regarding the SLNB strategy,¹⁶ reflecting worldwide mistrust on intraoperative decisions made based on SLNB. Indeed, previous studies had shown that the accuracy of SLNB largely relied on postoperative ultrastaging,^{17,18} which is time consuming and unavailable for intraoperative decision-making. As a result, many gynecologists choose to directly replace PLND with SLNB in radical surgery and wait for final pathology. However, nodal metastasis has been included as IIIC stage in the latest International Federation of Gynecology and Obstetrics (FIGO) staging system,¹⁹ so it is becoming increasingly important to acquire the lymphatic status before deciding treatment modality. The European Society of Gynaecological Oncology/European Society for Radiotherapy and Oncology/European Society of Pathology guidelines (2018) therefore recommended submitting SLNs for intraoperative assessment to immediately triage patients towards radical surgery or definitive chemoradiotherapy.¹⁴ Consequently, accurate intraoperative pathology of SLNs is urgently required.

Frozen section examination (FSE) is the most common method for intraoperative SLN assessment.²⁰ Compared with other methods, FSE has a natural superiority of almost 100% specificity.²¹⁻²³ However, the sensitivity of FSE varies considerably between the published studies.²⁴⁻³⁵ Some authors had cautioned the high false-negative rate of FSE in SLNB,^{24-27,29} whereas others provided satisfying results.^{30,32-35} The reason for such a discrepancy remains unclear and may be associated with the heterogeneity among these studies, including the differences in methodologies, patients' characteristics, volume of metastases, as well as pathologists' experiences. However, few studies had concerned the impacts of these factors on the sensitivity of FSE.^{29,33} It spontaneously interests us whether there exists an optimal protocol by which the FSE can yield the highest sensitivity for intraoperative decision-making.

To our knowledge, there are several ongoing international multicenter trials targeting the validation of SLNB in early-stage cervical cancer.^{36,37} All these trials were designed with an intraoperative randomization or assignment depended on the results of FSE. Therefore, it is of great importance to validate the accuracy of FSE first. In this systematic review and meta-analysis, we analyzed the available data on this issue, in order to determine the diagnostic performance and optimal protocol of FSE in SLNB for cervical cancer and provide evidence for ongoing and future studies.

Methods

Search strategy and selection criteria

We performed and reported this systematic review and meta-analysis in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analysis of Diagnostic Test Accuracy (PRISMA-DTA) guideline. The study protocol was registered with PROSPERO, number CRD42019130044.

We systematically searched PubMed, EMBASE, Web of Science, Cochrane Library, Wanfang Data and

China National Knowledge Infrastructure (from inception to July 30, 2019) for studies on SLNB with FSE in cervical cancer. The full search strategy was provided in supplementary (p4). No publication date or language restrictions were applied. We manually searched the references of all resulting publications to identify any studies possible missed by the initial search. Besides, we contacted experts in this field with questionnaires for possible unpublished data (supplementary p4–6).

The population of interest was women with cervical cancer initially managed by surgery involving a SLNB procedure combined with FSE. Studies containing these individuals were potentially eligible for review. There were no restrictions for the study purpose or design, methods for SLN detection, or surgical approaches. For qualitative and quantitative synthesis, we included only studies reporting the results of FSE and definitive pathological examination (DPE). Besides, we excluded studies in which the sample size was less than ten, no metastatic case was found, or FSE were selectively performed. In addition, we checked the repetition between studies from the same institution. If two or more studies were found to had irremovable overlaps in studied population, only the most recent study reporting adequate information was included while others were excluded.

Data extraction and quality assessment

We extracted detailed information from the full texts of included studies (supplementary p7). Outcomes of interest was the diagnostic performance of FSE of SLN, including sensitivity, true positives (TP) and false negatives (FN). The reference standard was DPE based on the paraffin sections of SLN. Sensitivity was assumed as the primary indicator of the diagnostic performance and defined as the ratio of cases having both positive FSE and DPE within all DPE-positive patients. TP were defined as cases having both positive FSE and DPE, and FN as cases having negative FSE and positive DPE. Isolated tumor cells (ITC) were defined as tumor diameter <0.2 mm, micrometastasis (MIM) as between 0.2 and 2 mm, and macrometastasis (MAM) as >2 mm. We took these parameters directly from the original papers or calculated them with available information. We excluded cases who had no SLN detected or did not underwent FSE. Whenever a calculation was impossible, we contacted the authors for necessary information.

In previous publications the term FN usually referred to cases presenting positive non-SLN without a positive SLN. Of note, in this study we redefined these cases as true negative given that their SLNs were tumor-free. We assessed the qualities of the included studies using Quality Assessment of Studies of Diagnostic Accuracy-2 (QUADAS2) tool.

Statistical analysis

We examined the heterogeneity assumption using Cochran’s Q test and quantified it using Higgins I^2 statistic, with $I^2 > 50\%$ considered statistically heterogeneous. We used bivariate mixed-effects regression model to calculate pooled sensitivity and 95% confidence intervals (CI). We performed meta-regression and subgroup analysis to investigate the potential impact of variates on sensitivity and the source of heterogeneity. Results were displayed graphically on forest plots. We conducted sensitivity analysis to evaluate the robustness of the results by removing studies with high weight or debatable methodology, modifying stratified criteria, or altering statistical model. We did all statistical analyses with STATA (version 15.0; Stata Corporation, College Station, TX, USA)

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Results

We yielded a total of 1261 publications by database searches, of which 131 studies were identified after exclusion of reduplicative and irrelevant studies. After abstracts screening, 73 unique studies remained,

and all full texts were obtained. After reviewing of the full texts, we excluded 44 studies. The reasons for each exclusion of these studies were listed in supplementary (p8–9). Besides, we obtained five reports of unpublished data and two of them were eligible (supplementary p15–16). Finally, 31 eligible studies (29 publications) were included. Figure 1 displays the PRISMA (2009) flow diagram for study selection.

The publication years of the 31 identified studies were between 2002 and 2019. Most of these studies were done in Europe, Asia, and the USA. A total of 1887 eligible patients were identified from the 31 studies. Each of them underwent SLNB for cervical cancer, with at least one SLN detected and examined by intraoperative FSE.

The characteristics of the 31 finally included studies were listed in supplementary (p17). Patients with early-stage disease accounted for 66.1–100% of whole populations, with 19 out of 31 (61.3%) studies having 100% patients at early stage. The most common histological types were squamous cell carcinoma, followed by adenocarcinoma. The rate of nodal metastasis varied between 5.4–36.4% among the included studies.

The surgical approaches were affected by the FSE results in 18 studies, of which 13 performed additional para-aortic lymphadenectomy in positive cases. Only four studies omitted PLND in cases of negative FSE. Four studies applied negative FSE as a prerequisite of fertility preservation. Eight studies transferred radical surgeries to concurrent chemoradiotherapy in positive cases. Besides, one study performed simple hysterectomy in cases of negative FSE. Twelve studies reported follow-up results and the oncological outcomes were generally good (supplementary p22).

The sectioning protocols of FSE consisted of three different approaches as following:

1. SLN was bisected, one section was taken from the maximum surface of one half SLN (9 studies);
2. SLN was bisected, adjacent sections were taken from the maximum surface of each half SLN (4 studies);
3. SLN was cut into pieces at certain intervals (varied from 2 to 5 mm, perpendicularly to their long axis), and one or more sections were taken from each piece (13 studies).

For subgroup analysis, we defined the two “bisected” protocols together as equatorial (E), and the third one as latitudinal (L) (figure 2). The next steps were similar in which the sections were examined after staining with hematoxylin and eosin (H&E) and the rest tissue were embedded in paraffin. For DPE, additional ultrastaging were performed in 24 studies, while routine pathological examination was performed alone in seven. Among the 24 studies using ultrastaging, serial sectioning combined with immunohistochemistry (IHC), serial sectioning alone, and IHC alone were performed in 18, 2 and 4, respectively.

In published pathological studies at least four further step sections combined with IHC examination were recommended for SLN ultrastaging.³⁸ We utilized this criterion to evaluate the stringencies of ultrastaging techniques and their potential influences. DPEs meeting this criterion were judged as adequate, otherwise as inadequate. The descriptions and judgements of FSE and DPE protocol for each study were presented in supplementary (p20–21).

The process of data extraction for meta-analysis were detailed in supplementary p10–16. Overall, there were 363 patients having SLN metastases confirmed by DPE, of whom 115 were misdiagnosed by FSE. The sensitivities of FSE varied over a wide range of 0%–100% among the 31 included studies (table 1). Specificities were 100% in all studies except two reporting false positive. Both were due to misdiagnosis of endosalpingiosis in SLN.^{6,30} Pooled analysis using mixed-effect model yielded an estimated rate of 0.77 (95%CI: 0.66–0.85, figure 2) for sensitivity. Heterogeneity test for sensitivities showed high heterogeneity among the included studies ($Q=99.09, I^2=69.73\%, p<0.001$).

Among 26 studies with definable pathological protocols, 19 reported FN results. The metastatic types of FNs were available in 18 studies, including 24 MAM, 51 MIM, and 29 ITC in 101 patients (table 2). The metastatic types of TPs were available in nine studies, including 95 MAM, 14 MIM, and one ITC in 110 patients (table 3). The pooled sensitivity of FSE were 0.79 (95%CI: 0.70–0.86) if ITC were not considered, and 0.94 (95%CI: 0.85–0.98) if only MAM was considered. Notably, only four of the 24 (16.7%) FN-MAM were missed, whereas 13 of the 15 (86.7%) TP-MIM/ITC were detected under L-protocol.

We conducted meta-regressions to investigate the source of heterogeneity in sensitivities. Studies were categorized into subgroups by the study design (prospective and retrospective), SLNB strategy (whether the surgical approaches were affected by FSE results: yes and no), sample size (<60 and [?]60), overall metastatic rate (<20% and [?]20%), reference standard (DPE protocol: adequate and inadequate), and index test (FSE protocol: E and L). Five studies were excluded from meta-regression due to undefinable methodologies. Finally, FSE protocol was found to be the only source of heterogeneity ($p<0.001$, table 4).

Subgroup analysis showed decreased heterogeneities in both subgroups stratified by FSE protocol ($Q=7.59$, $I^2=0\%$, $p=0.82$ for L-protocol; $Q=28.90$, $I^2=58.47\%$, $p<0.001$ for E-protocol). The sensitivity pooled achieved 0.86 (95%CI: 0.79–0.91) in the L-protocol subgroup (13 studies, 650 patients), whereas it was 0.59 (0.46–0.72) in the E-protocol subgroup (13 studies, 1047 patients). The difference reached statistical significance ($P<0.001$). If ITC was not considered, the pooled sensitivities would be 0.88 (0.81–0.93) and 0.64 (0.52–0.75) for L and E-protocol subgroup, respectively ($p<0.001$). If only MAM was considered, the pooled sensitivities would be 0.97 (95%CI 0.89–0.99) and 0.86 (0.74–0.93) for L and E-protocol subgroup, respectively ($p=0.01$). In sensitivity analyses (supplementary p23–27), whatever the alterations made in study setting or statistical model, the observation that sectioning protocol determined the accuracy of FSE, remained unchanged.

Discussion

Main findings

In this study, we systematically investigated the diagnostic performance of FSE in SLNB for cervical cancer, based on the available data over nearly twenty years. To our knowledge, this is the first systematic review and meta-analysis on this topic. The sensitivity of FSE in SLNB, which had been surrounded by controversies, was found to be dissatisfactory in pooled analysis (0.77, 95%CI 0.66–0.85). This data is very close to that previously reported in breast cancer.⁵⁴ However, a more important finding of this study is that the sectioning protocol of FSE had great impact on diagnostic accuracy, which also generated a high heterogeneity ($I^2=69.73\%$). Significantly improved sensitivities (pooled: 0.86, 95%CI: 0.79–0.91) and low heterogeneity ($I^2=0$) were observed among the studies using L-protocol, whereas an even lower sensitivity (0.59, 0.46–0.72) was pooled under E-protocol. This difference was so remarkable that we expected it would hardly be denied or reversed in future studies. Thus, our study provides strong evidence supporting L-protocol as the standard for intraoperative pathological examination of SLNs.

PLND shares the same incision with hysterectomy, lowering the priority of a two-step surgery strategy.²⁹ In addition, it is still inconclusive whether PLND can be completely replaced by SLNB in early-stage cervical cancer. For these reasons, intraoperative pathological diagnosis remains an important element in SLNB for cervical cancer. Despite wide recognition, the benefits of SLNB in cervical cancer have long been confined by the lack of precise intraoperative pathology.¹⁸ Similar dilemmas are also encountered in other malignancies such as gastric cancer.⁵⁵ The major contribution of this meta-analysis is that, for the first time, we identified a simple method to achieve more precise intraoperative SLN assessment, which enables immediate decision-making for individualized treatments.⁷

Strengths and Limitations

This study has several strengths. First, a meticulous screening was carried out to ensure that the data for analyzing did not contain any reduplicative or ineligible individual. On the other hand, we had done our best to re-analyze the existing data, collect unpublished studies and contact the authors to obtain precise diagnostic information for each included individual (supplementary p10–16). Each step of data synthesis was detailed to make our results reproducible. Besides, most of the included studies were prospective, used multiple tracers for SLNB and ultrastaging for DPE, indicating low risk of bias in the results. Furthermore, the L and E-protocol can be clearly distinguished and were evenly used in previous studies, suggesting the generalizability for both. In addition, the studies in which FSE was selectively performed (usually for SLNs with suggestive appearance) were excluded because there were high risks of bias (supplementary). Finally, the sensitivity analyses demonstrated the robustness of the main findings (supplementary).

This study also has several limitations. First, only nine studies provided the sizes of TP and the question how many MIM/ITC were detected under different protocols has not been satisfactorily answered. Furthermore, due to the multidisciplinary nature of SLNB technique, the sample size of single study was usually small. We could not eliminate the impact of pathologists' experience although all involved institutions were highly specialized. This also poses a further question whether our results can be validated in hospitals without enough experience in SLNB. Besides, due to the length of time elapsed, some authors could not be contacted or provide requested information, including the diagnostic data, protocols of FSE and DPE, and the metastatic types.

Interpretation

In this study we found that many pathologists examined only one H&E-stained frozen section for each SLN in order to reserve more tissue for DPE.²⁵⁻²⁹ This consideration may be more reasonable for assessing SLN of breast cancer, in which the axillary lymphadenectomy can be performed asynchronously and usually replaced by chemoradiotherapy.⁵⁶ Actually, the use of FSE in SLNB for breast cancer has significantly decreased during the past years.⁵⁷ In early-stage cervical cancer, however, recent viewpoints have begun to emphasize the avoidance of combination of surgery and radiotherapy, since there was a significantly increased morbidity.¹⁴ So the management will be challenging once the FSE result was found to be false. Therefore, best efforts are required in intraoperative diagnosis and the FSE protocol should be given enough attention, especially in fertility-preserving surgeries.⁵⁸

Generally, FN results can be caused by technical errors in sectioning processes or judgment errors in reviewing processes. Gortzak-Uzan and colleagues⁴⁹ reported a technical error on MAM with diameter of 4-mm, which was not observed in the frozen sections but hid in the remaining tissue. Only four MAM were missed among the 13 studies using L-protocol. However, such FN results seemed more common in the studies using E-protocol since there were 20 MAM omitted in total. In the study by Slama and colleagues²⁹ one-level section was examined for each node and nine of 48 MAM were missed by FSE. The median diameter of these FN-MAM was 3.94 mm and the largest one reached 8.4 mm, which could hardly be neglected in reviewing processes. So, it is reasonable to speculate that most of these FNs were technical errors and could have been avoided by taking sections at short intervals.

Some may doubt that the high FN rates were due to strict ultrastaging in which more occult metastases might be revealed. This explanation also seems reasonable. However, in this meta-analysis, most of the studies used both serial sectioning and IHC examination for ultrastaging. We classified these techniques using a recommended criterion by previous pathological studies.³⁸ Yet only the FSE protocol was found to be a source of heterogeneity in meta-regression, whereas the DPE protocol showed minimal impact on sensitivity. This observation was further confirmed by sensitivity analyses (supplementary). A more reasonable explanation is that, in E-protocol more lymph tissue was reserved for DPE, which inevitably carried higher opportunity to have metastasis within, regardless of the method for detection.

The clinical significance of MIM/ITC in SLN remains to be clarified.^{59,60} Okamoto and colleagues found that non-SLN were seldom involved if SLN harbored merely MIM/ITC.⁶¹ In the SENTICOL study, only one recurrence was observed among 16 patients having MIM/ITC in SLN.¹⁰ Besides, three included studies showed favorable oncological outcomes despite that PLND were omitted in FN-FSE cases.^{6,15,49} Taken together, these evidences suggested that MIM/ITC only represented the very beginning of lymphatic spread, and their impacts might be negligible provided that metastatic SLNs were removed. This inference was encouraged by the findings from a breast cancer study (IBCSG 23-01) supporting the exemption of axillary lymphadenectomy in patients presenting only MIM/ITC in SLN.⁵ If MIM/ITC was not considered, the pooled sensitivity for L-protocol would reach 0.97 (95%CI 0.89–0.99), which is high enough for intraoperative decision-making.

In the E-protocol subgroup there remained moderate heterogeneity in sensitivities, which may due to the remaining methodological difference. Since our aim was to determine the optimal protocol, the heterogeneity in this subgroup was less important. The sectioning intervals were 2–5 mm in L-protocol and the pooled

sensitivity further increased when we restricted the criterion (supplementary p18). However, shortened sectioning intervals may increase the pressure upon pathologists and result in loss of tissue for DPE.¹⁸ Yamashita and colleagues examined 3 to 5 sections for each SLN and reported that the diagnosis usually finished within 30 minutes.³⁰ This may be a rational workload.

The survival data of patients whose PLND were exempted for negative FSE-SLN is still insufficient. Only three observational studies^{6,15,49} and one randomized controlled trial (SENTICOL II=NCT01639820)⁷ had addressed this issue and the outcomes were generally good. However, the sample sizes of these studies are relatively small. High-quality evidence should be expected from several ongoing multicenter trials (SENTIX=NCT02494063, CSEM010=NCT02642471, SENTICOL III=NCT03386734, supplementary p28) in which patients with FSE-negative SLN are exempted from further PLND,³⁷ or intraoperatively randomized into arms with or without PLND.³⁶ The suggestion by this meta-analysis is to adopt L-protocol to reduce the risk of inadequate treatment and ensure the applicability of future findings.

Conclusion

This systematic review and meta-analysis summarized the available data on the review question and identified an optimal protocol for FSE. With short sectioning intervals perpendicular to the long axis of SLN (L-protocol), FSE will yield high sensitivity in detecting metastases, which allows for a precise intraoperative pathological assessment of SLNs. This finding may redefine the role of FSE in SLNB and should be considered in future practice of this technique.

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Author Contributors

HT and J-HL designed the study and wrote the manuscript. HT and H-FG wrote and registered the study protocol. H-FG and HH developed the search strategy and completed the literature search. HT and J-HL formulated the definitions for pathological protocols, designed the questionnaire survey, and contacted the authors. HT, H-FG, HH and J-HL reviewed the literature search results and extracted data. J-PC and H-YZ did the quality assessment. J-PC, X-KZ, and J-PY did the methodological judgement. H-FG, HH and H-YZ developed the statistical analysis methods. K-JL, HY, and KS provided original data. All authors reviewed the manuscript and provided contributions to the interpretation of the data.

Disclosure of interests

HT and J-HL report being investigators of an ongoing multicenter trial regarding SLNB in cervical cancer (CSEM 010, NCT02642471) funded by a government project (Health and Medical Cooperation Innovation Special Program of Guangzhou Municipal Science and Technology, grant number: 158100075). J-HL receives lecture fees from AstraZeneca and Roche, outside the submitted work. All other authors declare no competing interests.

Details of ethics approval

Not applicable.

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Legends for figures

Figure 1: Study selection *gathered by questionnaire survey. FSE=frozen section examination. SLNB=sentinel lymph node biopsy. DPE=definitive pathological examination.

Figure 2: Two representative protocols for frozen section examination

Figure 3: Forest plots of sensitivity for all studies

Figure 4: Forest plots of sensitivity for subgroups (A) latitudinal; (B) equatorial

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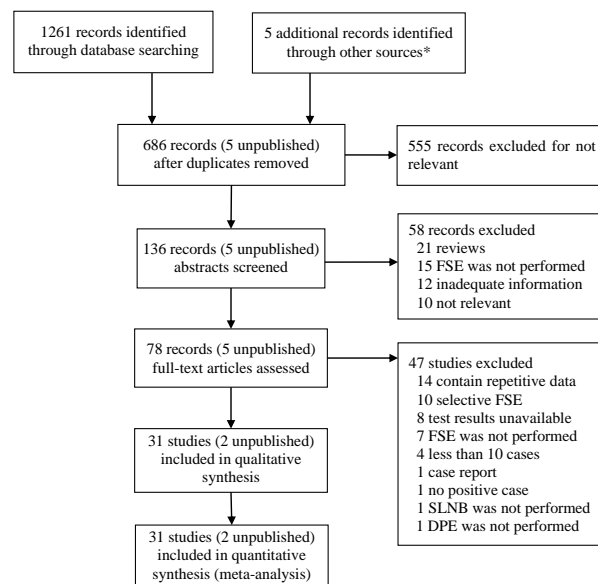
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A. Equatorial protocol: SLN was bisected along the equator. One or more adjacent sections were taken from the maximum surface.



B. Latitudinal protocol: SLN was cut into pieces at short intervals, perpendicularly to the long axis. One or more adjacent sections were taken from each cut surface.



