

BIRTH DEFECTS AND CHILDHOOD CANCER: A SHARED BIOLOGICAL PATHWAY FOR HIRSCHSPRUNG DISEASE AND HEPATOBLASTOMA DEVELOPMENT?

Talita Aguiar^{*1}, Anne Caroline Teixeira¹, Juliana Sobral¹, Silvia Souza Costa¹, Marília Scliar², Renata Hall-Nielsen³, Ana Regina Ramos⁴, Beatriz dos Santos⁴, Valentina Oliveira⁴, Ariane Backes⁴, Osvaldo Artigalás⁴, Isabela Cunha⁵, Carla Rosenberg¹, Eugênia Valadares³, Raquel Pinto⁴, and Ana Krepschi¹

¹Institute of Biosciences, University of Sao Paulo

²University of São Paulo

³Benjamim Guimarães Foundation

⁴Grupo Hospitalar Conceição

⁵Rede D'OR-São Luiz

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Abstract

Background: The association of birth defects and developmental alterations with pediatric cancer is a recognized long-standing global observation, although very few syndromes confer a high risk of hepatoblastoma development. **Procedures:** Here we describe the results of germline exome analysis of two syndromic patients with Hirschsprung disease who developed hepatoblastoma. **Results:** Germline variants of uncertain clinical significance (VUS) were disclosed in 28 genes that might be related to patients' phenotypes. More importantly, germline VUS were detected in eight known cancer predisposition genes (APC, BRCA1, ERBB2, ERCC2, HRAS, ODC1, SERPINA6, and MCC). Additionally, our data disclosed two candidate genes with germline variants potentially contributing to the phenotype of these patients, namely, CEP164 and CYP1A1. The last one was the only gene presenting variants of uncertain significance in both patients. This gene encodes the most important xenobiotic-metabolizing enzyme of the placenta for which relevant inducible activity has been demonstrated throughout pregnancy. **Conclusion:** our data pointed out a set of genes that are enriched for pathways already related to cancer and developmental biology, suggesting they could have a broader role in cancer and congenital abnormalities. These results can help future studies to understand the biology of Hirschsprung disease and its association with hepatoblastoma.

INTRODUCTION

The etiology of pediatric cancer is largely unknown¹. Recent studies of large cohorts of pediatric cancer have shown that approximately 10% of the patients carry germline pathogenic variants in a broad spectrum of cancer susceptibility genes²⁻⁵. The evidence of the link between pediatric cancer and congenital anomalies is robust⁶⁻⁸, however, the etiology of most of these associations remains underexplored.

Hepatoblastoma (HB) is the most common malignant tumor of liver in the pediatric population⁹, although it is considered an ultrarare disease, accounting for only 1% of pediatric tumors^{10,11}. In Brazil, collected data on hepatoblastomas are concordant with the worldwide prevalence¹²⁻¹⁴. Increased risk for hepatoblastoma development has been reported in association with specific congenital syndromes, including Beckwith-Wiedemann^{15,16}, familial adenomatous polyposis (FAP)¹⁷, and trisomy of chromosome 18¹⁸. Non-genetic factors known to be associated with hepatoblastoma risk are mainly related to very low birth

weight (<1500 g), including preterm birth (<33 weeks), small size for gestational age, and multiple birth pregnancies^{9,19}. To date, there is a single report of a patient with hepatoblastoma and Hirschsprung disease²⁰, which is a rare congenital anomaly resulting from the absence of enteric neurons at the end of the bowel, affecting about 1-5 in 10,000 newborns^{21,22}. Related symptoms arise because there is no propulsive motility in the aganglionic bowel as a consequence of defective neural crest cell development, causing severe chronic constipation, abdominal distension, vomiting, and growth failure^{22,23}. Hirschsprung disease is a multigenic disorder with variable penetrance and severity, characterized by extensive genetic heterogeneity. Predisposing mutations have been recognized in several genes, including *RET*, *GDNF*, *GFRA1*, *NRTN*, *EDNRB*, *EDN3*, *ZEB2*, *PHOX2B*, *SOX10*, *SHH*, *ECE1*, *DHCR7*, *L1CAM*, *KIF1BP*, *BBS1-BBS11* and *RMRP*^{22,24}. Some conditions, such as chromosome 21 trisomy, increase the risk for Hirschsprung disease²⁵. The sibling recurrence rate varies according to both the length of the aganglionic bowel and to the sex of the first affected sibling^{26,27} in a pattern of multifactorial inheritance with different liability threshold for sex.

In the course of genomic studies in a cohort of Brazilian individuals with childhood cancer, we ascertained one additional syndromic patient presenting Hirschsprung disease and hepatoblastoma, besides the first case reported by Pinto et al. (2016)²⁰. In this report, we performed a germline exome analysis of these two patients in whom the association of Hirschsprung disease and hepatoblastomas was documented, and of one parent of each patient. We also investigated the spectrum of somatic mutations in one of the tumors.

METHODOLOGY

DNA samples

Written informed consent was obtained from the parents of the two studied patients. Patient 1 was referred from Department of Pediatrics Hospital da Baleia - Brazil, and Patient 2 was referred from Hospital da Criança Conceição - Brazil.

Genomic DNA samples were extracted from peripheral blood of both patients and their available parents (mother of Patient 1 and father of Patient 2), and formalin-fixed paraffin-embedded (FFPE) tumor tissue (biopsy) from Patient 1. DNA from peripheral blood was isolated using phenol-chloroform extraction followed by Ethanol precipitation²⁸. DNA from FFPE tumor sample was obtained in the AC Camargo Tumor Bank²⁹; tissue embedded in paraffin, direct cut (10 µg) and phenol-chloroform extraction were used.

Library preparation and sequencing

Genomic libraries of whole-exome were constructed using 1 µg of genomic DNA and the Sureselect QXT V6 (Agilent Technologies - Patients 1 and 2, and Patient 1's mother), OneSeq Constitutional Research Panel (Agilent Technologies - Patient 2's father), and xGen Exome Research Panel v1.0 (IDT - Integrated DNA Technologies - FFPE tumor sample) kits. Enriched libraries were sequenced on the Illumina HiSeq 2500 platform in paired end reads. The sequences were aligned to the GRCh37/hg19 human genome reference with the BWA-MEM algorithm³⁰. Picard tools (v.1.8, <http://broadinstitute.github.io/picard/>) were used to convert the SAM file into BAM and to mark PCR duplicates. The Genome Analysis Toolkit (GATK 3.7)³¹ were used to realign indels, recalibrate the bases, and to call (Unified Genotyper) and recalibrate variants (VQSR). Finally, multiallelic variants were split into different lines using the script `split_multiallelic_rows.rb` from Atlas2³² to obtain the VCF files used for analysis.

WES data analysis

We used VarSeq software version 1.5.0 (Golden Helix) to annotate and filter the variants. SNV and indel variants were filtered by read depth (>10), Phred score (>20), and variant allele frequency (>0.35 for germline, and >0.10 for somatic variants). Variant annotation was performed based on public databases of populational, clinical, and functional databases.

Germline variants with populational frequencies above 0.5% or 1% for recessive and dominant models of inheritance, respectively, were filtered out. The somatic mutations in the tumor sample were obtained excluding all germline variants. Following, variants were filtered based on Sequence Ontology by RefSeq, and

only coding non-synonymous missense and essential splice site, frameshift, and gain/loss of stop-codons (loss of function - LoF) were maintained for further analysis. *In silico* prediction of pathogenicity of missense variants were based on six algorithms provided by the database dbNSFP (version 2.4). The potential damaging effect was also assessed using the VEP32 script software package from Ensembl (<https://www.ensembl.org/>), and only variants predicted as pathogenic by at least five different tools were prioritized. All the LoF variants were also prioritized. The final list of filtered variants was annotated using Varellect³³ and HPO³⁴ for ranking genes associated to the specific phenotype of the patients. The variants were validated by visual inspection using the Integrated Genomics Viewer (IGV). The prioritized germline variants were classified according to the ACMG guidelines^{35,36}, using the Varsome tool³⁷. The Supporting Information Figure S1 summarizes the approach for WES data analysis.

Two prioritized germline variants from candidate genes (*CYP11A1* and *CEP164*) and mutational hotspots of *TERT* promoter were investigated by Sanger sequencing (primer pairs are available under request).

Copy number alterations (CNAs)

Germline chromosome microarray analysis was performed for Patients 1 and 2 using a 180K platform (Agilent Technologies), as previously reported³⁸. Somatic CNA events in the tumor from Patient 1 were derived from exome data obtained from the FFPE sample using the software Nexus Copy Number 9 (Biodiscovery), with the SNP-FASST2 segmentation algorithm (threshold log₂ Cy3/Cy5 ratio of |0.2| for gains and losses; |1.2| for high copy number gains and homozygous losses; minimum LOH length of 10 Mb). Common CNVs (Database of Genomic Variants, <http://dgv.tcag.ca/dgv/app/home>) were disregarded.

PATIENTS'S MEDICAL REPORTS

Patient 1 is a female, third child of non-consanguineous parents, born at term by cesarean section; her two siblings have a normal phenotype. Abdominal distension and no evacuation were detected in the first 24 hours after birth, and the diagnosis of Hirschsprung disease was made. In the clinical evaluation at 5 years old, she presented with global neuropsychomotor delay, facial dysmorphisms, nail dysplasia (hypoplastic), and clinodactyly. Hepatoblastoma was diagnosed at the age of four, being classified as Epithelial Subtype with a predominance of embryonal cells, PRETEXT IV, high-risk. The patient was submitted to the chemotherapy protocol SIOPEL6. She died before the surgical procedure.

Patient 2 is a male, third child of a consanguineous couple; his sister was born with congenital bilateral cataract, while his brother exhibited intestinal atresia-terminal ileus. The patient was born premature (28 weeks) and his mother, who presented gestational risk (cardiac defect and preeclampsia), died during his birth due to congestive heart failure. At birth, the patient underwent mechanical ventilation and was admitted to the intensive care unit for periventricular encephalomalacia, grade II intracranial hemorrhage on the right, seizures and sepsis. He was born with a syndromic phenotype, composed of congenital ileal atresia, bilateral cataract and sensorineural deafness. In the first 48 hours of age, the patient developed abdominal distension and vomiting. During laparotomy, intestinal atresia in the terminal ileum and a disconnected cecum were identified. Histopathological examination also revealed absence of ganglion cells in the rectum and sigmoid colon, consistent with Hirschsprung disease that was corrected surgically. The hepatoblastoma tumor was diagnosed at 25 months of age, classified as Fetal Epithelial Subtype, PRETEXT II, low risk. He was submitted to the chemotherapy protocol with cisplatin, doxorubicin and ifosfamide, followed by partial hepatectomy. Currently, the patient is in post-treatment follow-up. Further clinical details were published by Pinto et al. (2016)²⁰.

RESULTS

Germline variants

Chromosome microarray analysis excluded the presence of pathogenic germline CNVs in both patients. The Patient 2 carries an interrupted duplication encompassing a total segment of ~364 kb at 14q23.2, not inherited from his father (arr[GRCh37] 14q23.2(64374657..64435014)x3; arr[GRCh37] 14q23.2(64474837..64738458)x3; Figure 1); maternal material was not available for segregation analysis. This CNV partially duplicates the

genomic sequence of two Morbid OMIM genes (*SYNE2* #608442 and *ESR2* #601663) and was classified as VUS. Rare duplications overlapping this region were reported in the Database of Genomic Variants (DGV - <http://dgv.tcag.ca>)

In Patient 1, quality controls of the exome data revealed 97% of 10x median coverage on target, with 98.03% of Q>30; in Patient 2, 98% of 10x median coverage on target, with 71.28% of Q>30. It was identified six regions of homozygosity in Patient 2 using exome data (Supporting Information Table S1 and Supporting Information Figure S2), result in accordance with the reported parental consanguinity.

An active evaluation of a set of known genes for hepatoblastoma and Hirschsprung disease (Supporting Information Table S2) was performed by visual analysis of the BAM files to confirm that the exonic sequences of these genes were properly covered. Considering the data of both patients, a total of 333 rare coding non-synonymous variants were detected, mapping to 317 genes.

No homozygous variant was detected in Patient 1 after filtering. A total of 72 rare heterozygous variants fulfilled the analysis criteria (see *Methodology*), 24 of them were inherited from her mother, also heterozygous for these variants. Eleven out of the 72 variants were LoF affecting the genes *C5orf47*, *CWC22*, *FRMPD2*, *GGCT*, *HAO2*, *KIR2DL4*, *LHX8*, *P2RX6*, *SPAG8*, *TMPPSS7*, and *ZNF215*. Particularly, missense variants in the cancer predisposition genes *ERCC2*(c.545C>T rs142936491) and *HRAS*(c.75G>A rs142218590) were identified, and one variant related to Hirschsprung disease in the *ALDH1A2*(c.1100A>T) gene.

After filtering, 261 rare variants were prioritized for further analysis in Patient 2, 243 of them in heterozygosity, comprising thirteen LoF affecting the genes *APOB/PLCD4*, *CEP57L1*, *CNBD2*, *DENND6A*, *ELMOD3*, *FRG1B*, *GCA*, *FAM3A*, *LSM14A*, *PCDHGA1*, *RFPL1*, *SBK2*, and *SLC19A1*; and 18 variants in homozygosity, including one LoF in the gene *FAM3A*. Fifty-six variants were also present in heterozygosity in the father. Variants were detected in the cancer-predisposing genes *APC* (c.3895G>C - rs1801166), *MCC*(c.862G>T - rs34696815), *ODC1* (rs116522452 - c.568G>A), *BRCA1* (c.4039A>G - rs28897689), and *ERBB2* (c.170A>G - rs140441229). Eight variants were mapped in genes related to cataract (*ABCA4*, *COL4A4*, *HGSNAT*, *PCDH15*, *RPGRIP1*, *SLC16A12*, *SON*, and *VAT1*), another clinical condition of the Patient 2. Details of the missense variants classified as damaging for at least five algorithms and the detected LoF variants are shown in Supporting Information Table S3 (57 for Patient 1 and 62 for Patient 2).

The list of 317 genes with rare coding non-synonymous variants from both patients was submitted to the prioritization tool VarElect³³ using the phenotypes hepatoblastoma and congenital megacolon. This analysis revealed one gene directly related to Hirschsprung disease (*ALDH1A2*), and seventeen genes directly or indirectly (related with liver cancer, liver functions/structure, cancer, or syndromes that predispose to hepatoblastoma development) associated with hepatoblastoma (*APC*, *BRCA1*, *CEP164*, *CYP1A1*, *ERBB2*, *ERCC2*, *FASN*, *HGS*, *HRAS*, *KMT2D*, *ODC1*, *PLCD4*, *SERPINA6*, *SLC25A47*, *SLC6A6*, *MCC*, and *ZNF215*). Table 1 presents the germline VUS associated with the specific phenotypes of each patient.

Different *CYP1A1* variants were identified in Patients 1 (c.1390C>A) and 2 (c.877C>G), both classified as VUS; additionally, one variant was detected in the Patient 2 affecting *CEP164* (c.1429C>T), somatic mutations in this gene were previously reported in HB samples¹⁴. The variants in the *CYP1A1* and *CEP164* genes were validated by Sanger sequencing (Figure 2).

To explore the pathways in which the genes with rare germline coding non-synonymous variants are involved and their biological roles, we used Reactome Pathway Database (<https://reactome.org/> - Version 71 Released). The gene set was enriched for pathways related to cancer, FGFR proteins family, Wnt signaling pathway metabolism, cytokine signaling in the immune system, post-translational protein modification, and developmental biology.

Somatic mutations in FFPE hepatoblastoma sample from Patient 1

A total of 36 somatic coding non-synonymous mutations were disclosed in the tumor sample, which mapped to 36 different genes, comprising two LoF, in the *ANKRD22* and *FRY* genes, and thirteen missense variants reported as pathogenic in more than five pathogenicity databases. Two of the detected mutations were

already reported in COSMIC: *CTNNB1* (c.101G>T - COSM5670), variant already reported in hepatoblastomas, and *RHBDL1* (c.1211G>A - COSM97348), detected in endometrium tumors.

Exome data was used to generate a genomic CNA profile from the tumor sample (Supporting Information Figure S3), only alterations larger than 3 Mb were considered. Gains were detected in 2q, 8 and 17q in hepatoblastoma from Patient 1 (Supporting Information Table S4).

DISCUSSION

The association between congenital anomalies and pediatric cancer has long been recognized³⁹. Recent studies evidenced an increased risk of pediatric cancer also in birth defects unrelated to chromosomal abnormalities or known genetic syndromes^{40,41}. Hepatoblastomas, in particular, occur in association with a wide variety of congenital abnormalities^{8,42,43}, especially craniosynostosis and renal anomalies¹².

We report here two syndromic patients who developed the rare tumor hepatoblastoma, in addition to Hirschsprung disease, besides other anomalies, such as developmental delay, congenital cataract and nail dysplasia. The only clinical report of an association between hepatoblastoma and Hirschsprung disease corresponds to the Patient 2 of this study²⁰.

Hirschsprung disease is a multifactorial condition with variable penetrance and expressivity, with several genes already known to cause this pathology when mutated^{22,23}, however, germline mutations account for only 50% of the investigated cases of Hirschsprung disease⁴⁴, pointing to the existence of yet unknown genetic mutations and underlying mechanisms contributing to the disease etiology. The gene set with rare coding germline variants identified in these two patients was enriched for pathways related to cancer, FGFR proteins family, Wnt signaling pathway metabolism, cytokine signaling in the immune system, post-translational protein modification, and developmental biology, suggesting they could have a broader role in cancer and congenital abnormalities.

Duplication in segment 14q23.2 have been documented in a few individuals in the control population and was classified as VUS. *SYNE2*, encompassed in the germline duplication at 14q23.2 detected in Patient 2, is involved in nuclear migration in retinal photoreceptor progenitors and is required for centrosome migration to the apical cell surface during early ciliogenesis (primary cilia formation). Alterations in *SYNE2* have also been associated with retinal defects, the gene has an important role for proper retinal development^{45,46}. This evidence could indicate that alterations in this gene might contribute to patient's congenital bilateral cataract and sensorineural deafness phenotypes.

In Patient 1, rare germline missense variants were identified in the cancer predisposition genes *ERCC2* (c.545C>T) and *HRAS* (c.75G>A), both in heterozygosity. *ERCC2* is involved in gene transcription and helps repair damaged DNA^{47,48}. Homozygous variants in this gene are associated with predisposition to childhood solid tumor⁴⁹, while and other variants in this gene have been related to hypoplastic nails, a clinical characteristic of Patient 1. A variant of maternal inheritance was identified in *HRAS*, which has been associated with Costello syndrome (OMIM #218040), a rare dominant syndrome which increases the risk of tumors development⁵⁰. It is not known how *HRAS* mutations cause the other features of Costello syndrome besides cancer predisposition such as intellectual disability, distinctive facial features, and heart problems, but many of the signs and symptoms probably result from cell overgrowth and abnormal cell division^{51–53}. Interestingly, a LoF was observed in the *ZNF215*, disruptions in this gene were proposed to play a role in the etiology of Beckwith-Wiedemann syndrome.

Germline data of the Patient 2 also revealed four VUS in the cancer-predisposing genes *APC*, a gene related to FAP, one of the genetic conditions that predisposes to HB^{2,54,55}; *MCC*, a regulator of the Wnt signaling pathway⁵⁶ and a candidate tumor suppressor gene^{57–59}; *ODC1*, and *BRCA1*. One VUS were also observed in *ERBB2*, a gene already associated with the megacolon phenotype^{60,61}. In addition, eight variants were observed in genes related to cataract (*ABCA4*, *COL4A4*, *HGSNAT*, *PCDH15*, *RPGRIP1*, *SLC16A12*, *SON*, *VAT1*)^{62–64}, a clinical condition of this patient.

In this study, we disclosed some potential candidate genes for hepatoblastoma and Hirschsprung disease.

Particularly, variants in genes *CEP164* and *CYP1A1* were interesting because they were previously observed in an HB exome study of somatic mutations¹⁴. *CEP164* encodes a protein responsible for the repair signaling when DNA damage occurs⁶⁵. This gene is involved in the stability of the genome and was previously found to be somatically mutated in two hepatoblastomas¹⁴. A germline variant was identified in the *CEP164* of the Patient 2. We also detected, in both patients, germline variants in the gene *CYP1A1*. One variant in this gene was previously reported in a case of congenital hepatoblastoma¹⁴. *CYP1A1* encodes a protein member of the cytochrome P450 superfamily of enzymes⁶⁶; the expression of this gene is transcriptionally regulated through the AhR receptor, which plays an important role as a mediator of the adaptive response to xenobiotics and also contributes to normal physiology and embryonic development⁶⁷.

Interestingly, another cytochrome involved in Hirschsprung disease was disclosed in this study. The germline variant in *ALDH1A2*(c.1100A>T) is described in COSMIC and ICGC as a somatic mutation with a high score of pathogenicity. The product of this gene is an enzyme that catalyzes the synthesis of retinoic acid from retinaldehyde⁶⁸. Retinoic acid, the active derivative of vitamin A, is a hormonal signaling molecule that functions in developing and adult tissues. Studies of a homologous mouse gene suggest that this enzyme and the cytochrome *CYP26A1* concurrently establish local embryonic retinoic acid levels, which facilitate later organs development⁶⁹.

Hirschsprung disease is a highly heterogeneous genetic condition, and many cases remain idiopathic even after extensive molecular investigation, indicating the existence of underlying genes or genetic mechanism not yet recognized. The disease should be diagnosed early in the neonatal period, the delay in diagnosis and treatment can lead to development failure and other health problems in a considerable proportion of infants⁷⁰. In the same way, a growing number of cancer susceptibility genes are being identified and information is available in some public databases. However, for rare pediatric tumors, such as hepatoblastoma, the number of cases and the lack of grouping clinical information, makes it difficult to identify and understand the physiopathology of a causal germline mutations. Our data pointed out novel candidate genes (*ALDH1A2*, *CEP164*, *CYP1A1*, and *SYNE2*) for Hirschsprung disease biology with a potential functional role in the association with HB tumorigenesis (Figure 3 illustrates the main findings of this study). These results contribute to delineate future studies to understand the biology of Hirschsprung disease and its association with other conditions, like hepatoblastoma.

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COMPLIANCE WITH ETHICAL COMMITTEE

Samples were recovered from patients enrolled in two Brazilian institutions: Patient 1 was referred from Department of Pediatrics Hospital da Baleia - Brazil, and Patient 2 was referred from Hospital da Criança Conceição - Brazil. The Research Ethics Committee of the respective Institutions approved this research using these biological samples, and all samples were collected after informed signed consent was obtained from parents or legal guardians.

CREDIT AUTHOR STATEMENT

All authors contributed to the study conception and design. Material preparation, data collection and analysis were performed by Talita Aguiar, Anne Teixeira, Juliana Sobral, Isabela Cunha, Eugênia Valadares, Raquel Pinto, and Ana Krepischi. The first draft of the manuscript was written by Talita Aguiar, Anne Teixeira

and Ana Krepschi and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

CONFLICTS OF INTEREST

We declare that we have no conflicts of interest.

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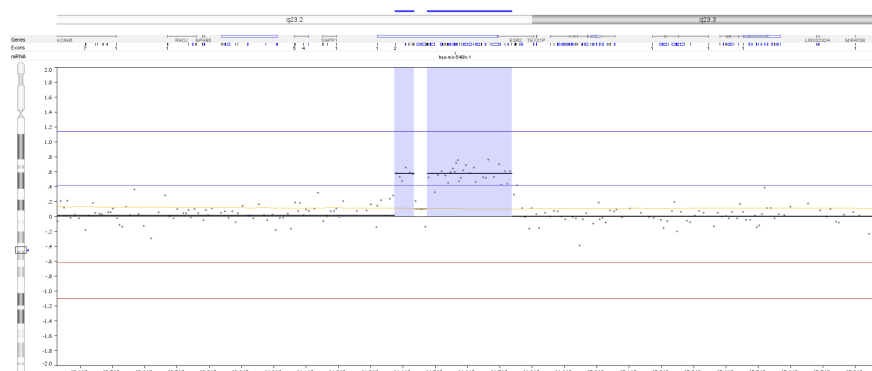
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LEGENDS OF FIGURES

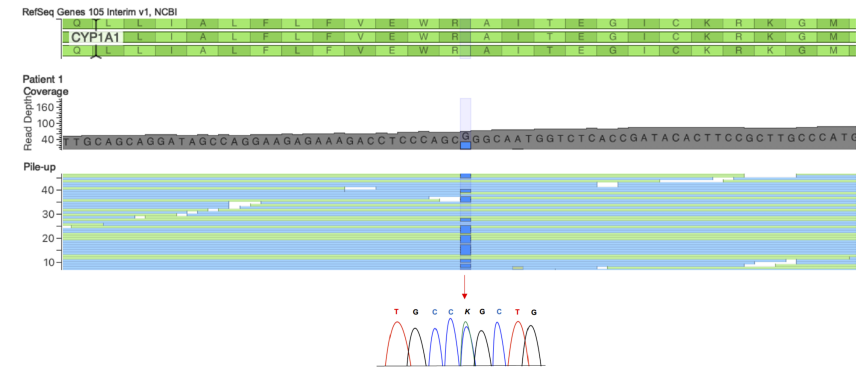
Figure 1. Rare germline interrupted duplication identified at 14q23.2 in Patient 2. The Y axis shows the copy number values in log2 and the X axis shows probes plotted according to genomic coordinates. The duplicated regions are highlighted in purple in the graph, with positive log2 values. Blue bars (top of the figure) show the localization of the duplication at 14q23.2.

Figure 2. Germline missense variants detected by exome analysis in Patients 1 and 2 and validated by Sanger Sequencing. Different rare variants were identified in the *CYP1A1* gene in A. Patient 1 (c.1390C>A) and B. Patient 2 (c.877C>G); *C.CEP164* variant detected in Patient 2 (c.1429C>T).

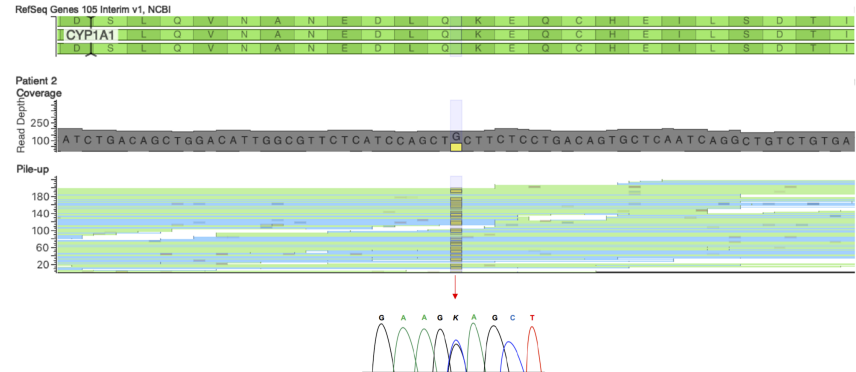
Figure 3. Main observations . Specific phenotypes and associated genes presenting rare germline coding non-synonymous variants in Patients 1 and 2. Hepatoblastoma and Hirschsprung disease are shared phenotypes between the patients, and *CYP1A1* is the only gene with rare variants detected in both patients. The phenotype and related gene are linked by color. The genes associated to more than one phenotype are marked with an asterisk of the color of the second associated phenotype.



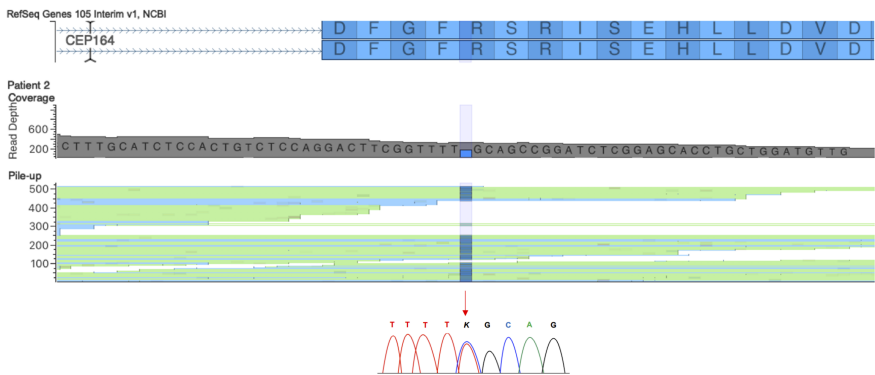
A. Patient 1: *CYP11A1*

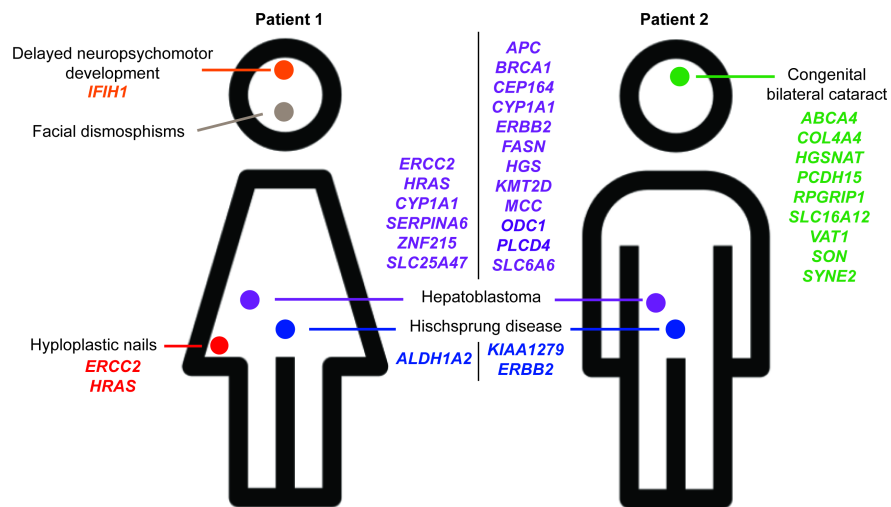


B. Patient 2: *CYP11A1*



C. Patient 2: *CEP164*





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