

# Eggshell structure in Apteryx: Form, Function, and Adaptation

David Vieco-Galvez<sup>1</sup>, Isabel Castro<sup>1</sup>, Patrick Morel<sup>1</sup>, Wei Chua<sup>1</sup>, and Michael Loh<sup>2</sup>

<sup>1</sup>Massey University College of Sciences

<sup>2</sup>Fonterra Research and Development Centre

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## Abstract

The structure of Apteryx's eggshell has generated much debate over the decades because it does not fit well with most allometric predictions. Apteryx eggshells are unusually thin and have been reported to be 60% less porous than expected. It has been suggested that these adaptations are compensations for a very long incubation period. Most studies so far have been carried out in what has been reported as Apteryx australis, and using infertile eggs or eggs laid in captivity. However, *A. australis* once comprised all kiwi with brown plumage, now separated into three distinct species: Brown Kiwi (*A. mantelli*), Rowi (*A. rowi*), and Tokoeka (*A. australis*). These three species use different habitats and live at different latitudes and altitudes. In addition, captive eggs are much smaller than wild laid eggs. These confounding factors make necessary to revise the assumptions made for Apteryx in the past. In this study, we analysed the physical characteristics of the Apteryx eggshells making a comparison between the three species of brown coloured kiwi and for some of the analysis we included some specimens of Rorua (*A. haastii*, Great Spotted Kiwi). We found that shell characteristics are different between the different species studied. The pore area of Apteryx eggshells was higher than previously suggested, and the water vapour conductance was much closer to what is expected for an egg that size. We found several new features such as triangular mineral particles composing the cuticle, only reported for a cretaceous Theropod, and the presence of plugs and caps on the eggshell pores. We suggest that the characteristics of the eggshells of the different species relate to the mating system of each species in addition to environmental variables, particularly pluviosity. We also suggest that the erosion of the cuticle during incubation is an adaptation to a long incubation period in a burrow.

## Introduction

Birds reproduce successfully in a wide range of ecosystems, including some of the most inhospitable ones. This is possible because birds present delicately balanced strategies to ensure the survival of their eggs (Carey 1980). These strategies imply the adaptative fine tuning of physiology, anatomy, and behaviour to respond to climatic regimes, predation, parasitism, and intraspecific competition. This is why such variety exists in the physical characteristics of eggs and eggshells, (Mikhailov, Bray, & Hirsch, 1996, Portugal et al., 2014), nest architecture (Warning and Benedict 2015) and incubation behaviours (Deeming 2002) of birds. The relationships that exists between these adaptations means that using the characteristics of eggs, the nesting ecology of species could be better understood and vice versa (Tanaka et al. 2015). For example, several studies have hypothesised the nesting ecology of extinct species based on the physical characteristics of their fossilized eggshells (Deeming 2006, Grellet-Tinner et al. 2006, Varricchio et al. 2013). However, studying the physical characteristics of eggs and eggshells of extant species has the advantage that the nesting ecology does not need to be hypothesised but can be directly observed; and the relationship between the different adaptations to successful breeding in context, better understood.

The avian eggshell is a bioceramic composed of four mineral layers and two inner proteinaceous membranes (Romanoff and Romanoff 1949). The cuticle, the usually pigmented, outermost layer, has been proposed to have antimicrobial properties (Board and Fuller 1974), UV wavelength modulation properties, (Cooper

et al. 2011), and water repellence, the latter being strongly associated with prevention against waterborne bacterial penetration (Sparks and Board 1984). These characteristics suggest that the cuticle responds to environmental conditions such as solar radiation and pluviosity, but also to the nest environment, mainly the probability of flooding and the potential for bacterial contamination (D’Alba et al. 2014, 2017).

The thickness of the eggshell is a functional character associated with structural support for the egg, reduction of bacterial infection as a solid barrier (Board and Fuller 1974) and more importantly, gas exchange, as the eggshell is a porous material (Rahn et al. 1974). The number and shape of pores are related to the incubation period (Zimmermann et al. 2007), altitude where the birds live (Rahn et al. 1977), and the nest microclimate (Birchard and Kilgore 1980). An additional contributor to eggshell thickness is the bioavailability of calcium and the mechanisms different species use to obtain calcium around the breeding season (Wilkin et al. 2009).

Eggshell porosity is a function of the pore functional area (mean area of individual pores multiplied by the total number of pores in an eggshell) and the thickness of the eggshell (Board and Scott 1980). These two characteristics of the eggshell define the gas conductance of the eggshell. Gas conductance is a measurement of the gas transfer through a medium (Rahn et al. 1974, Paganelli 1980, Rahn and Paganelli 1990). Gas exchange provides the embryo with the necessary oxygen for its development and allows carbon dioxide and water to leave the egg (Mueller et al. 2014, Maina 2017). This poses a series of constraints for different species incubating in different environmental conditions (Carey 1980). Birds in hot environments need to retain more water to avoid desiccation (Grant 1982) while species in cold or wet environments need to increase the water vapour conductance to lose enough water for the embryo to develop (Deeming 2011, Maina 2017). Further, some authors suggest that altitude is an important factor in regulating water vapour conductance due to the differences in barometric pressure that affect the rate of gas diffusion (Rahn et al. 1977, 1982). Therefore, it is expected that different species will present particular adaptations to regulate the water loss in different habitats and using different incubation techniques and nesting behaviours (Birchard and Kilgore 1980, Whittow et al. 1987, Portugal et al. 2010).

Water vapour conductance is particularly important for burrowing species, as these species’ eggs are exposed to environments with high humidity, low concentrations of O<sub>2</sub>, and high concentrations of CO<sub>2</sub> (Boccs et al. 1984, Collias 1986). Therefore, it is expected that these species have adaptations in pore size and eggshell thickness to deal with these environments. In turn, burrow nesting may improve egg survivorship by providing better protection from predators and/or a more insulated environment for embryo development (Boggs and Kilgore 1983, Boccs et al. 1984, Whittow et al. 1987).

The Apterygidae family is endemic to New Zealand and it is characterised by a series of unique traits that are rare or not present in any other clade of birds, especially not found in other ratites (Ramstad and Dunning 2020). Among these traits are the egg’s size, which is large in relation to bird size (Calder 1979, Taborsky and Taborsky 1999), comprising between 14-23% of the female’s body weight (Dyke and Kaiser 2010); the very thin eggshell compared to the size of the egg, which is 60% thinner than allometrically expected (Calder 1979), and the use of a burrow nest (Jolly 1989, Colbourne 2002, Vieco 2019).

*Apteryx* nests in globular cavities dug in the ground or existing cavities in dead trees or tree roots (Ziesemann et al. 2011) lined with nesting materials (Vieco 2019). And in Brown Kiwi the eggs are partially buried in the nest lining (Colbourne 2002). *Apteryx* is an unusual ratite as it has evolved to be mostly entirely nocturnal and primarily insectivorous (Cunningham and Castro 2011, Le Duc et al. 2015).

The genus *Apteryx* contains five well defined species distributed in the three main Islands of New Zealand and some offshore islands (Burbidge et al. 2003, Weir et al. 2016). *Apteryx* species present a very localized distribution due to the decline of their natural populations as a result of predation by introduced mammals and loss and fragmentation of habitat by deforestation (Germano et al. 2018). The climate encountered by *Apteryx* species varies from mild temperatures in the north of New Zealand to below zero temperatures and snow in the south ([www.worldweatheronline.com](http://www.worldweatheronline.com)). Therefore, adaptive variation in nesting behaviour between the different *Apteryx* species is expected as a response to each climatic regime.

Finally, *Apteryx* eggs are incubated for approximately 74 days in a humid, organic matter rich environment

that is warmed periodically, which makes it ideal for the growth of micro-organisms (Hiscox 2014). Therefore, adaptive variation is expected between the different species in terms of the eggshell physical structure to respond to each climatic regime and the risk of microbial penetration.

A reduced porosity and water vapour conductance could be beneficial for a species with a long incubation period as it reduces the risk of desiccation and it is what has been estimated for *Apteryx* in the past (Calder 1979, Silyn-Roberts 1983). However, in most burrowing birds, a higher porosity and conductance has been observed because the humidity in a burrow can be closer to 100%, thus reducing the rate of water diffusion from the egg. Differences in water vapour conductance can be achieved by means of increasing pore area or number, or by decreasing eggshell thickness (Ar and Rahn 1985). There are other adaptations that could compensate for the need of increased gas exchange concurrently with a need to prevent microbial contamination. For example, a reduction in pore size through mechanical means such as cuticular particles or opercula partially or fully plugging the pores (Board and Perrott 1979).

Some hypotheses about the function of the characteristics of the Apterygian egg and the eggshell to respond to certain ecological demands have been proposed. Reid (1971) proposed that the large *Apteryx* egg is a response to low temperatures during incubation that would select for an egg with higher volume-area ratio. Calder (1979) suggested that the increased amount of ovoinhibitors and lysozymes in the albumen of *Apteryx* eggs were selected to reduce the risk of microbial infection during the very long incubation period. Prinzinger and Dietz (2002) and Maloney (2008) suggested that the slow metabolic and developmental rate allows the egg to withstand long periods of abandonment. However, characteristics of the eggshell such as interspecific variation in eggshell thickness and water vapour conductance, that could help answer these questions are still not fully understood in *Apteryx*. *Apteryx* has been included in some studies on water vapour conductance variability in different species as an example of extreme adaptations (Calder 1979, Tullett 1984). However, this data has mostly been based on morphometric equations rather than direct measurements or using very few eggs from what was considered *Apteryx australis*, a species now known to comprise three species and further subdivided into nine (or more) different taxa (Weir et al. 2016). Especially outside New Zealand, samples are usually obtained from birds bred in captivity, which are known to produce eggs much smaller than those laid in the wild (Jensen and Durrant 2006). Some authors have tried to address these questions but unfortunately with very few eggs and eggshells (Silyn-Roberts 1983a), leaving this matter open to be researched in more depth.

In this study, we examined the eggshell structure of four species of *Apteryx* and contrast the findings with previous hypotheses regarding the expected structure based on environmental conditions where each species lives and their nesting environment. In summary, based on each species distribution it is expected that there will be differences in the water vapour conductance between the species. This should be observable as differences in pore density and pore area, or/and eggshell thickness. These traits are expected to vary according to climate, especially with pluviosity, temperature, and barometric pressure. It would also be expected that thickness scales with body mass (Birchard and Deeming 2009). We also expect to find eggshell adaptations to burrow nesting that are comparable to those of other burrow nesting birds, such as modified pores and modified cuticle or accessory layer.

## Materials and methods

### Eggs

Eggshell measurements were made on samples obtained from Operation Nest Egg (ONE), a program for the captive rearing of wild *Apteryx* eggs developed in 1994. Its purpose is to assist increasing wild population numbers by hatching wild-laid eggs in captivity and rearing the chicks until they gain enough weight to survive predation by introduced mammal species before release back into the wild (Colbourne et al. 2005). The eggs in this programme are generally collected after day 20 of incubation to increase the hatching success through artificial incubation. The eggs used in this study were retrieved after day 35 (range 35 to 60). After captive hatching eggshells were collected by staff members at Rainbow Springs, West Coast Wildlife Centre and Paparoa National Park and stored in sealable plastic bags with an identification code (collection locality

and incubating male ID) and kept at room temperature until used in this study. The eggs are thoroughly cleaned upon arrival to the artificial incubation facilities.

Eggshells from 30 Brown Kiwi (*A. mantelli*), four Roroa (*A. haastii*), 25 Rowi (*A. rowi*), and 20 Haast Tokoeka (*A. australis australis*) from the 2013-2017 breeding seasons were used (n=79) (Table 1). The eggshells originated from seven different locations in the two islands of New Zealand (Co-ordinates in Table 1, Figure 1A). All of the eggshells used for thickness came from hatched eggs to ensure that the ratio of calcium intake from the mammillae during ontogenesis (Deeming 2002) was approximately the same between all the samples and did not interfere with thickness measurements; also, to ensure the climatic comparisons were accurate the eggshells used for this observation came from eggs that hatched during a single breeding season, 2015-2016, since these comprised the majority of the samples. The eggshells were relatively intact except for the section broken by the chick during hatching. Different comparisons (statistical tests) used different number of eggshells depending on the initial state of the eggshells and the purpose of the comparison. Rahn and Ar (1980) suggested that pore distribution varies according to the latitude of the egg; therefore, for the water vapour conductance comparisons of the three brown *Apteryx* species samples were taken manually from the equatorial region of each eggshell (Figure 1B) to ensure accurate comparisons. This area of the egg was chosen for two reasons; because of hatching, the blunt end of most egg samples was destroyed, this being the usual exit point for the chick. In addition, for some of the samples the eggshells were somewhat crushed, and the equatorial region was the only identifiable part of the eggshell. However, some of the eggs were intact enough to identify different eggshell regions, these eggshells were used for the repeated measures analysis where the water vapour conductance of the different species and eggshells regions were compared.

We begin by making a description of the different species eggshells using a variety of optical techniques.

## Imaging techniques

To determine the variation in porosity and thickness between species and the thickness of each structural layer, we used eggshell fragments from the equatorial region of eggs from each species. The fragments were manually broken and thoroughly washed in deionized water and allowed to air dry. The eggshells were relatively clean as they are cleaned thoroughly during artificial incubation.

## Micro-computed x-ray tomography (MicroCT)

MicroCT (Zeiss) was used to determine the radii of pores and their geometry. Nine eggshell fragments (from the four species of *Apteryx*) were used. The fragments were manually broken into three smaller fragments each, and a full scan of each fragment was used to observe the pore geometry. Images were obtained at 45Kj, 133  $\mu$ A, 6 W; a source distance of 20 mm, detector distance at 8 mm, pixel size of 1.9266  $\mu$ m, objective magnification 10X, exposure time 11 seconds, and 1,000 projection images through 180° of rotation. The images were analysed using Xradia mxct software. Images from the cuticle of all individuals were taken to see its physical features.

The pores of each fragment were counted, and their individual radii measured at three points along the pore, near the external opening, mid-way and close to the mammillary layer, these measurements were averaged to produce a mean pore radius.

## Scanning electron microscopy (SEM)

### Eggshell Thickness

SEM (FEI Quanta 200 ESEM, Eindhoven, Netherlands) was used to observe the thickness of the eggshell, the thickness of each constituent layer and external features. The samples were rinsed with reverse osmosis water to further remove any particles and allowed to air dry; samples were then mounted on aluminium stubs and gold sputtered with approximately 100 nm of gold in a vacuum (Baltec SCD 050 sputter coater). The images were taken by the Manawatu Microscopy and Imaging Centre (MMIC), using an accelerating voltage of 20kV and a spot size of 3 to 4. For this technique, eggshells of 23 Brown Kiwi, 4 Roroa, 21 Rowi and 16 Haast Tokoeka were used.

Images of the cuticle were resolved at 20  $\mu\text{m}$  resolution, cross sectional images and internal side images were produced at 300  $\mu\text{m}$ . The measurements were analysed using ImageJ free software (Rueden et al. 2017).

### Pore radii

The average pore radius was measured using SEM images of the external layer. Only images that showed opened pores in which the diameter could be measured were used ( $N=87$ ), these images belonged to 28 individual eggs, and we used ImageJ to take three measurements of diameter per pore. These measurements were subsequently divided by two to estimate the radius and the radii were averaged per individual pore. A species average was used for further calculations and individual averages were used to compare with microCT radius measurements. However, no statistical model was used due to the small sample size per species used in the microCT.

### Dissecting microscope

The pore density of the three species eggshells was observed under a dissecting microscope (Olympus SZX12). Three eggshell fragments from the equatorial region of Brown Kiwi (13), Rowi (12), and Haast Tokoeka (10) were washed in an ultrasonic bath filled with deionized water to remove the plugs from the pores, let air dry and stained with an alcohol-based solution of Malachite green (1%). The eggshells were placed on paper towels and two drops of the dye were applied to the inner eggshell surface. The eggshells were let to set to allow the dye to penetrate the pores without staining the cuticle (Figure 2C).

The eggshells were observed under stereo microscope (Olympus SZX12) and photographed using an attached camera (Olympus S30) and then the pores were individually counted using ImageJ. The eggshells from the same individuals were later used in the Water Vapour Conductance experiments. This way the average pore density was estimated (pores/ $\text{cm}^2$ ).

### Water vapour conductance

We used an alternative method for the estimation of the water vapour conductance by using the eggshells following the methodology reported by Portugal et al. (2010), instead of using a whole infertile egg (Ar and Rahn 1985). Fragments from the equator of the eggshell ( $N=62$ ) from the three species were glued (inside down) onto a PCR tube (SSI, 0.5 ml, Cat. No. 1110-02) filled with 200  $\mu\text{L}$  of distilled water. The tubes were placed in PCR trays for easy handling and the trays placed in a desiccator containing 550 g of colour-indicating silica gel and the desiccator in a controlled temperature room at 25°C. Water loss was measured every 24 hours for a period of three days by weighing the tubes. Eggshells from commercially produced chicken eggs were included in this experiment as control to determine if the values obtained in this experiment were congruent with those reported in the literature. Separately, fragments from different eggshell regions of eggs that were intact enough for each region to be recognised, were used in the same way. The fragments were taken from the blunt end (B), the acute end (A) and the equator (E) (Figure 1B). The purpose for this was to determine if there were differences between the different eggshell regions and according to species.

We determined the daily water loss ( $M_{H_2O}$ ) by weight loss and calculated the water vapor conductance as:

$$G_{H_2O} = M_{H_2O} / P_{H_2O} \text{ Eq. 1}$$

Where is the water vapor conductance, and is the pressure difference at standard conditions (1 atmosphere and 25°C). The air cell pressure and nest environment pressure difference has been calculated for most avian species, including burrow nesters (23.77  $\text{mg} \cdot \text{d}^{-1} \cdot \text{torr}^{-1}$ ). Therefore, we used this value as the water pressure difference ( $P_{H_2O}$ ).

### Water vapour conductance of eggs during incubation

ONE incubates eggs at a constant temperature of 35.5°C and maintains humidity constant at about 60%. As part of their protocol eggs are weighted upon arrival and before hatching, to account for excessive water loss. Using this information, we calculated the water vapour conductance of the eggs under these circumstances,

with the intention of obtaining a value of water vapour conductance of the whole egg in addition to the water vapour conductance of individual eggshell fragments. We used the relative humidity equation to correct for these conditions and calculated the partial pressure in the artificial incubator, thus calculating the pressure difference across the eggshells (considering that the pressure difference is 23.77 Torr in 0% humidity).

$$p_{H_2O} = \frac{RH * p^*_{H_2O}}{100\%}$$

Eq. 2

Here,  $p_{H_2O}$  is the partial pressure of water vapour in the incubator, RH is the relative humidity (60%), and is the total pressure of water vapour at 35.5 °C. Once the water vapour conductance was calculated for these conditions, the water loss was calculated for the standard conditions (25°C and 0% humidity) using the formula developed by Ar et al., (1974):

$$\dot{M}_{H_2O} = c * D_{H_2O} * \frac{Ap}{L} * P_{H_2O}$$

Eq. 3

Where is the daily water loss,  $C$  is a conversion constant ( $155.52 * 10^7 / R * T$ , where  $R$  is the ideal gas constant:  $6.24 * 10^4 \text{ cm}^3 * \text{Torr} / \text{mol} * ^\circ\text{K}$ , and  $T$  is absolute temperature in  $^\circ\text{K}$ ), is the diffusion coefficient of water in air at a given temperature (in  $^\circ\text{C}$ ),  $Ap/L$  is porosity, and the difference in pressure across the eggshell. From this using equation 3.5 water vapour conductance of whole eggs at 25 and 0% humidity was calculated and compared with the results reported by Calder (1978) and Silyn-Roberts (1983) for Brown Kiwi, and with the values reported by Ar et al. (1974) for other avian species.

The water vapour conductance was calculated by using the daily water loss calculated from equation (3) in equation (1). Finally, to compare our results with allometric predictions we calculated the water vapour conductance for those eggs based on Ar et al. (1974):

$$G_{H_2O} = 0.432 * W^{0.780} \text{ Eq. 4}$$

Where  $W$  is the fresh weight of the egg, in this case we used the arrival weight of the eggs since there was no way to know the fresh egg weight. In this measurement only Brown Kiwi eggs were used as were the only ones that were weighed before hatching so the water loss could be determined.

## Statistical analysis

A discriminant analysis was used to determine the degree of association of each eggshell sample with the donor species to determine the degree of differentiation between the four species of *Apteryx*. This was done to determine if the eggshells of Brown kiwi, Rowi, Roroa, and Haast Tokoeka were different and could be associated with environmental variables. For this, we used weather information from the area the egg was collected; the average monthly temperature, pluviosity, and barometric pressure from April 2015 to February 2016 was obtained from worldweatheronline.com. A spearman rank correlation was performed for eggshell thickness, pore density, and pore radius with each of the mentioned climatic variables.

A one-way ANOVA was performed using the samples from Brown kiwi, Rowi and Haast Tokoeka to determine if there was a significant difference in terms of eggshell thickness and cuticular thickness; Roroa was not included in this or any further analysis, as the sample size of eggs was too small.

An ANOVA was used to test if there were differences in water vapour conductance between the species and a nested linear model was used to test the different eggshell regions. In this case the fragments (E, A and B) were nested within species. All the ANOVA's performed in this study were followed by a Tukey *post hoc* test to assert which species differ from each other. A repeated measures ANOVA was used to determine the difference in water vapour conductance of three different regions of the eggshell of Brown kiwi, Rowi and Haast Tokoeka, and to determine the extent of the interaction of the species and the region of the eggshell.

A paired T-test was performed to compare the water vapour conductance of whole Brown Kiwi eggs being incubated by ONE and the water vapour conductance calculated from Eq. 6. using the mass of the egg. All

the statistical analysis in this study were made using MiniTab 18 Statistical Software.

## Results

### The different layers of the *Apteryx* eggshell

*Apteryx* eggshells showed a clear demarcation between the four constituent layers (Figure 3). With exception of the mammillary layer (ANOVA,  $F=1.85$ ,  $p=0.150$ ;  $n=59$ ), the constituent layers of the eggshell showed variation between species. The proportion of cuticle from the total eggshell thickness (ANOVA,  $F=8.85$ ,  $p<0.001$ ;  $n=59$ ) and the proportional thickness of the crystalline and palisade layers ( $F=6.76$ ,  $p = 0.001$ ;  $n=59$ ) varied significantly between the four *Apteryx* species (Figure 3).

### Interspecific comparison

#### Discriminant analysis

Using simultaneously the thickness of the eggshell, the mammillary density and area, and the thickness of the different constituent layers it was possible to associate a particular eggshell to its right species in 78 % of the cases (Brown Kiwi= 76.5% , Roroa=75% , Rowi= 78.9% , Tokoeka= 80%).

#### The cuticle (or external layer)

All *Apteryx* species presented thin cuticles with a wax-like appearance, which in contrast to the smooth sponge like texture of the crystalline layer made it easy to identify (Figure 2A). Seen from outside the cuticle was smooth and unpigmented, pores seemed to radiate from underneath the cuticle (Figure 2B).

The cuticle thickness decreased significantly with latitude, being thicker in Brown Kiwi and thinnest in Rowi and Tokoeka; the significance was due to differences between Brown Kiwi and Rowi (Table 3). Under the micro CT scan, the crystalline structure of the cuticle became apparent, and it was possible to discern the individual crystals that formed it (Figure 4).

#### The Pores

Some pores were hard to identify in the external images of the eggshells because a “cap” made from the same mineral as the cuticle (Figure 5) covered them. *Apteryx* eggshells had cylindrical (or funnel shaped) pores that usually crossed the thickness of the eggshell slightly on an angle. In each fragment, very few pores reached the cuticle surface of the eggshell, with most reaching only half or less of the total thickness of the eggshell and many smaller pores that barely went beyond the mammillary layer (occluded pores, Figure 5A).

The observations made using the micro CT were confirmed by Scanning Electron Microscopy images of the exterior of the eggshells, where in some cases caps were seen covering the pores and in others mineral occlusions were observable (Figure 6). These caps and occlusions were observed in all four species and in all individuals.

Accordingly, fewer pores were visible at the cuticle side of the egg and many more from the inside. Since the CT is an X-ray technique, it is possible to see at different “depths” through the eggshell. When observed near the cuticle few pores were discernible but when looking at midway between the cuticle and the mammillae more pores become visible, confirming what was seen in the cross sections (Figure 6D, E).

#### Pore radius and pore density

Pore size measured as pore radius was different between the southern species and Brown Kiwi, the latter having smaller pores; pore density in contrast was higher in Brown Kiwi and lowest in Tokoeka. The measurements taken using MicroCT were in accordance with those obtained with SEM, except for Tokoeka. In Tokoeka the pores seemed to thin midway, having a larger outside opening and a broader base (Table 4).

#### Eggshell thickness

Eggshell thickness varied with species and seemed to follow a latitudinal pattern with thinner eggshells in the northernmost species and thicker eggshells in the southernmost species (Table 3); Roroa was not included in the ANOVA because of the small sample size, but the eggshell thickness is indicated in the table.

### Climatic variables

All the climatic variables showed moderate to low correlations with eggshell physical parameters (Table 5). Pluviosity showed significant relationships with all the eggshell physical characteristics, while pore density was not correlated with temperature or barometric pressure. Thickness was moderately associated with pluviosity, temperature and barometric pressure, and all these associations were significant.

### Water vapour conductance

The three species of *Apteryx* differed significantly in the water vapour conductance of their eggshells (Figure 7A), with Brown Kiwi having a significantly higher water vapour conductance when compared to Tokoeka ( $F=3.87$ ,  $p=0.001$ ), and Rowi being significantly different to Tokoeka ( $F=2.06$ ,  $p=0.05$ ). Rowi did not differ significantly from Brown Kiwi ( $F=1.91$ ,  $p=0.062$ ); however, this comparison could be considered close to being significant. The different regions of the eggshell confirmed existing differences in water vapour conductance between species, however Rowi being the only one significantly different, marginally when compared to Brown Kiwi ( $T=-2.11$ ,  $p=0.05$ ) and significantly when compared to Tokoeka ( $T=2.59$ ,  $p=0.02$ ), also only the blunt end was significantly different to the other two regions for the three species. There was no interaction between species and fragment (Table 6).

### Water vapour conductance of eggs during incubation

The water vapour conductance of whole eggs was significantly different to that calculated from allometric equations using the egg's mass ( $df=19$ ,  $T=3.86$ ,  $p=0.001$ ) (Figure 7A).

We compared the water vapour conductance calculated in this study for whole incubated eggs with that reported in the literature (Table 7). Calder (1978a) used infertile eggs and the methodology employed by Rahn et al., (1974). Sylin-Roberts (1983) devised a method for calculating the pore number and pore area and calculated the water vapour conductance of the whole egg from three eggshell fragments. Both these studies were done on *Apteryx australis* eggs and eggshells, but at this time, *Apteryx australis* included *A. mantelli*, *A. rowi*, and *A. australis*.

We plotted the resulting water vapour conductance alongside the data published by Ar et al., 1974, where they demonstrated how the water vapour conductance is proportional to the 0.78 power of the eggs mass (Figure 8). Here we show how the water vapour conductance of Brown Kiwi fits perfectly with what is observed for other species.

### Discussion

In this study, we found that the eggshells of four of the five species of *Apteryx* (Brown kiwi, Rowi, Roroa, and Haast tokoeka) were different enough to be assigned to their corresponding species using morphological characters. Whether these differences are due principally to phylogeny or ecology is debatable. Some of the species have suffered severe contraction to their ranges and all individuals left remain in a single population (i.e. Rowi and Roroa). Eggshells' characteristics within a species (i.e. chickens) are known to be affected by environmental conditions (Rahn et al. 1982), and other studies have shown that the characteristics we measured in this study are strongly affected by the environmental conditions that individual birds live in (Winkler and Sanchez-Villagra, 2006; D'Alba et al., 2017). Therefore, in the next paragraphs we will discuss the possible ecological conditions that could explain these characters.

### Cuticle and Eggshell

We found that the Apterygian cuticle consisted of a very thin, waxy, water repellent mineral layer composed of triangular particles; that at times, formed aggregations that occluded eggshell pores. We also found that all the studied species of *Apteryx* presented plugged or capped pores. From our observations it seems possible



that the cuticle of *Apteryx* erodes over time, from a more sealed egg when freshly laid to a more porous one, as the embryo develops and requires a greater gas exchange. We also found that many pores did not go all the way through the eggshell, a similar observation was mentioned by Silyn-Roberts (1983) nevertheless, the function of these types of pores is still not clear. Similar pores that do not transverse the eggshells have been observed in the eggs of Ostriches (*Struthio camelus*), a relative of *Apteryx* (Willoughby et al., 2016; Maina, 2017).

The cuticle and eggshell mediate the interaction between the developing embryo and the environment. As such, they are the first barriers against pathogens and allow gas exchange and water vapour conductance (D’Alba et al. 2017). Features of the cuticle such as plugs, and caps have been associated with nesting in humid environments (D’Alba et al. 2016) as they block the pores. Board (1981) suggested that plugs could also serve as a physical barrier to defend the egg contents particularly against waterborne microbes. *Apteryx* species breed during the austral winter, which in New Zealand is characterised by frequent and heavy precipitation (Leathwick et al. 2002); they lay their eggs in burrows with a relatively humid environment and their incubation period is long. We found that pluviosity was significantly associated with eggshell thickness, pore density and pore radius.

A thicker eggshell means longer pores, reducing the number and area of the pores could be an adaptation to prevent water to penetrate the egg. This seems even more plausible considering that other adaptations, such as plugs, and caps seem to point in the same direction. *Apteryx* nests are frequently holes in the ground, this, with an incubating parent that could return to the nest with a wet plumage seems to indicate that the kiwi incubates in a very wet environment. Which in turn it would be expected to increase the humidity of the nest, making very necessary that future studies measure the humidity of nests in rainy areas such as Okarito or Haast, areas inhabited by Rowi and Tokoeka respectively, furthermore, Okarito experiences frequent snow (up to 1m in the past 10 years); studying the nest architecture of Rowi and Tokoeka would clarify further the nature of the different characteristics present in the Apterygian eggshell.

*Apteryx* does not present the accessory layer described in Megapodidae, Phoenicopteridae, and Podicipedidae (Tullett et al. 1976, Board et al. 1984, D’Alba et al. 2017) and that has been associated with decreasing bacterial penetration in high humidity environments. It seems that *Apteryx* might achieve a more sealed egg with a water-repellent smooth cuticle that erodes as gas diffusion needs increase with the progression of embryo development.

Temperature and barometric pressure play a very important role in gas diffusion; higher temperatures and lower pressures allow the gases to diffuse at faster rates. Water vapour conductance and gas exchange can be modulated by variations in the number, length, shape, and diameter of pores and by obstructions in the pores. For example, water vapour conductance and gas exchange can be lowered by having less pores per area, longer pores, branched pores, and by increasing the number of caps and plugs or unperforated pores. Therefore, the number and shape of pores have been found to be related to incubation period (Zimmermann et al. 2007), altitude where the birds live (Rahn et al., 1977), and the nest microclimate (Birchard and Kilgore, 1980).

Eggshell thickness and pore radius showed a moderate to low negative correlation with barometric pressure. At lower barometric pressures water diffusivity increases therefore eggs are at higher risk of desiccation, hence a thicker eggshell and smaller pore would reduce the water vapour conductance accordingly. The opposite is true for higher barometric pressures; however, in this case the eggshell must also stand a greater overall pressure on its structure, therefore a thickened eggshell would be beneficial. In chickens, a move from an altitude of 3800 masl to 1200 masl resulted in an increased eggshell thickness with decreased pore radius to compensate for the increase in barometric pressure (Rahn et al. 1982). Similar correlations occurred between temperature and eggshell thickness and pore radius.

Eggshell thickness is known to be proportional to the body mass of the laying bird (Tullett 1978, Birchard and Deeming 2009). However, *Apteryx* species vary in their incubation behaviour. In Brown Kiwi the male is the sole incubator, while in Roroa and Rowi both male and female incubate and in Tokoeka, there are

helpers at the nest, requiring further studies relating both the female and the male body sizes to the egg and the eggshell, it is worth to notice that the egg mass is very high in relation to body mass, being 23.6 and 14.6% for *A. owenii* and *A. australis* (possibly *A. mantelli*) (Dyke and Kaiser 2010). *Apteryx* eggshells are allometrically thinner than expected and this is probably to reduce the weight the female must carry (Calder 1979) and to allow chicks to crack the shell when hatching, as *Apteryx* does not possess an egg tooth (Calder 1978). The trade-off is then that a thinner eggshell is more prone to mechanical damage and even hairline fractures have been known to impact embryo health and development (Sylin-Roberts 1980; Stadelman 1995). In our study, the lightest (on average) of the four species, the Rowi had a thicker eggshell than the heavier Brown Kiwi; also, the Roroa, the heaviest of *Apteryx* had a thinner eggshell than the lighter Haast Tokoeka.

We suggest that the different incubation strategy of each species could also influence the evolution of eggshell thickness. In Tokoeka both males and females incubate, females are larger than the males and this could have been a selective pressure for a thicker eggshell that evaded breakage. In contrast, in the lighter Brown Kiwi generally only the males incubate. Rowi, being the smallest of the three species, presents an eggshell thickness similar to that of Haast Tokoeka but in contrast to Brown Kiwi, both male and female have been observed incubating (Colbourne 2002).

### Pores, gas exchange and water vapor conductance

Prior to this study the understanding was that the water vapour conductance of *Apteryx* was 65% lower than expected by allometric predictions because of low porosity in relation to egg mass, and as a compensation for a very long incubation period (Tullett 1984). The water vapour conductance we measured using shells was in accordance to that measured for ground burrowing species reported by (Portugal et al. 2014). The different eggshell regions showed different water vapour conductance indicating a difference either in thickness or pore density. In some eggs, it was possible to observe a cluster of pores concentrated in the most apical extreme of the blunt end; however since the eggshells used in the study came from hatched individuals in most cases this particular region had fractures and a neighbouring fragment was used, possible underestimating the actual number of pores of that region, nevertheless it has been noted that the air-cell of *Apteryx* is off centre (Rowe 1978). Significant differences were seen between the blunt end and the acute end for Brown Kiwi and Rowi in water vapour conductance, it is possible that this is also the case for Haast Tokoeka but because of the difficulty of sampling this was not observed. Differences in porosity in different eggshell regions have been reported for ducks and gulls (Portugal et al. 2010), but the purpose of this trait has not been discussed.

The water vapour conductance of the whole egg was almost twice as high than previously reported at 40.84 mg/day.torr compared to 26.00 and 23.71 mg/day.torr reported by Calder (1978) and Silyn-Roberts (1983) respectively. Furthermore, when compared with the values reported by Ar et al., 1974 for a variety of avian species, *Apteryx mantelli* fitted perfectly into the expected relationship between egg mass and water vapour conductance, meaning that the egg loses water in the same proportion as any other avian egg. However, these calculations were made towards the end of the incubating process as opposed to the previous studies which used freshly laid eggs.

Calder (1978) stated that Kiwi's eggshell porosity was 60% of the predicted value by Ar et al., (1974) equation. However, we found that pore density and pore radius were greater than previously measured, indicating that porosity should be higher, this in conjunction with plugged pores could explain what this was found in the past. In his study, Calder (1978) used eggshells from infertile eggs, where the cuticle would be intact and occlusions in place, this could explain why when the pores were counted, the porosity was under-estimated leading to exaggerated assumptions regarding the water vapour conductance of *Apteryx*. The eggshells we used belonged to successfully hatched eggs, meaning that if there was any abrasion or pore opening, pores would be more visible at this stage than in an infertile egg. This still needs to be tested by comparing the porosity of freshly laid eggs versus the porosity of successfully hatched eggs in the wild.

Our observations suggest that the cuticle becomes thinner through the incubation process. We suggest that this wearing results in more open pores with plugs, and occlusions disappearing and even some unperforated pores reaching the surface of the shell as the embryo develops allowing greater gas exchange and water

vapour conductance. This would make sense for a species with a long incubation period in an underground nest, as capped pores could help reduce the risk of microbial contamination in early stages of development where oxygen is barely required. Prinzinger et al. (1995) reported that precocial embryos would drastically increase the oxygen consumption later in the incubation period, by this point *Apteryx* could have “polished” the eggshell allowing an increased gas exchange, by that time the defences remaining in the albumin would help protecting the embryo until hatching. The cuticle has been observed to help modulating to some extent the gas exchange in the domestic fowl (Peebles and Brake 1986); it would be then necessary to compare further incubated and unincubated *Apteryx* eggshells to determine if something similar occurs in these species.

A similar increase of water vapour conductance during incubation has been suggested for Adelie Penguins (*Pygoscelis adeliaei*) to cope with the extreme aridity of the Antarctic (Thompson and Goldie 1990); similarly, a reduction in pore thickness by mammillary and pore erosion has been suggested for the Mallee Fowl (*Leipoa ocellata*) as a mechanism to increase water vapour conductance during a long incubation period in a high humidity and low oxygen environment (Booth and Seymour 1987). These observations suggest that water vapour conductance does not remain constant throughout the entire incubation process. Furthermore, some of these adaptations could give an advantage to birds to adjust water loss according to immediate ecological demands.

The triangular particles in the cuticle of *Apteryx* have not been reported for any other bird, but similar ones were observed in the fossil eggshells of *Trigonoolithus amoea* a theropod from the lower cretaceous period found in La Cantalera, Spain (Moreno-Azanza 2013). Since it has been suggested that many dinosaurs might have buried their eggs (Tanaka et al. 2015), and there is evidence of a nesting theropod (*Oviraptor philoceratos*) which might have similar nesting behaviours to modern ratites (Norell et al. 1994), it could be possible to suggest that these triangular particles play some role related to the nest environment.

The eggshell characteristics found in this study suggest that *Apteryx* species are adapted to the environmental conditions where the eggs are incubated, and possibly the breeding strategies. Each characteristic may be explained by more than one factor suggesting that it is the synergy between the factors that shaped the eggshells of the different species.

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## Authors’ Contribution

DV and IC conceived the idea. All authors contributed critically to designing the methodology, DV collected and analysed the data with help from PM. All authors contributed critically to the writing of the manuscript and gave final approval for publication. ML collected micro CT scans and helped with their interpretation.

## Declarations

Eggshells from hatched eggs were used as conservation policies of these endangered species are very strict and would not allow risking or ending the life of any bird, and we acted according to the permits obtained from the Department of Conservation of New Zealand (Authorisation number: 56537-DOA) .

## Data accessibility

All authors have agreed to archive the morphological data in Dryad.

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- Table 1. Information regarding species and their weights, sites of origin of the eggshell samples, and conditions at the sample sites. DAI=Number of days in artificial incubation, EA=Estimated age at the start of incubation in captivity. \*Average value for all Brown Kiwi samples. Data on weights from Castro and Morris (2011). Locations are Co=Coromandel, To=Tongariro, Oh=Ohope, Ma=Maungataniwha, Pa=Paparoa National Park, Ok=Okarito Forest, Ha=Haast Sanctuary.

Species	Location	Max. weight (Kg)	Max. weight (Kg)	Co- ordinates	Co- ordinates	DAI (Mean±SD)	EA (Mean±SD)	No. eggs
		Males	Females	Lat	Long			
Brown Kiwi	Oh Ma To Co	2.0	2.8	-37.980	177.028	26 ±12*	48±12*	3 7 12 8
				-38.809	176.783			
				-39.159	175.550			
				-37.054	175.666			
Roroa	Pa	2.3	3.0	-42.021	171.360	unknown	unknown	4
Rowi	Ok	2.4	2.5	-43.273	170.176	39±12	35±12	25
Tokoeka	Ha	2.3	3.0	-44.025	168.214	36±17	38±17	20

Table 2. Climatic data is an average of the monthly data from April 2015 to February 2016 obtained from World Weather Online ([www.worldweatheronline.com/lang/en-nz](http://www.worldweatheronline.com/lang/en-nz)). Locations are Co=Coromandel, To=Tongariro, Oh=Ohope, Ma=Maungataniwha, Pa=Paparoa, Ok=Okarito, Ha=Haast. Incubating strategy (IS) represents the involvement of parents in the incubation process; M=male incubation only, MF= male and female, and MF-Co=male, female and helpers.

Species	Location	IS	Pluviosity (mm)	Pluviosity (mm)	Temperature (°C)	Temperature (°C)	Pressure (m)
			Mean	SD	Mean	SD	Mean
Kiwi	Co	M	46.03	17.05	15.36	3.23	1017.35
	To		39.92	21.13	9.18	4.26	1016.20
	Oh		53.44	21.49	11.64	4.11	1016.73
	Ma		27.80	18.43	13.27	3.64	1016.19
Rorua	Pa	MF	35.25	21.00	15.27	3.29	1017.68
Rowi	Ok	MF	104.35	35.34	4.00	4.75	1014.01
Tokoeka	Ha	MF-Co.	140.74	55.26	8.91	3.21	1014.09

Table 3. Summary of the eggshell thickness and eggshell cuticle thickness obtained by scanning electron microscopy of the eggshell cross-section (N=64), superscripts next to the species name represent the Tukey post hoc test indicating which are the significantly different species. Rorua were not included in the analysis due to the very small sample size.

Species	N	Total Thickness (µm)	MSE	Cuticle Thickness (µm)	MSE
Brown Kiwi	23	304.77 <sup>a</sup>	9.93	4.07 <sup>a</sup>	0.23
Rowi	21	368.95 <sup>b</sup>	10.61	3.04 <sup>b</sup>	0.24
Tokoeka	16	383.96 <sup>b</sup>	12.56	3.03 <sup>b</sup>	0.29
		F value 15.50	p value >0.0001	F value 6.16	p value 0.004
Rorua	4	372.20	2.12	3.30	0.50

Table 4. Summary of the pore density, and average pore radius. PR=previously reported data (Sylin-Roberts, 1983).

Species	N	Pore density (pores/cm <sup>2</sup> )	Pore density (pores/cm <sup>2</sup> )	Pore radius* (µm)	Pore radius* (µm)	N	Pore radius[?] (µm)	Pore radius[?] (µm)	Pore radius[?] (µm)
		Mean	MSE	Mean	MSE		mean	SE	
Kiwi	13	51.3 <sup>a</sup>	3.12	22.90 <sup>a</sup>	2.81	3	18.43	0.40	11.23 <sup>+</sup>
Rowi	12	45.7 <sup>ab</sup>	3.25	33.04 <sup>b</sup>	2.69	2	32.79	0.43	
Tokoeka	10	36.1 <sup>b</sup>	3.56	31.80 <sup>ab</sup>	3.81	2	18.27	1.75	
F value		5.19	5.19	3.74	3.74				
P value		0.012	0.012	0.04	0.04				
Rorua						2	17.99	4.87	

Superscripts indicate the statistical differences found using Tukey's test, values within columns with dif-



ferent superscript are significantly different from each other ( $p \leq 0.05$ ). \*Data obtained by measuring SEM images. \*\*Data obtained by averaging three measurements along individual pores visualised using microCT. +Calculated from reported individual pore area.

Table 5. Spearman rank correlations between environmental variables and eggshell physical characteristics.

Eggshell character	N	Pluviosity	Pluviosity	Temperature	Temperature	Pressure
		Spearman coefficient	<i>p</i> -value	Spearman coefficient	<i>p</i> -value	Spearman coefficient
Eggshell thickness	42	<b>0.59</b>	<b>&lt;0.001</b>	<b>-0.51</b>	<b>&lt;0.001</b>	<b>-0.42</b>
Pore density	37	<b>-0.51</b>	<b>0.001</b>	0.11	0.51	0.10
Pore radius	29	<b>-0.36</b>	<b>0.05</b>	<b>-0.43</b>	<b>0.02</b>	<b>-0.40</b>

Statistically significant associations are in bold.

Table 6. Repeated measures analysis of the three different eggshell regions in the three species of Brown *Apteryx*

Species	Species	Species	Fragment
	Least Square Mean	SE	
Kiwi <sup>a</sup>	0.24	0.013	A <sup>a</sup>
Rowi <sup>b</sup>	0.28	0.015	E <sup>a</sup>
Tokoeka <sup>a</sup>	0.23	0.012	B <sup>b</sup>
F-value	3.15		F-value
<i>P</i> value	0.05		<i>P</i> value
Interaction Species *Fragment	Interaction Species *Fragment	Interaction Species *Fragment	Interaction Species *Frag
F-value	2.06		
<i>P</i> value	0.11		

The super script indicates significant differences

Table 7 Water vapour conductance values reported in the literature.

Water vapour conductance (mg/day.torr)	Source	N
26.00	Calder (1978)	5
23.71*	Sylin-Roberts (1983)	1
40.84	This study	15

\*The value reported by Sylin-Roberts is based on a calculation of the pores on three eggshell fragments belonging to the same egg.











