

Existence, multiplicity and concentration of positive solutions for a  
modified Schrödinger equation with critical exponent \*

Xian Wu<sup>†</sup>

Department of Mathematics, Kunming University, Kunming, Yunnan 650214, P.R.China

Department of Mathematics, Yunnan Normal University, Kunming, Yunnan 650092, P.R.China

email: wuxian2042@163.com

Xingwei Zhou

Department of Mathematics, Kunming University, Kunming, Yunnan 650214, P.R.China

e-mail: km\_xwzhou@163.com

**ABSTRACT.** In this paper, we concern the modified Schrödinger equations

$$-\varepsilon^2 \Delta u + V(x)u - \varepsilon^2 u \Delta u^2 = |u|^{22^*-2}u + g(u), \quad x \in \mathbb{R}^N.$$

First, a existence result of ground state positive solutions is given. Next, we research multiplicity and concentration of positive solutions. Where  $N \geq 2$ ,  $\varepsilon$  is positive parameters and  $2^* = \frac{2N}{N-2}$  is the critical exponent,  $V \in C(\mathbb{R}^N, \mathbb{R}^+)$ ,  $g \in C(\mathbb{R}, \mathbb{R})$ . Our results improve corresponding results in [10] (X. He, A. Qian, W. Zou, Existence and concentration of positive solutions for quasilinear Schrödinger equations with critical growth, Nonlinearity, 26(2013), 3137-3168).

**Key Words:** modified Schrödinger equations; ground state solutions; critical expo-

---

\*This work is supported in part by the National Natural Science Foundation of China (11771385)

<sup>†</sup>Corresponding author. Tel.: +86 087165516053. E-mail address: wuxian2042@163.com

nent.

## 1 Introduction and Preliminaries

This paper deals with the existence, multiplicity and concentration of positive solutions for modified Schrödinger equations with critical growth

$$-\varepsilon^2 \Delta u + V(x)u - \varepsilon^2 \Delta(u^2)u = |u|^{22^*-2}u + g(u), \quad x \in \mathbb{R}^N, \quad (1.1)$$

where  $N \geq 2$ ,  $2^* = \frac{2N}{N-2}$ . Moreover,  $V \in C(\mathbb{R}^N, \mathbb{R})$ ,  $g \in C(\mathbb{R}, \mathbb{R})$  satisfy the following assumptions:

$$(V) \quad 0 < V_0 := \inf_{x \in \mathbb{R}^N} V(x) < \lim_{|x| \rightarrow \infty} V(x) := V_\infty \leq \infty;$$

$$(G) \quad (g_1) \quad g(s) = o(|s|) \text{ as } |s| \rightarrow 0;$$

$$(g_2) \quad \text{there exist } q \in (4, 22^*) \text{ and } \sigma > P_N \text{ such that}$$

$$\lim_{s \rightarrow +\infty} \frac{g(s)}{s^{q-1}} = 0, \quad g(s) \geq C_0 s^{\sigma-1}, \quad \forall s > 0,$$

where  $P_N = 4$  if  $N \geq 6$  and  $P_N = \frac{2(N+2)}{N-2}$  if  $N = 2, 3, 4, 5$ .

$$(g_3) \quad \frac{g(s)}{s^3} \text{ is increasing in } (0, +\infty), \quad g(s) = 0 \text{ for } s \leq 0.$$

Solutions of (1.1) are related to the standing wave solutions of the form

$$\psi(x, t) = e^{-iEt/\varepsilon} u(x)$$

for the Schrödinger equations

$$i\varepsilon \frac{\partial \psi}{\partial t} = -\varepsilon^2 \Delta \psi + V(x)\psi - \varepsilon^2 \Delta(\psi^2)\psi - f(\psi), \quad x \in \mathbb{R}^N. \quad (1.2)$$

The equation (1.2) appears naturally in mathematical physics and had been derived as models of several physical phenomena. We refer the reader to [2, 4, 6] and references therein for more physical motivations and development of physical aspects. The equation (1.1) has been extensively studied in recent years, for example, see [1, 3, 5, 7, 9, 10, 12, 13,

14, 15, 16, 17, 18, 19, 20, 21]. Particularly, in [10], the multiplicity and concentration of positive solutions of the equation (1.1) were studied, where except the conditions (V) and (G), authors add the following two hash conditions on the function  $g$ :

$$(g_4) \quad g \in C^1(\mathbb{R}, \mathbb{R});$$

$$(g_5) \quad \text{there exists } \theta \in (4, 22^*) \text{ such that}$$

$$0 < \theta G(s) := \theta \int_0^s g(t) dt \leq sg(s), \quad \forall s \in (0, +\infty).$$

Motivated by the above reason, in the present paper, our aim is to research the existence, multiplicity and concentration of positive solutions for problem (1.1) without  $(g_4)$  and  $(g_5)$ . Our results show that the two conditions  $(g_4)$  and  $(g_5)$  are no need.

Our main results as follows:

**Theorem 1** Suppose that (V) and (G) are satisfied. Then there exist  $\varepsilon^* > 0$  such that for any  $\varepsilon \in (0, \varepsilon^*)$ , the problem (1.1) possesses a ground state positive solution.

**Theorem 2** Suppose that (V) and (G) are satisfied. Then for any  $\delta > 0$  there exist  $\varepsilon^* > 0$  such that for any  $\varepsilon \in (0, \varepsilon^*)$ , the problem (1.1) has at least  $cat_{\Lambda_\delta}(\Lambda)$  positive solutions. Moreover, if  $u_\varepsilon$  denotes one of these solutions and  $\zeta_\varepsilon \in \mathbb{R}^N$  is its global maximum, then  $\lim_{\varepsilon \rightarrow 0} V(\zeta_\varepsilon) = V_0$  and  $\lim_{|x| \rightarrow \infty} u_\varepsilon(x) = 0$ . Where  $\Lambda := \{x \in \mathbb{R}^N : V(x) = V_0\}$  and  $\Lambda_\delta := \{x \in \mathbb{R}^N : d(x, \Lambda) \leq \delta\}$ .

We need the following preliminaries.

The Sobolev space  $H^1(\mathbb{R}^N)$  is defined by

$$H^1(\mathbb{R}^N) = \{u \in L^2(\mathbb{R}^N) : |\nabla u| \in L^2(\mathbb{R}^N)\}$$

with the natural norm

$$\|u\|_{H^1(\mathbb{R}^N)} = \left( \int_{\mathbb{R}^N} u^2 dx + \int_{\mathbb{R}^N} |\nabla u|^2 dx \right)^{\frac{1}{2}}.$$

Moreover, we define the homogeneous Sobolev space

$$D^{1,2}(\mathbb{R}^N) = \{u \in L^{2^*}(\mathbb{R}^N) : |\nabla u| \in L^2(\mathbb{R}^N)\}$$

with the norm

$$\|u\|_{D^{1,2}(\mathbb{R}^N)}^2 = \int_{\mathbb{R}^N} |\nabla u|^2 dx,$$

which can be equivalently defined as the completion of  $C_0^\infty(\mathbb{R}^N)$  under the norm  $\|\cdot\|_{D^{1,2}(\mathbb{R}^N)}$ .

We have the following results.

**Lemma 1.1** ([26]) *For  $N \geq 2$ , there exists a constant  $C = C(N) > 0$  such that  $\|u\|_{L^{2^*}_\alpha(\mathbb{R}^N)} \leq C\|u\|_{D^{1,2}(\mathbb{R}^N)}$  for every  $u \in D^{1,2}(\mathbb{R}^N)$ . Moreover the embedding  $H^1(\mathbb{R}^N) \hookrightarrow L^s(\mathbb{R}^N)$  is continuous for any  $s \in [2, 2^*]$ , and is locally compact whenever  $s \in [2, 2^*)$ .*

**Lemma 1.2** ([26]) *Assume that  $\{u_n\}$  is bounded in  $H^1(\mathbb{R}^N)$  and*

$$\lim_{n \rightarrow \infty} \sup_{y \in \mathbb{R}^N} \int_{B_R(y)} |u_n(x)|^2 dx = 0,$$

*where  $R > 0$ . Then  $u_n \rightarrow 0$  in  $L^s(\mathbb{R}^N)$  for every  $2 \leq s < 2^*$ .*

**Remark 1.1** *Similarly, at the case that the sequence  $\{|u_n|^{2^*}\}$  is vanishing, we can prove that  $u_n \rightarrow 0$  in  $L^s(\mathbb{R}^N)$  for every  $2 < s \leq 2^*$ .*

The equation (1.1) is equivalent to the equation

$$-\Delta u + V(\varepsilon x)u - u\Delta u^2 = |u|^{22^*-2}u + g(u), \quad x \in \mathbb{R}^N. \quad (1.3)$$

Set

$$X = \{u \in E_\varepsilon : u^2 \in H^1(\mathbb{R}^N)\},$$

where  $E_\varepsilon$  is defined as

$$E_\varepsilon = \{u \in H^1(\mathbb{R}^N) : \int_{\mathbb{R}^N} V(\varepsilon x)u^2 dx < +\infty\}$$

with the norm

$$\|u\|_\varepsilon = \left( \int_{\mathbb{R}^N} (|\nabla u|^2 + V(\varepsilon x)u^2) dx \right)^{\frac{1}{2}}.$$

Define the functional

$$\tilde{J}_\varepsilon(u) = \frac{1}{2} \int_{\mathbb{R}^N} (1 + 2u^2)|\nabla u|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x)u^2 dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |u|^{22^*} dx - \int_{\mathbb{R}^N} G(u) dx,$$

where  $G(u) := \int_0^u g(t)dt$ . Then  $\tilde{J}_\varepsilon$  is well defined on  $X$ . We say that  $u \in X$  is a weak solution of (1.3) if

$$\begin{aligned} 0 &= \int_{\mathbb{R}^N} (\nabla u \nabla \varphi + V(\varepsilon x) u \varphi) dx + 2 \int_{\mathbb{R}^N} (u^2 \nabla u \nabla \varphi + |\nabla u|^2 u \varphi) dx - \int_{\mathbb{R}^N} |u|^{22^*-2} u \varphi dx \\ &\quad - \int_{\mathbb{R}^N} g(u) \varphi dx \\ &= \langle \tilde{J}'_\varepsilon(u), \varphi \rangle \end{aligned}$$

for all  $\varphi \in X$ . Once we get a solution  $u_\varepsilon$  of (1.3), then the function  $v_\varepsilon(x) := u_\varepsilon(\frac{x}{\varepsilon})$  is a solution of (1.1). Set

$$J_\varepsilon(u) = \frac{1}{2} \int_{\mathbb{R}^N} (1 + 2u^2) |\nabla u|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) u^2 dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |u^+|^{22^*} dx - \int_{\mathbb{R}^N} G(u) dx,$$

where  $u^+(x) := \max\{u(x), 0\}$ . Then

$$\begin{aligned} \langle J'_\varepsilon(u), \varphi \rangle &= \int_{\mathbb{R}^N} (\nabla u \nabla \varphi + V(\varepsilon x) u \varphi) dx + 2 \int_{\mathbb{R}^N} (u^2 \nabla u \nabla \varphi + |\nabla u|^2 u \varphi) dx \\ &\quad - \int_{\mathbb{R}^N} |u^+|^{22^*-1} \varphi dx - \int_{\mathbb{R}^N} g(u) \varphi dx \end{aligned}$$

for all  $u \in X$  and  $\varphi \in X$ .

Since  $X$  is not a linear space, the critical point theory can not be direct applied for the functional  $J_\varepsilon$  on  $X$ . Moreover, as  $V_\infty = +\infty$ , the continuous embedding  $E_\varepsilon \hookrightarrow L^s(\mathbb{R}^N)$  is compact for  $2 \leq s < 2^*$  (see also Lemma 3.4 in [28]), so that the study of the problem is more easy, and hence, we consider only the case  $V_\infty < +\infty$ .

If  $u$  is a positive weak solution of (1.3) and  $J_\varepsilon(u) = \inf\{J_\varepsilon(v) : v > 0 \text{ and } J'_\varepsilon(v) = 0\}$ , then  $u$  is called a ground state positive solution of (1.3). In order to overcome the difficulty cause by the nonlinearity of space  $X$ , we will adopt the dual method proposed by Liu-Wang-Wang [15] and Colin-Jeanjean in [5].

Set  $\tilde{f}(s) := \int_0^s \sqrt{1 + 2t^2} dt$ . Then  $\tilde{f}$  is positive, strictly increasing, convex and  $C^\infty$  in  $[0, +\infty)$ . Hence, we set  $f = \tilde{f}^{-1}$  for  $s \geq 0$ . For  $s \leq 0$ , we put  $f(s) := -f(-s)$ , and hence

$$f'(s) = \frac{1}{\sqrt{1 + 2f^2(s)}}, \quad \forall s \in (-\infty, +\infty).$$

Set

$$\begin{aligned}\Phi_\varepsilon(v) = & \frac{1}{2} \int_{\mathbb{R}^N} |\nabla v|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) f^2(v(x)) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(v^+(x))|^{22^*} dx \\ & - \int_{\mathbb{R}^N} G(f(v(x))) dx.\end{aligned}$$

Then  $\Phi$  is well defined in  $E_\varepsilon$  and  $0 \leq v \in E_\varepsilon$  is a critical point of  $\Phi_\varepsilon$  if and only if  $u = f(v)$  is a nonnegative critical point of  $J_\varepsilon$ .

For completeness we collect here some properties of  $f(t)$ .

**Lemma 1.3** (see, [5, 10, 15, 18]) *The function  $f(t)$  enjoys the following properties:*

- (1)  $f$  is uniquely defined  $C^\infty$  function and invertible.
- (2)  $|f'(t)| \leq 1$  for all  $t \in \mathbb{R}$ .
- (3)  $|f(t)| \leq |t|$  for all  $t \in \mathbb{R}$ .
- (4)  $\lim_{t \rightarrow 0} \frac{f(t)}{t} = 1$ .
- (5)  $\lim_{t \rightarrow +\infty} \frac{f(t)}{\sqrt{t}} = 2^{\frac{1}{4}}$ .
- (6)  $\frac{1}{2}f(t) \leq tf'(t) \leq f(t)$  for all  $t \geq 0$ ,  $\frac{1}{2}f(t) \geq tf'(t) \geq f(t)$  for all  $t \leq 0$ ;
- (7)  $|f(t)| \leq 2^{\frac{1}{4}}|t|^{\frac{1}{2}}$  for all  $t \in \mathbb{R}$ .
- (8)  $f^2(t)$  is strictly convex.
- (9) There exists a positive constant  $C$  such that

$$|f(t)| \geq \begin{cases} C|t|, & |t| \leq 1, \\ C|t|^{\frac{1}{2}}, & |t| \geq 1. \end{cases}$$

- (10) There exists a positive constants  $C_0 > 1$  such that

$$|t| \leq C_0[|f(t)| + |f(t)|^2], \quad \forall t \in \mathbb{R}.$$

- (11)  $|f(t)f'(t)| \leq \frac{1}{\sqrt{2}}$  for all  $t \in \mathbb{R}$ .

(12) For each  $\lambda > 0$ , there is a constant  $C(\lambda) > 0$  such that  $f^2(\lambda t) \leq C(\lambda)f^2(t)$  for all  $t \in \mathbb{R}$ .

(13)  $\frac{f(t)f'(t)}{t}$  is strictly decreasing for  $t > 0$ .

(14)  $\frac{f^q(t)f'(t)}{t}$  is strictly increasing for  $q \geq 3$  and  $t > 0$ .

(15)  $\lim_{t \rightarrow +\infty} [t - \frac{1}{4\sqrt{2}} \ln t - \frac{1}{\sqrt{2}} f^2(t)] = c_0 > 0$ .

(16)  $f^{22^*}(t) = 2^{\frac{N}{N-2}} t^{2^*} - ct^{2^*-1} \ln t + O(t^{2^*-1})$  as  $t \rightarrow +\infty$ .

In the following, without loss of generality, we assume  $0 \in \Lambda$ . By the condition (V) we know that  $\Lambda$  is compact. Throughout the paper, we denote distinct constants by  $C$  and  $C_i$ .

## 2 Preliminary Results

Set  $E_\varepsilon^+ = \{v \in E_\varepsilon : v^+(x) \neq 0\}$  and  $S_\varepsilon^+ := S_\varepsilon \cap E_\varepsilon^+$ , where  $S_\varepsilon$  is the unit sphere of  $E_\varepsilon$ .

**Lemma 2.1** *There exist constants  $C, \rho > 0$  such that*

$$\int_{\mathbb{R}^N} |\nabla v|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(v) dx \geq C \|v\|_\varepsilon^2$$

whenever  $\|v\|_\varepsilon \leq \rho$ .

**Proof:** If this is false, then there is a sequence  $\{v_n\} \subset E_\varepsilon$  such that  $v_n \rightarrow 0$  in  $E_\varepsilon$  and

$$\int_{\mathbb{R}^N} |\nabla v_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(v_n) dx < \frac{1}{n} \|v_n\|_\varepsilon^2.$$

Set  $w_n := \frac{v_n}{\|v_n\|_\varepsilon}$ . Then

$$\int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) w_n^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) \left[ \frac{f^2(v_n)}{v_n^2} - 1 \right] w_n^2 dx < \frac{1}{n}.$$

Notice that, up to a subsequence, one has  $v_n(x) \rightarrow 0$  a.e.  $x \in \mathbb{R}^N$ . Hence, for each  $\delta > 0$ , the measure  $|\{x \in \mathbb{R}^N : |v_n(x)| > \delta\}| \rightarrow 0$  as  $n \rightarrow \infty$ , and hence,

$$\int_{|v_n(x)| > \delta} w_n^2 dx \leq |\{x \in \mathbb{R}^N : |v_n(x)| > \delta\}|^{\frac{2^*-2}{2^*}} \left( \int_{\mathbb{R}^N} |w_n|^{2^*} dx \right)^{\frac{2}{2^*}} \rightarrow 0$$

as  $n \rightarrow \infty$ . Consequently, by Lemma 1.3 (4) we know that

$$\int_{\mathbb{R}^N} V(\varepsilon x) \left[ \frac{f^2(v_n)}{v_n^2} - 1 \right] w_n^2 dx \rightarrow 0$$

as  $n \rightarrow \infty$ , and hence we get  $1 = \|w_n\|_\varepsilon \rightarrow 0$ , a contradiction. This completes the proof.

■

**Lemma 2.2** *The functional  $\Phi_\varepsilon$  satisfies the mountain pass geometry, that is*

(i) *There exist  $\beta, \rho > 0$  such that*

$$\Phi_\varepsilon(v) \geq \beta, \quad \text{as } \|v\|_\varepsilon = \rho;$$

(ii) *There exists  $e \in E_\varepsilon$  such that  $\|e\|_\varepsilon > \rho$  and  $\Phi_\varepsilon(e) < 0$ .*

Proof. (i) For any  $v \in E_\varepsilon \setminus \{0\}$ , we have

$$\begin{aligned} \Phi_\varepsilon(v) &= \frac{1}{2} \int_{\mathbb{R}^N} (|\nabla v|^2 + V(\varepsilon x) f^2(v)) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(v^+)|^{22^*} dx - \int_{\mathbb{R}^N} G(f(v)) dx \\ &\geq \frac{1}{2} \int_{\mathbb{R}^N} |\nabla v|^2 dx + \frac{1}{4} \int_{\mathbb{R}^N} V(\varepsilon x) f^2(v) dx - C_2 \|v\|_\varepsilon^{2^*}. \end{aligned} \quad (2.1)$$

By Lemma 2.1 we get first conclusion of Lemma 2.2.

(ii) For each  $t > 0$  and each  $v \in E_\varepsilon$  with  $\|v\|_\varepsilon = 1$  and  $v > 0$ , one has

$$\begin{aligned} \Phi_\varepsilon(tv) &= \frac{t^2}{2} \int_{\mathbb{R}^N} |\nabla v|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) f^2(tv) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(tv)|^{22^*} dx - \int_{\mathbb{R}^N} G(f(tv)) dx \\ &\leq \frac{t^2}{2} \left[ \int_{\mathbb{R}^N} |\nabla v|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) v^2 dx - \frac{1}{2^*} \int_{\mathbb{R}^N} t^{2^*-2} |v|^{2^*} \cdot \frac{f^{22^*}(tv)}{t^{2^*} |v|^{2^*}} dx \right]. \end{aligned}$$

By Fatou Lemma and Lemma 1.3 (5), we know

$$\liminf_{t \rightarrow +\infty} \int_{\mathbb{R}^N} t^{2^*-2} v^{2^*} \cdot \frac{f^{22^*}(tv)}{t^{2^*} v^{2^*}} dx = +\infty.$$

Hence  $\lim_{t \rightarrow +\infty} \Phi_\varepsilon(tv) = -\infty$ , and hence the conclusion (ii) holds.  $\square$

**Lemma 2.3** *The following properties hold:*



(1) For each  $v \in E_\varepsilon^+$  and  $t > 0$ , set  $h_v(t) := \Phi_\varepsilon(tv)$ . Then there exists a unique  $t_v > 0$  such that  $h_v(t_v) = \max_{t \geq 0} h_v(t)$ ,  $h'_v(t_v) = 0$ ,  $h'_v(t) > 0$  in  $(0, t_v)$ ,  $h'_v(t) < 0$  in  $(t_v, +\infty)$  and  $tv \in \mathcal{N}_\varepsilon$  if and only if  $t = t_v$ , where  $\mathcal{N}_\varepsilon := \{v \in E_\varepsilon^+ : \langle \Phi'_\varepsilon(v), v \rangle = 0\}$ .

(2) There exists a  $\tau > 0$  independent of  $v$  such that  $t_v > \tau$  for all  $v \in S_\varepsilon^+$ . Moreover, for each compact set  $D \subset S_\varepsilon^+$  there exists  $C_D > 0$  such that  $t_v \leq C_D$  for all  $v \in D$ .

(3) The map  $\widehat{m}_\varepsilon : E_\varepsilon^+ \rightarrow \mathcal{N}_\varepsilon$  given by  $\widehat{m}_\varepsilon(v) = t_v v$  is continuous and  $m_\varepsilon := \widehat{m}_\varepsilon|_{S_\varepsilon^+}$  is a homeomorphism between  $S_\varepsilon^+$  and  $\mathcal{N}_\varepsilon$ . Moreover,  $m_\varepsilon^{-1}(v) = \frac{v}{\|v\|_\varepsilon}$ .

**Proof:** (1) From the proof of Lemma 2.2 we know that  $h_v(0) = 0$ ,  $h_v(t) > 0$  for small  $t > 0$  and  $\lim_{t \rightarrow +\infty} h_v(t) = -\infty$ . Hence, there exists a  $t_v > 0$  such that  $h_v(t_v) = \max_{t \geq 0} h_v(t)$  and  $h'_v(t_v) = 0$ . Notice that

$$\begin{aligned} h'_v(t) = 0 &\Leftrightarrow tv \in \mathcal{N}_\varepsilon \Leftrightarrow \\ \int_{\mathbb{R}^N} |\nabla v|^2 dx &= - \int_{\mathbb{R}^N} V(\varepsilon x) \frac{f(tv)f'(tv)}{tv} v^2(x, 0) dx + \int_{\mathbb{R}^N} \frac{f^{22^*-1}(tv^+)f'(tv^+)}{tv^+} |v^+|^2 dx \\ &\quad + \int_{\mathbb{R}^N} \frac{g(f(tv^+))}{f^3(tv^+)} \cdot \frac{f^3(tv^+)f'(tv^+)}{tv^+} |v^+|^2 dx. \end{aligned}$$

By Lemma 1.3 (13)-(14) and the condition  $(g_3)$  we know that the right side is strictly increasing in  $t > 0$ . Hence  $t_v$  is unique. This completes the proof of (1).

(2) By Lemma 2.1 there exist constants  $C, \rho > 0$  such that

$$\int_{\mathbb{R}^N} |\nabla v|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(v) dx \geq C \|v\|_\varepsilon^2$$

whenever  $\|v\|_\varepsilon \leq \rho$ . If the first conclusion of (2) is false, then there exists a sequence  $\{v_n\} \subset S_\varepsilon^+$  such that  $t_n := t_{v_n} \rightarrow 0^+$ . Hence, for large  $n$ , one has  $0 < t_n < \rho$ . Notice that

$$\begin{aligned} t_n^2 \int_{\mathbb{R}^N} |\nabla v_n|^2 dx &+ \int_{\mathbb{R}^N} V(\varepsilon x) f(t_n v_n) f'(t_n v_n) t_n v_n dx \\ &= \int_{\mathbb{R}^N} f^{22^*-1}(t_n v_n^+) f'(t_n v_n^+) t_n v_n^+ dx + \int_{\mathbb{R}^N} g(f(t_n v_n^+)) f'(t_n v_n^+) t_n v_n^+ dx. \end{aligned}$$

By  $(g_1)$ ,  $(g_2)$  and Lemma 1.3 (6)-(7) we know that

$$\begin{aligned}
& \frac{1}{4}Ct_n^2 \\
& \leq \frac{1}{4} \left[ \int_{\mathbb{R}^N} |\nabla(t_nv_n)|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(t_nv_n) dx \right] \\
& \leq \int_{\mathbb{R}^N} |\nabla(t_nv_n)|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) f(t_nv_n) f'(t_nv_n) t_nv_n dx \\
& \leq C_3 \int_{\mathbb{R}^N} f^{22^*-1}(t_nv_n^+) f'(t_nv_n^+) t_nv_n^+ dx \\
& \leq C_4 \int_{\mathbb{R}^N} |t_nv_n|^{2^*} dx \\
& \leq C_5 t_n^{2^*}.
\end{aligned}$$

This contradicts that  $t_n \rightarrow 0^+$ . Hence the first conclusion of (2) holds.

Now, we prove the second conclusion of (2). If this is false, then there exists a sequence  $\{v_n\} \subset D$  such that  $t_n := t_{v_n} \rightarrow +\infty$ . Since  $D$  is compact, we can assume that  $v_n \rightarrow v \in D$ . From the proof of Lemma 2.2 (ii) we know that  $\lim_{n \rightarrow \infty} \Phi_\varepsilon(t_nv_n) = -\infty$ . By Remark 1.1 in [25] and  $(g_3)$  we know that

$$tg(t) - 4G(t) \geq 0, \quad \forall t \in \mathbb{R}.$$

Hence, by Lemma 1.3 (6), one has

$$\begin{aligned}
\Phi_\varepsilon(t_nv_n) &= \Phi_\varepsilon(t_nv_n) - \frac{1}{2} \langle \Phi'_\varepsilon(t_nv_n), t_nv_n \rangle \\
&= \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) [f^2(t_nv_n) - f(t_nv_n) f'(t_nv_n) t_nv_n] dx \\
&\quad + \int_{\mathbb{R}^N} \left[ \frac{1}{2} |f(t_nv_n^+)|^{22^*-1} f'(t_nv_n^+) t_nv_n^+ - \frac{1}{22^*} |f(t_nv_n^+)|^{22^*} \right] dx \\
&\quad + \int_{\mathbb{R}^N} \left[ \frac{1}{2} g(f(t_nv_n)) f'(t_nv_n) t_nv_n(x, 0) - G(f(t_nv_n)) \right] dx \\
&\geq \int_{\mathbb{R}^N} \left[ \frac{1}{4} - \frac{1}{22^*} \right] |f(t_nv_n^+)|^{22^*} dx \\
&\quad + \int_{\mathbb{R}^N} \left[ \frac{1}{4} g(f(t_nv_n)) f(t_nv_n) - G(f(t_nv_n)) \right] dx \\
&\geq 0.
\end{aligned}$$

This contradicts that  $\lim_{n \rightarrow \infty} \Phi_\varepsilon(t_nv_n) = -\infty$ . Hence, the second conclusion of (2) holds.

(3) Obviously,  $\widehat{m}_\varepsilon, m_\varepsilon, m_\varepsilon^{-1}$  are well defined, and  $m_\varepsilon^{-1}$  is continuous. Since

$$m_\varepsilon^{-1}(m_\varepsilon(v)) = v, \quad \forall v \in S_\varepsilon^+,$$

$m_\varepsilon : S_\varepsilon^+ \rightarrow \mathcal{N}_\varepsilon$  is a bijection. Now, we prove that  $\widehat{m}_\varepsilon : E_\varepsilon^+ \rightarrow \mathcal{N}_\varepsilon$  is continuous. Indeed, let  $\{v_n\} \subset E_\varepsilon^+$  be such that  $v_n \rightarrow v$  in  $E_\varepsilon^+$ . By the conclusion (2) we know that, up to a subsequence,  $t_n := t_{v_n} \rightarrow t_0 > 0$  and

$$\begin{aligned} & t_n^2 \int_{\mathbb{R}^N} |\nabla v_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f(t_n v_n) f'(t_n v_n) t_n v_n dx \\ &= \int_{\mathbb{R}^N} f^{22^*-1}(t_n v_n^+) f'(t_n v_n^+) t_n v_n^+ dx + \int_{\mathbb{R}^N} g(f(t_n v_n)) f'(t_n v_n) t_n v_n dx. \end{aligned}$$

Passing to the limit as  $n \rightarrow \infty$  in the above equality, we get

$$\begin{aligned} & t_0^2 \int_{\mathbb{R}^N} |\nabla v|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f(t_0 v) f'(t_0 v) t_0 v dx \\ &= \int_{\mathbb{R}^N} f^{22^*-1}(t_0 v^+) f'(t_0 v^+) t_0 v^+ dx + \int_{\mathbb{R}^N} g(f(t_0 v)) f'(t_0 v) t_0 v dx. \end{aligned}$$

This means that  $t_0 v \in \mathcal{N}_\varepsilon$ , and hence  $t_0 = t_v$ . Consequently,  $\widehat{m}_\varepsilon(v_n) \rightarrow \widehat{m}_\varepsilon(v)$  in  $E_\varepsilon^+$ . This shows that  $\widehat{m}_\varepsilon : E_\varepsilon^+ \rightarrow \mathcal{N}_\varepsilon$  is continuous. This completes the proof of (3).  $\blacksquare$

Now, we define the functional  $\widehat{\Psi}_\varepsilon : E_\varepsilon^+ \rightarrow \mathbb{R}$  by  $\widehat{\Psi}_\varepsilon(v) = \Phi_\varepsilon(\widehat{m}_\varepsilon(v))$  and  $\Psi_\varepsilon := \widehat{\Psi}_\varepsilon|_{S_\varepsilon^+}$ . By Lemma 2.3, similar to Lemma 2.3 in [23] we can prove the following Lemma.

**Lemma 2.4** (1)  $\widehat{\Psi}_\varepsilon \in C^1(E_\varepsilon^+, \mathbb{R})$  and

$$\widehat{\Psi}'_\varepsilon(w)v = \frac{\|\widehat{m}_\varepsilon(w)\|_\varepsilon}{\|w\|_\varepsilon} \Phi'_\varepsilon(\widehat{m}_\varepsilon(w))v, \quad \forall w \in E_\varepsilon^+ \text{ and } \forall v \in E_\varepsilon.$$

(2)  $\Psi_\varepsilon \in C^1(S_\varepsilon^+, \mathbb{R})$  and

$$\Psi'_\varepsilon(w)v = \|m_\varepsilon(w)\|_\varepsilon \Phi'_\varepsilon(m_\varepsilon(w))v, \quad \forall w \in S_\varepsilon^+ \text{ and } \forall v \in T_w S_\varepsilon^+,$$

where

$$T_w S_\varepsilon^+ := \{v \in E_\varepsilon : \langle w, v \rangle_\varepsilon = 0\}.$$

(3) If  $\{w_n\}$  is a  $(C)_d$  sequence of  $\Psi_\varepsilon$ , that is  $\Psi_\varepsilon(w_n) \rightarrow d$  and  $(1 + \|w_n\|_\varepsilon) \Psi'_\varepsilon(w_n) \rightarrow 0$ , then  $\{m_\varepsilon(w_n)\}$  is a  $(C)_d$  sequence of  $\Phi_\varepsilon$ . If  $\{w_n\} \subset \mathcal{N}_\varepsilon$  is a bounded  $(C)_d$  sequence of  $\Phi_\varepsilon$ , then  $\{m_\varepsilon^{-1}(w_n)\}$  is a  $(C)_d$  sequence of  $\Psi_\varepsilon$ .

(4)  $w$  is a critical point of  $\Psi_\varepsilon$  if and only if  $m_\varepsilon(w)$  is a critical point of  $\Phi_\varepsilon$ . Moreover, corresponding critical values coincide and

$$\inf_{S_\varepsilon^+} \Psi_\varepsilon = \inf_{\mathcal{N}_\varepsilon} \Phi_\varepsilon.$$

Using standard methods, we can prove the following Lemma 2.5.

**Lemma 2.5**

$$c_\varepsilon := \inf_{\mathcal{N}_\varepsilon} \Phi_\varepsilon = \inf_{v \in E_\varepsilon^+} \max_{t > 0} \Phi_\varepsilon(tv) = \inf_{v \in S_\varepsilon^+} \max_{t > 0} \Phi_\varepsilon(tv) > 0.$$

**Lemma 2.6** *Let  $\{w_n\} \subset E_\varepsilon$  and  $A_n^2 := \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx$ . If  $\{A_n\}$  is bounded, then there exists constant  $C > 0$  such that*

$$A_n^2 \geq C \|w_n\|_\varepsilon^2. \quad (2.2)$$

**Proof:** We may assume that  $w_n \neq 0$  (Otherwise, the conclusion is trivial). If this conclusion is not true, passing to a subsequence, we have  $\frac{A_n^2}{\|w_n\|_\varepsilon^2} \rightarrow 0$ . Set  $v_n = \frac{w_n}{\|w_n\|_\varepsilon}$  and  $g_n(x) = \frac{f^2(w_n(x))}{\|w_n\|_\varepsilon^2}$ . Then

$$\int_{\mathbb{R}^N} |\nabla v_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) g_n(x) dx \rightarrow 0.$$

Hence

$$\int_{\mathbb{R}^N} |\nabla v_n|^2 dx \rightarrow 0, \quad \int_{\mathbb{R}^N} V(\varepsilon x) g_n(x) dx \rightarrow 0, \quad \int_{\mathbb{R}^N} V(\varepsilon x) v_n^2 dx \rightarrow 1.$$

We assert that for each  $\delta > 0$ , there exists a constant  $C_1 > 0$  independent of  $n$  such that  $\text{meas}(\Omega_n) < \delta$ , where  $\Omega_n := \{x \in \mathbb{R}^N : |w_n(x)| \geq C_1\}$ . Otherwise, there is a  $\delta_0 > 0$  and a subsequence  $\{w_{n_k}\}$  of  $\{w_n\}$  such that for any positive integer  $k$ ,

$$\text{meas}(\{x \in \mathbb{R}^N : |w_{n_k}(x)| \geq k\}) \geq \delta_0 > 0.$$

Set  $\Omega_{n_k} := \{x \in \mathbb{R}^N : |w_{n_k}(x)| \geq k\}$ . By Lemma 1.3 (9) and (V)

$$A_{n_k}^2 \geq \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_{n_k}) dx \geq \int_{\Omega_{n_k}} V(\varepsilon x) f^2(w_{n_k}) dx \geq C k \delta_0 \rightarrow +\infty$$

as  $k \rightarrow \infty$ , a contradiction. Hence the assertion is true.

Obviously, we can assume  $C_1 > 1$ . Hence, as  $|w_n(x)| \leq C_1$ , by Lemma 1.3 (9) and (12), one has

$$\frac{w_n^2(x)}{C_1^2} \leq C_0 f^2\left(\frac{1}{C_1} w_n(x)\right) \leq C_2 f^2(w_n(x)).$$

Hence there exists a constant  $C_3 > 0$  such that

$$\int_{\mathbb{R}^N \setminus \Omega_n} V(\varepsilon x) v_n^2 dx \leq C_3 \int_{\mathbb{R}^N} V(\varepsilon x) g_n(x) dx \rightarrow 0.$$

By the integral absolutely continuity, there exists  $\delta > 0$  such that whenever  $\Omega \subset \mathbb{R}^N$  and  $meas(\Omega) < \delta$ ,  $\int_{\Omega} V(\varepsilon x) v_n^2 dx < \frac{1}{2}$ . For this  $\delta$ , we have

$$\begin{aligned} \int_{\mathbb{R}^N} V(\varepsilon x) v_n^2 dx &= \int_{\Omega_n} V(\varepsilon x) v_n^2 dx + \int_{\mathbb{R}^N \setminus \Omega_n} V(\varepsilon x) v_n^2 dx \\ &\leq \frac{1}{2} + \int_{\mathbb{R}^N \setminus \Omega_n} V(\varepsilon x) v_n^2 dx, \end{aligned}$$

which implies  $1 \leq \frac{1}{2}$ , a contradiction. Hence there exists a constant  $C > 0$  such that  $A_n^2 \geq C \|w_n\|_{\varepsilon}^2$ . This completes the proof of Lemma 2.6.  $\blacksquare$

**Lemma 2.7** *Let  $\{w_n\} \subset E_{\varepsilon}$  is a  $(C)_d$  sequence of  $\Phi_{\varepsilon}$ . Then  $\{w_n\}$  is bounded and  $\{w_n^{-}\} = o_n(1)$ .*

**Proof:** Since

$$\begin{aligned} d + o_n(1) &\geq \Phi_{\varepsilon}(w_n) - \frac{1}{2} \langle \Phi'_{\varepsilon}(w_n), w_n \rangle \\ &= \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) [f^2(w_n) - f(w_n) f'(w_n) w_n] dx \\ &\quad + \int_{\mathbb{R}^N} \left[ \frac{1}{2} |f(w_n^+)|^{22^*-1} f'(w_n^+) w_n^+ - \frac{1}{22^*} |f(w_n^+)|^{22^*} \right] dx \\ &\quad + \int_{\mathbb{R}^N} \left[ \frac{1}{2} g(f(w_n)) f'(w_n) w_n - G(f(w_n)) \right] dx \\ &\geq \int_{\mathbb{R}^N} \left[ \frac{1}{4} - \frac{1}{22^*} \right] |f(w_n^+)|^{22^*} dx, \end{aligned}$$

the sequence  $\{\int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx\}$  is bounded. Since again

$$\begin{aligned} d + o_n(1) &= \Phi_{\varepsilon}(w_n) \\ &= \frac{1}{2} \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx - \int_{\mathbb{R}^N} G(f(w_n)) dx \\ &\geq \frac{1}{4} \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{4} \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx - C \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx, \end{aligned}$$

the sequence  $\{A_n\}$  is bounded, where  $A_n^2 := \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx$ . By Lemma 2.6 we know that the sequence  $\{w_n\}$  is bounded in  $E_\varepsilon$ .

Next, we prove that  $\{w_n^-\} = o_n(1)$ . Indeed, since  $\{w_n\}$  is bounded in  $E_\varepsilon$ , so does  $\{w_n^-\}$ . Hence, similar to (2.2) we have

$$\int_{\mathbb{R}^N} |\nabla w_n^-|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n^-) dx \geq C \|w_n^-\|_\varepsilon^2. \quad (2.3)$$

Consequently,

$$\begin{aligned} o_n(1) &= \langle \Phi'_\varepsilon(w_n), w_n^- \rangle \\ &= \left[ \int_{\mathbb{R}^N} |\nabla w_n^-|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f(w_n^-) f'(w_n^-) w_n^- dx \right] \\ &\geq \frac{1}{2} \left[ \int_{\mathbb{R}^N} |\nabla w_n^-|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n^-) dx \right] \\ &\geq C \|w_n^-\|_\varepsilon^2. \end{aligned}$$

This completes the proof of Lemma 2.7.  $\blacksquare$

**Lemma 2.8** *There exists a constant  $r > 0$  such that  $\|w\|_\varepsilon \geq r$  for all  $\varepsilon \geq 0$  and  $w \in \mathcal{N}_\varepsilon$ .*

**Proof:** If this false, then there is a sequence  $\{w_n\} \subset \mathcal{N}_{\varepsilon_n}$  such that  $\|w_n\|_{\varepsilon_n} \rightarrow 0$ . Notice that for each  $\delta > 0$  there is  $C_\delta > 0$  such that

$$\begin{aligned} &\int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V_0 f^2(w_n) dx \\ &\leq \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon_n x) f^2(w_n) dx \\ &\leq \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) f(w_n) f'(w_n) w_n dx \\ &= \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*-1} f'(w_n^+) w_n dx + \int_{\mathbb{R}^N} g(f(w_n)) f'(w_n) w_n dx \\ &\leq C_\delta \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx + \delta \int_{\mathbb{R}^N} f^2(w_n) dx. \end{aligned}$$

Hence, for small  $\delta > 0$ , there is  $C_\delta > 0$  such that

$$\int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{4} \int_{\mathbb{R}^N} V_0 f^2(w_n) dx \leq C_\delta \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx.$$

By Lemma 2.6 we know that

$$\begin{aligned}
\frac{1}{4}C \int_{\mathbb{R}^N} (|\nabla w_n|^2 + w_n^2) dx &\leq \frac{1}{4} \left[ \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V_0 f^2(w_n) dx \right] \\
&\leq \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \frac{1}{4} \int_{\mathbb{R}^N} V_0 f^2(w_n) dx \\
&\leq C_1 \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx \\
&\leq C_2 \int_{\mathbb{R}^N} |w_n|^{2^*} dx \\
&\leq C_3 \left( \int_{\mathbb{R}^N} (|\nabla w_n|^2 + w_n^2) dx \right)^{\frac{2^*}{2}}.
\end{aligned}$$

It contradicts that  $\|w_n\|_{\varepsilon_n} \rightarrow 0$ . This completes the proof of Lemma 2.8.  $\blacksquare$

When  $V \equiv 1$ , set  $E := E_\varepsilon$ ,  $E^+ := E_\varepsilon^+$ . For  $\mu > 0$  and  $v \in E$ , set

$$\Upsilon_\mu(v) = \frac{1}{2} \int_{\mathbb{R}^N} |\nabla v|^2 dx + \frac{\mu}{2} \int_{\mathbb{R}^N} f^2(v) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(v^+)|^{22^*} dx - \int_{\mathbb{R}^N} G(f(v)) dx,$$

$$\mathcal{M}_\mu := \{w \in E^+ : \langle \Upsilon'_\mu(w), w \rangle = 0\}, \quad \tilde{c}_\mu := \inf_{\mathcal{M}_\mu} \Upsilon_\mu.$$

The following the proofs of Lemmas 2.9 and 2.10 are similar to Lemmas 2.10 and 2.12 in [10], respectively. In order to completeness, we give its proof, too.

**Lemma 2.9** *For any  $\mu > 0$ , there exists  $w \in E^+$  such that*

$$\max_{t \geq 0} \Upsilon_\mu(tw) < \frac{1}{2N} S^{\frac{N}{2}},$$

$$\text{where } S := \inf_{u \in D^{1,2}(\mathbb{R}^N)} \frac{\int_{\mathbb{R}^N} |\nabla u|^2 dx}{\left( \int_{\mathbb{R}^N} |u|^{2^*} dx \right)^{\frac{2}{2^*}}}.$$

**Proof:** We consider the functional

$$J_\mu(u) = \Upsilon_\mu(f^{-1}(u)) = \frac{1}{2} \int_{\mathbb{R}^N} (1 + 2u^2) |\nabla u|^2 dx + \frac{\mu}{2} \int_{\mathbb{R}^N} u^2 dx - \frac{1}{22^*} |u^+|^{22^*} dx - \int_{\mathbb{R}^N} G(u) dx.$$

Then, it is sufficient to prove there exists  $0 \neq w \in X$  such that

$$\sup_{t \geq 0} J_\mu(tw) < \frac{1}{2N} S^{\frac{N}{2}}.$$

Indeed, since  $\Upsilon_\mu(f^{-1}(tw)) = J_\mu(tw) \rightarrow -\infty$  as  $t \rightarrow \infty$ , there is  $t^* > 0$  such that  $\Upsilon_\mu(f^{-1}(t^*w)) < 0$ . Then for  $\gamma^*(t) := f^{-1}(tt^*w)$ , there holds

$$\tilde{c}_\mu \leq \sup_{t \in [0,1]} \Upsilon_\mu(\gamma^*(t)) \leq \sup_{t \geq 0} \Upsilon(f^{-1}(tw)) = \sup_{t \geq 0} J_\mu(tw) < \frac{1}{2N} S^{\frac{N}{2}}.$$

For  $\delta > 0$ , set

$$U_\delta(x) := \frac{[N(N-2)\delta]^{\frac{N-2}{4}}}{[\delta + |x|^2]^{\frac{N-2}{2}}}.$$

By [10] we know that  $S$  can be achieved by  $U_\delta$ . Moreover,  $U_\delta$  satisfies

$$\int_{\mathbb{R}^N} |\nabla U_\delta|^2 dx = \int_{\mathbb{R}^N} |U_\delta|^{2^*} dx = S^{\frac{N}{2}}.$$

Let  $\phi_0 \in C_0^\infty(\mathbb{R}^N, [0, 1])$  be a non-increasing cut-off function such that

$$\phi_0(x) = 1 \quad \text{if } |x| < 1, \quad \phi_0(x) = 0 \quad \text{if } |x| \geq 2.$$

Define the function

$$w_\delta(x) = \phi_0(x) U_\delta^{\frac{1}{2}}(x) = \frac{\phi_0(x) [N(N-2)\delta]^{\frac{N-2}{8}}}{[\delta + |x|^2]^{\frac{N-2}{4}}}.$$

Set  $\eta_\delta = \frac{w_\delta}{\|w_\delta\|_{2^*}^{\frac{1}{2}}}$ . From [10] we know that

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx &= S + O(\delta^{\frac{N-2}{2}}), \\ \int_{\mathbb{R}^N} |\eta_\delta|^p dx &= \begin{cases} O(\delta^{\frac{p(N-2)}{8}}), & \text{if } 1 < p < 2^*, \\ O(\delta^{\frac{N}{4}} |\ln \delta|), & \text{if } p = 2^*, \\ O(\delta^{\frac{N}{2} - \frac{p(N-2)}{8}}), & \text{if } 2^* < p < 22^*. \end{cases} \end{aligned}$$

Therefore,  $\eta_\delta \in X$  and by  $(g_2)$ , we get

$$J_\mu(t\eta_\delta) \leq \frac{t^2}{2} \int_{\mathbb{R}^N} (|\nabla \eta_\delta|^2 + \mu \eta_\delta^2) dx + \frac{t^4}{4} \int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx - \frac{C_0}{\sigma} t^\sigma \int_{\mathbb{R}^N} |\eta_\delta|^\sigma dx - \frac{t^{22^*}}{22^*} := h(t).$$

It is easy to verify that  $\lim_{t \rightarrow +\infty} h(t) = -\infty$  and  $h(t) > 0$  when  $t > 0$  small enough. Consequently, there exists  $t_\delta > 0$  such that  $\max_{t \geq 0} h(t) = h(t_\delta)$  and  $h'(t_\delta) = 0$ , from which we deduce that

$$\int_{\mathbb{R}^N} (|\nabla \eta_\delta|^2 + \mu \eta_\delta^2) dx + t_\delta^2 \int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx = C_0 t_\delta^{\sigma-2} \int_{\mathbb{R}^N} |\eta_\delta|^\sigma dx + t^{22^*-2}.$$



Then the equality implies that there exists  $T_1 > 0$  such that  $t_\delta \geq T_1$  and  $\{t_\delta\}$  is bounded.

Set  $l(t) = \frac{t^4}{4} \int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx - \frac{t^{22^*}}{22^*}$ . Then function attains its unique global maximum at  $t_0 := (\int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx)^{\frac{1}{22^*-4}}$ . Thus, by the properties of  $g$ , for  $\delta > 0$  small enough, we deduce

$$\begin{aligned}
\max_{t \geq 0} J_\mu(t\eta_\delta) &\leq h(t_\delta) \\
&\leq l(t_0) + \frac{t_\delta^2}{2} \int_{\mathbb{R}^N} (|\nabla\eta_\delta|^2 + \eta_\delta^2) dx - \frac{C_0}{\sigma} t_\delta^\sigma \int_{\mathbb{R}^N} |\eta_\delta|^\sigma dx \\
&\leq \left(\frac{1}{4} - \frac{1}{22^*}\right) \left(\int_{\mathbb{R}^N} |\nabla(\eta_\delta^2)|^2 dx\right)^{\frac{22^*}{22^*-4}} + C \int_{\mathbb{R}^N} (|\nabla\eta_\delta|^2 + \eta_\delta^2) dx - C \int_{\mathbb{R}^N} |\eta_\delta|^\sigma dx \\
&= \frac{1}{2N} (S + O(\delta^{\frac{N-2}{2}}))^{\frac{N}{2}} + C \int_{\mathbb{R}^N} (|\nabla\eta_\delta|^2 + \eta_\delta^2) dx - C \int_{\mathbb{R}^N} |\eta_\delta|^\sigma dx \\
&= \frac{1}{2N} S^{\frac{N}{2}} + O(\delta^{\frac{N-2}{2}}) + O(\delta^{\frac{N-2}{4}}) - O(\delta^{\frac{N}{2} - \frac{\sigma(N-2)}{8}}) \\
&< \frac{1}{2N} S^{\frac{N}{2}}.
\end{aligned}$$

Thus, the proof is completed.  $\blacksquare$

**Lemma 2.10** *Let  $\{w_n\} \subset E_\varepsilon$  be a  $(PS)_d$  sequence of  $\Phi_\varepsilon$  with  $d < \frac{1}{2N} S^{\frac{N}{2}}$  and  $w_n \rightharpoonup 0$  in  $E_\varepsilon$ . Then one of the following conclusions holds:*

(a)  $w_n \rightarrow 0$  in  $E_\varepsilon$ ;

(b) *There exists a sequence  $\{y_n\} \subset \mathbb{R}^N$  and positive constants  $r, \beta$  such that*

$$\liminf_{n \rightarrow \infty} \int_{B_r(y_n)} |w_n(x)|^2 dx \geq \beta.$$

**Proof:** If (b) does not occur, then for each  $r > 0$ , up to a subsequence,

$$\lim_{n \rightarrow \infty} \sup_{z \in \mathbb{R}^N} \int_{B_r(z)} |w_n|^2 dx = 0.$$

Hence, by Lemma 1.2, one has

$$w_n \rightarrow 0 \text{ in } L^t(\mathbb{R}^N), \quad \forall t \in [2, 2^*).$$

By  $(g_1)$ ,  $(g_2)$  and Lemma 1.3 (3) (7) we know that

$$\int_{\mathbb{R}^N} G(f(w_n)) dx = o_n(1), \quad \int_{\mathbb{R}^N} g(f(w_n)) f(w_n) dx = o_n(1). \quad (2.4)$$

Set  $\phi = \frac{f(w_n)}{f'(w_n)}$ . Then  $|\phi| = |f(w_n)\sqrt{1+2f^2(w_n)}| \leq 3|w_n|$  and

$$|\nabla\phi| = |[1 + \frac{2f^2(w_n)}{1+2f^2(w_n)}]\nabla w_n| \leq 2|\nabla w_n|.$$

Hence  $\|\phi\|_\varepsilon \leq C\|w_n\|_\varepsilon$ . Consequently,  $\langle \Phi'_\varepsilon(w_n), \phi \rangle = o_n(1)$ , and hence

$$\begin{aligned} & \int_{\mathbb{R}^N} [1 + \frac{2f^2(w_n)}{1+2f^2(w_n)}] |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx \\ &= \int_{\mathbb{R}^N} g(f(w_n)) f(w_n) dx + \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx \\ &= \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx + o_n(1). \end{aligned}$$

Since  $\{w_n\}$  is bounded in  $E_\varepsilon$ , up to a subsequence, there is a number  $l \geq 0$  such that

$$\int_{\mathbb{R}^N} [1 + \frac{2f^2(w_n)}{1+2f^2(w_n)}] |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx \rightarrow l$$

and

$$\int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx \rightarrow l.$$

If  $l > 0$ , then

$$\begin{aligned} S &\leq \frac{\int_{\mathbb{R}^N} |\nabla f^2(w_n^+)|^2 dx}{(\int_{\mathbb{R}^N} |f^2(w_n^+)|^{2^*} dx)^{\frac{2}{2^*}}} \\ &\leq \frac{\int_{\mathbb{R}^N} [1 + \frac{2f^2(w_n)}{1+2f^2(w_n)}] |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx}{(\int_{\mathbb{R}^N} |f^2(w_n^+)|^{2^*} dx)^{\frac{2}{2^*}}} \\ &\rightarrow l^{\frac{2}{N}} \end{aligned}$$

as  $n \rightarrow \infty$ . Hence  $l \geq S^{\frac{N}{2}}$ . Consequently, by (2.4), one has

$$\begin{aligned} d &= \lim_{n \rightarrow \infty} \Phi_\varepsilon(w_n) \\ &= \lim_{n \rightarrow \infty} [\frac{1}{2} \int_{\mathbb{R}^N} (|\nabla w_n|^2 + V(\varepsilon x) f^2(w_n)) dx - \int_{\mathbb{R}^N} G(f(w_n)) dx - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx] \\ &\geq \lim_{n \rightarrow \infty} \{ \frac{1}{4} [\int_{\mathbb{R}^N} (1 + \frac{2f^2(w_n)}{1+2f^2(w_n)}) |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon x) f^2(w_n) dx] \\ &\quad - \frac{1}{22^*} \int_{\mathbb{R}^N} |f(w_n^+)|^{22^*} dx \} \\ &= \frac{l}{2N} \geq \frac{1}{2N} S^{\frac{N}{2}}, \end{aligned}$$

a contradiction. Hence  $l = 0$ . Consequently, by the boundedness of  $\{w_n\}$  in  $E_\varepsilon$  and Lemma 2.6, one has  $w_n \rightarrow 0$  in  $E_\varepsilon$ , ie (a) holds. This completes the proof.  $\blacksquare$

**Lemma 2.11** *Let  $\{w_n\} \subset E_\varepsilon$  be a  $(PS)_d$  sequence of  $\Phi_\varepsilon$  with  $d < \frac{1}{2N}S^{\frac{N}{2}}$  and  $w_n \rightharpoonup 0$  in  $E_\varepsilon$ . Then  $w_n \rightarrow 0$  in  $E_\varepsilon$ .*

**Proof:** By Lemma 2.7 we can assume  $w_n \geq 0$ . Consider any subsequence of  $\{w_n\}$ , still denoted by  $\{w_n\}$ . Since  $w_n \rightharpoonup 0$  in  $E_\varepsilon$ , up to a subsequence, we can assume  $w_n \rightarrow 0$  in  $L^s_{loc}(\mathbb{R}^N)$  for  $s \in [2, 2^*)$  and  $w_n(x) \rightarrow 0$  a.e.  $x \in \mathbb{R}^N$ . If  $w_n \not\rightarrow 0$  in  $E_\varepsilon$ , then, we may assume that  $w_n \in E_\varepsilon^+$  for each  $n$ , and by Lemma 2.10, there exists a sequence  $\{x_n\} \subset \mathbb{R}^N$  and positive constants  $r, \tau$  such that

$$\liminf_{n \rightarrow \infty} \int_{B_r(x_n)} |w_n(x)|^2 dx \geq \tau. \quad (2.5)$$

Hence the sequence  $\{x_n\}$  is unbounded, and hence, we can assume

$$|x_n| := k_n \rightarrow \infty.$$

Notice that for each  $j \in \mathbb{N}$ , one has

$$\lim_{n \rightarrow \infty} \int_{B_{2k_j}(0)} |w_n(x)|^2 dx = 0.$$

Hence there is a  $m_j \in \mathbb{N}$  such that

$$\int_{B_{2k_j}(0)} |w_n(x)|^2 dx < \frac{\tau}{2}$$

for all  $n = m_j + i, i = 1, 2, \dots$ . Without the loss of generality, we can assume  $m_{j+1} > m_j$ .

Set  $n_j := m_j + j$ . Then

$$\int_{B_{2k_j}(0)} |w_{n_j}(x)|^2 dx < \frac{\tau}{2}.$$

Hence, up to a subsequence, we have

$$\limsup_{n \rightarrow \infty} \int_{B_{2k_n}(0)} |w_n(x)|^2 dx \leq \frac{\tau}{2}. \quad (2.6)$$

Notice that  $k_n \rightarrow +\infty$ . Hence, for large  $n$ , one has  $B_r(x_n) \subset B_{2k_n}(0)$ , and hence (2.6) contradicts (2.5). This shows that  $w_n \rightarrow 0$  in  $E_\varepsilon$ . This completes the proof.  $\blacksquare$

**Lemma 2.12** *Let  $\{w_n\} \subset E_\varepsilon$  be a  $(PS)$  sequence of  $\Phi_\varepsilon$  with  $w_n \rightharpoonup w$  in  $E_\varepsilon$ . Set  $\tilde{w}_n = w_n - w$ . Then*

$$(i) \quad \Phi_\varepsilon(\tilde{w}_n) = \Phi_\varepsilon(w_n) - \Phi_\varepsilon(w) + o_n(1),$$

$$(ii) \quad \|\Phi'_\varepsilon(\tilde{w}_n)\| = o_n(1).$$

**Proof:** The proof is similar to Lemma 2.14 in [10], we omit it.  $\blacksquare$

**Lemma 2.13**  *$\Phi_\varepsilon$  satisfies the  $(PS)_d$  condition at any level  $d \leq \tilde{c}_{V_\infty}$ .*

**Proof:** Let  $\{w_n\} \subset E_\varepsilon$  be a  $(PS)_d$  sequence of  $\Phi_\varepsilon$ . Then, by Lemma 2.7,  $\{w_n\}$  is bounded in  $E_\varepsilon$  and we can assume  $w_n \geq 0$ . Hence, up to a subsequence, there is  $w \in E_\varepsilon$  such that  $w_n \rightharpoonup w$  in  $E_\varepsilon$ ,  $w_n \rightarrow w$  in  $L^s_{loc}(\mathbb{R}^N)$  for each  $s \in [2, 2^*)$ ,  $w_n(x) \rightarrow w(x)$  a.e. in  $\mathbb{R}^N$  and  $\Phi'_\varepsilon(w) = 0$ . Set  $\tilde{w}_n = w_n - w$ . Then, by Lemma 2.12,

$$\Phi_\varepsilon(\tilde{w}_n) = \Phi_\varepsilon(w_n) - \Phi_\varepsilon(w) + o_n(1) = d - \Phi_\varepsilon(w) + o_n(1) := a + o_n(1)$$

and  $\|\Phi'_\varepsilon(\tilde{w}_n)\| = o_n(1)$ . By  $(g_3)$  and Lemma 1.3 (6), we have

$$\begin{aligned} \Phi_\varepsilon(w) &= \Phi_\varepsilon(w) - \frac{1}{2} \langle \Phi'_\varepsilon(w), w \rangle \\ &= \frac{1}{2} \int_{\mathbb{R}^N} V(\varepsilon x) [f^2(w) - f(w)f'(w)w] dx + \int_{\mathbb{R}^N} [\frac{1}{2}g(f(w))f'(w)w - G(f(w))] dx \\ &\quad + \int_{\mathbb{R}^N} [\frac{1}{2}|f(w^+)|^{22^*-1}f'(w^+)w^+ - \frac{1}{22^*}|f(w^+)|^{22^*}] dx \\ &\geq 0. \end{aligned}$$

Hence, by Lemma 2.9,  $a := d - \Phi_\varepsilon(w) \leq d \leq \tilde{c}_{V_\infty} < \frac{1}{2N}S^{\frac{N}{2}}$ . By Lemma 2.11 we know  $w_n \rightarrow w$  in  $E_\varepsilon$ . This completes the proof.  $\blacksquare$

From the proof of Lemma 2.13 we have

**Lemma 2.14**  *$\Phi_\varepsilon$  satisfies the  $(PS)_d$  condition at any level  $d < \frac{1}{2N}S^{\frac{N}{2}}$ .*

### 3 The proof of Theorem 1

**Proof:** By Lemma 2.2 we know that the functional  $\Phi_\varepsilon$  satisfies the mountain pass geometry, then using a version of the mountain pass theorem (e. g. see Theorem 6.3.4 in [27]), there exists a sequence  $\{w_n\} \subset E_\varepsilon$  such that  $\lim_{n \rightarrow \infty} \Phi_\varepsilon(w_n) = c_\varepsilon$  and  $(1 + \|w_n\|_\varepsilon) \|\Phi'_\varepsilon(w_n)\| = o_n(1)$ . By condition (V) we can assume that  $V_0 = V(0) = \inf_{x \in \mathbb{R}^N} V(x)$ . For any  $\mu \in \mathbb{R}$  with  $V_0 < \mu < V_\infty$ , we have  $\tilde{c}_{V_0} < \tilde{c}_\mu < \tilde{c}_{V_\infty}$ . By Lemma 2.9,  $\tilde{c}_\mu < \frac{1}{2N} S^{\frac{N}{2}}$ . By virtue of Lemmas 2.2, 2.7 and Theorem 6.3.4 in [27] we know that  $\tilde{c}_\mu$  is a critical value of  $\Upsilon_\mu$  with corresponding positive critical point  $w \in E$ . For any  $r > 0$ , take  $\eta_r \in C_0^\infty(\mathbb{R}^N, [0, 1])$  be such that  $\eta_r = 1$  if  $|x| < r$  and  $\eta_r = 0$  if  $|x| \geq 2r$ . Set  $v_r := \eta_r w$ , it is easy to verify that  $v_r \in E$  for each  $r > 0$ . By Lemma 2.3 there exists  $t_r > 0$  such that  $\tilde{v}_r := t_r v_r \in \mathcal{M}_\mu$ . Hence there is  $r_0 > 0$  such that  $\tilde{v} = \tilde{v}_{r_0}$  satisfies  $\Upsilon_\mu(\tilde{v}) < \tilde{c}_{V_\infty}$ . In fact, if this is false, then  $\Upsilon_\mu(\tilde{v}_r) = \Upsilon_\mu(t_r v_r) \geq \tilde{c}_{V_\infty}$  for all  $r > 0$ . Notice that  $v_r \rightarrow w$  in  $E$  as  $r \rightarrow +\infty$  and  $w \in \mathcal{M}_\mu$ . We can deduce that  $t_r \rightarrow 1$  as  $r \rightarrow +\infty$ . Hence,

$$\tilde{c}_{V_\infty} \leq \liminf_{r \rightarrow +\infty} \Upsilon_\mu(t_r v_r) = \Upsilon_\mu(w) = \tilde{c}_\mu < \tilde{c}_{V_\infty},$$

a contradiction. This shows  $\Upsilon_\mu(\tilde{v}) < \tilde{c}_{V_\infty}$ . Notice that  $V_0 = V(0) < \mu$  and  $\text{supp}(\tilde{v})$  is compact. By the continuity of  $V$ , there is an  $\varepsilon^* > 0$  such that

$$V(\varepsilon x) < \mu, \quad \forall \quad \varepsilon \in (0, \varepsilon^*) \quad \text{and} \quad x \in \text{supp}(\tilde{v}).$$

Hence,

$$\Phi_\varepsilon(t\tilde{v}) \leq \Upsilon_\mu(t\tilde{v}), \quad \forall \quad \varepsilon \in (0, \varepsilon^*) \quad \text{and} \quad t \geq 0,$$

and

$$\max_{t \geq 0} \Phi_\varepsilon(t\tilde{v}) \leq \max_{t \geq 0} \Upsilon_\mu(t\tilde{v}) = \Upsilon_\mu(\tilde{v}) < \tilde{c}_{V_\infty}, \quad \forall \quad \varepsilon \in (0, \varepsilon^*).$$

Consequently,

$$c_\varepsilon < \tilde{c}_{V_\infty}, \quad \forall \quad \varepsilon \in (0, \varepsilon^*).$$

By virtue of Lemma 2.13, up to a subsequence, one has  $w_n \rightarrow v$  in  $E_\varepsilon$ . Hence  $\Phi'_\varepsilon(v) = 0$  and  $\Phi_\varepsilon(v) = c_\varepsilon$ . Moreover, Harnack inequality (see [24]) implies  $v(x) > 0$  in  $\mathbb{R}^N$ . Hence

$u := f(v)$  is a ground positive solution of (1.3). This completes the proof of Theorem 1.

■

**Remark 3.1** *From the above proof we know that all non zero critical points of  $\Phi_\varepsilon$  are positive.*

## 4 The proof of Theorem 2

Since  $V_0 > 0$ , by Lemma 2.9,  $\tilde{c}_{V_0} < \frac{1}{2N} S^{\frac{N}{2}}$ . From the proof of Theorem 1 we know that  $\tilde{c}_{V_0}$  is a critical value of  $\Upsilon_{V_0}$  with corresponding positive critical point  $w \in E$ .

Let  $\eta$  be a smooth nonincreasing cut-off function defined in  $[0, \infty)$  such that  $\eta(s) = 1$  if  $0 \leq s \leq \frac{1}{2}$  and  $\eta(s) = 0$  if  $s \geq 1$ . For each  $z \in \Lambda$ , let

$$\varrho_{\varepsilon,z}(x) = \eta(|\varepsilon x - z|) w\left(\frac{\varepsilon x - z}{\varepsilon}\right), \quad \forall x \in \mathbb{R}^N.$$

Then  $\varrho_{\varepsilon,z} \in E_\varepsilon \setminus \{0\}$  for all  $z \in \Lambda$ . In fact, using the change of variable  $\tilde{z} = x - \frac{z}{\varepsilon}$ , one has

$$\begin{aligned} \int_{\mathbb{R}^N} V(\varepsilon x) \varrho_{\varepsilon,z}^2(x) dx &= \int_{\mathbb{R}^N} V(\varepsilon x) \eta^2(|\varepsilon x - z|) w^2\left(\frac{\varepsilon x - z}{\varepsilon}\right) dx \\ &= \int_{|\varepsilon \tilde{z}| \leq 1} V(\varepsilon \tilde{z} + z) \eta^2(|\varepsilon \tilde{z}|) w^2(\tilde{z}) d\tilde{z} \\ &\leq C \int_{\mathbb{R}^N} w^2(x) dx < +\infty. \end{aligned}$$

Let  $r = \frac{1}{\varepsilon}$ . Then  $\varrho_{\varepsilon,z}(x) = \eta\left(\frac{|x - \frac{z}{\varepsilon}|}{r}\right) w(x - \frac{z}{\varepsilon})$  and

$$\begin{aligned} \int_{\mathbb{R}^N} |\nabla \varrho_{\varepsilon,z}(x)|^2 dx &= \int_{\mathbb{R}^N} \left| \frac{1}{r} w(x) \nabla \eta\left(\frac{|x|}{r}\right) + \eta\left(\frac{|x|}{r}\right) \nabla w(x) \right|^2 dx \\ &\leq C \left( \int_{\frac{r}{2} < |x| < r} w^2 dx + \int_{\mathbb{R}^N} |\nabla w|^2 dx \right) \\ &\leq C \int_{\mathbb{R}^N} (|\nabla w|^2 + w^2) dx < +\infty. \end{aligned}$$

Hence, for each  $\varepsilon > 0$  there exists unique  $t_\varepsilon > 0$  such that

$$\max_{t \geq 0} \Phi_\varepsilon(t \varrho_{\varepsilon,z}) = \Phi_\varepsilon(t_\varepsilon \varrho_{\varepsilon,z})$$

by Lemma 2.3.

We introduce the map  $\lambda_\varepsilon : \Lambda \rightarrow \mathcal{N}_\varepsilon$  by setting  $\lambda_\varepsilon(z) = t_\varepsilon \varrho_{\varepsilon,z}$ . Then  $\lambda_\varepsilon(z)$  has a compact support for any  $z \in \Lambda$ . Moreover, we have the following fact for  $\lambda_\varepsilon$ .

**Lemma 4.1**  $\lim_{\varepsilon \rightarrow 0} \Phi_\varepsilon(\lambda_\varepsilon(z)) = \tilde{c}_{V_0}$ , uniformly in  $z \in \Lambda$ .

**Proof:** Suppose that the result is false, then there exists  $\zeta_0 > 0$ ,  $\{z_n\} \subset \Lambda$  and  $\varepsilon_n > 0$  with  $\varepsilon_n \rightarrow 0$  such that

$$|\Phi_{\varepsilon_n}(\lambda_{\varepsilon_n}(z_n)) - \tilde{c}_{V_0}| \geq \zeta_0 > 0. \quad (4.1)$$

By Lemmas 2.6 and 2.8 we know that there is a  $r_0 > 0$  such that

$$\begin{aligned} & \int_{\mathbb{R}^N} [g(f(\lambda_{\varepsilon_n}(z_n)))f'(\lambda_{\varepsilon_n}(z_n))\lambda_{\varepsilon_n}(z_n) + |f(\lambda_{\varepsilon_n}(z_n))|^{22^*-1}f'(\lambda_{\varepsilon_n}(z_n))\lambda_{\varepsilon_n}(z_n)]dx \\ &= \int_{\mathbb{R}^N} |\nabla(\lambda_{\varepsilon_n}(z_n))|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) f(\lambda_{\varepsilon_n}(z_n)) f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n) dx \\ &\geq \frac{1}{2} \left[ \int_{\mathbb{R}^N} |\nabla(\lambda_{\varepsilon_n}(z_n))|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) f^2(\lambda_{\varepsilon_n}(z_n)) dx \right] \\ &\geq r_0. \end{aligned} \quad (4.2)$$

Hence  $t_{\varepsilon_n} \rightarrow 0$ , and hence there exists a  $t_0 > 0$  such that  $t_{\varepsilon_n} \geq t_0$ . If  $t_{\varepsilon_n} \rightarrow \infty$ , then, for large  $n$ , one has

$$\begin{aligned} C\|w\|_E^2 &\geq \int_{\mathbb{R}^N} |\nabla \varrho_{\varepsilon_n, z_n}|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) \frac{f(t_{\varepsilon_n} \varrho_{\varepsilon_n, z_n}) f'(t_{\varepsilon_n} \varrho_{\varepsilon_n, z_n}) t_{\varepsilon_n} \varrho_{\varepsilon_n, z_n}}{t_{\varepsilon_n}^2} dx \\ &= \int_{\mathbb{R}^N} \frac{g(f(\lambda_{\varepsilon_n}(z_n))) f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n)}{t_{\varepsilon_n}^2} + \int_{\mathbb{R}^N} \frac{|f(\lambda_{\varepsilon_n}(z_n))|^{22^*-1} f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n)}{t_{\varepsilon_n}^2} dx \\ &\geq \frac{1}{2} \int_{\mathbb{R}^N} \frac{|f(t_{\varepsilon_n} \eta(|\varepsilon_n x - z_n|) w(\frac{\varepsilon_n x - z_n}{\varepsilon_n}))|^{22^*}}{t_{\varepsilon_n}^2} dx \\ &= \frac{1}{2} \int_{\mathbb{R}^N} \frac{|f(t_{\varepsilon_n} \eta(\varepsilon_n |x|) w(x))|^{22^*}}{t_{\varepsilon_n}^2} dx \\ &\geq \frac{1}{2} \int_{|x| \leq \frac{1}{2\varepsilon_n}} \frac{|f(t_{\varepsilon_n} w(x))|^{22^*}}{t_{\varepsilon_n}^2} dx \\ &\geq C t_{\varepsilon_n}^{2^*-2} \int_{\frac{1}{2} < |x| < 1} w^{2^*} dx \rightarrow +\infty, \end{aligned}$$

a contradiction. Hence,  $t_{\varepsilon_n} \leq C$ . Consequently, we can assume that  $t_{\varepsilon_n} \rightarrow T > 0$ . By Lebesgue's theorem, one has

$$\begin{aligned} & \lim_{n \rightarrow \infty} \left[ \int_{\mathbb{R}^N} |\nabla \lambda_{\varepsilon_n}(z_n)|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) f(\lambda_{\varepsilon_n}(z_n)) f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n) dx \right] \\ &= T^2 \int_{\mathbb{R}^N} |\nabla w|^2 dx dy + \int_{\mathbb{R}^N} V_0 f(Tw(x)) f'(Tw(x)) Tw(x) dx, \end{aligned}$$

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |f(\lambda_{\varepsilon_n}(z_n))|^{22^*-1} f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n) dx = \int_{\mathbb{R}^N} |f(Tw(x))|^{22^*-1} f'(Tw(x)) Tw(x) dx$$

and

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} g(f(\lambda_{\varepsilon_n}(z_n))) f'(\lambda_{\varepsilon_n}(z_n)) \lambda_{\varepsilon_n}(z_n) dx = \int_{\mathbb{R}^N} g(f(Tw(x))) f'(Tw(x)) Tw(x) dx.$$

Consequently, from (4.2), one has

$$\begin{aligned} & T^2 \int_{\mathbb{R}^N} |\nabla w|^2 dx + V_0 \int_{\mathbb{R}^N} f(Tw(x)) f'(Tw(x)) Tw(x) dx \\ &= \int_{\mathbb{R}^N} g(f(Tw(x))) f'(Tw(x)) Tw(x) dx + \int_{\mathbb{R}^N} |f(Tw(x))|^{22^*-1} f'(Tw(x)) Tw(x) dx. \end{aligned}$$

This shows  $Tw \in \mathcal{M}_{V_0}$ . Notice that  $w \in \mathcal{M}_{V_0}$ . Lemma 2.3 implies that  $T = 1$ . Moreover, similar to the above arguments, we can prove that

$$\lim_{n \rightarrow \infty} \Phi_{\varepsilon_n}(\lambda_{\varepsilon_n}(z_n)) = \Upsilon_{V_0}(w) = \tilde{c}_{V_0}.$$

This contradicts to 4.1. This completes the proof of Lemma 4.1. ■

For any  $\delta > 0$ , let  $\rho = \rho(\delta) > 0$  be such that  $\Lambda_\delta \subset B_\rho(0)$ . Define  $\chi : \mathbb{R}^N \rightarrow \mathbb{R}^N$  as follows:

$$\chi(x) = \begin{cases} x, & |x| \leq \rho, \\ \frac{\rho}{|x|} x, & |x| \geq \rho. \end{cases}$$

Moreover, we also define the map  $\beta_\varepsilon : \mathcal{N}_\varepsilon \rightarrow \mathbb{R}^N$  by

$$\beta_\varepsilon(w) = \frac{\int_{\mathbb{R}^N} \chi(\varepsilon x) |w(x)|^2 dx}{\int_{\mathbb{R}^N} |w(x)|^2 dx}.$$

We have the following fact for  $\beta_\varepsilon$ , its proof similar to Lemma 5.2 in [22].



**Lemma 4.2**  $\lim_{\varepsilon \rightarrow 0} \beta_\varepsilon(\lambda_\varepsilon(z)) = z$  uniformly in  $z \in \Lambda$ .

**Lemma 4.3** For each  $\mu > 0$ , let  $\{w_n\} \subset \mathcal{M}_\mu$  with  $\Upsilon_\mu(w_n) \rightarrow \tilde{c}_\mu$ . Then  $\{w_n\}$  has a subsequence strongly convergent in  $E$ . In particular, there exists a minimizer for  $\tilde{c}_\mu$ .

**Proof:** From the proof of Lemma 2.7 we know that  $\{w_n\}$  is bounded in  $E$ . By Lemma 2.9,  $\tilde{c}_\mu < \frac{1}{2N} S^{\frac{N}{2}}$ . Notice that

$$S_\mu^+ := \{w \in E^+ : \int_{\mathbb{R}^N} |\nabla w|^2 dx + \mu \int_{\mathbb{R}^N} |w(x)|^2 dx - 1 := B(w) = 0\}$$

and

$$\langle B'(w), w \rangle = 2 \left[ \int_{\mathbb{R}^N} |\nabla w|^2 dx + \mu \int_{\mathbb{R}^N} |w(x)|^2 dx \right] = 2$$

for all  $w \in S_\mu^+$ . Hence  $B'(w) \neq 0$  for all  $w \in S_\mu^+$ . By Proposition 9 in [23],

$$\hat{\Upsilon} := \Upsilon_\mu \circ \hat{m}_\mu : E \setminus \{0\} \rightarrow \mathbb{R}$$

is a  $C^1$  functional. Set  $\{v_n\} := \{m_\mu^{-1}(w_n)\} \subset S_\mu^+$ . Since  $\Psi_\mu(v_n) \rightarrow \tilde{c}_\mu$ , for  $\frac{1}{k^2}$ , up to a subsequence, one has

$$\tilde{c}_\mu \leq \Psi_\mu(v_k) \leq \tilde{c}_\mu + \frac{1}{k^2}.$$

By Theorem 1.1 in [8], there exists a sequence  $\{\tilde{v}_k\} \subset S_\mu^+$  such that

$$\Psi_\mu(\tilde{v}_k) \leq \Psi_\mu(v_k), \quad \|v_k - \tilde{v}_k\|_E \leq \frac{1}{k}$$

and for each  $v \in E \setminus \{0\}$  with  $v \neq \tilde{v}_k$ , one has

$$\hat{\Upsilon}(v) > \Psi_\mu(\tilde{v}_k) - \frac{1}{k} \|v - \tilde{v}_k\|_E.$$

Hence, for any  $v \in S_\mu^+$ , we have

$$\Psi_\mu(v) > \Psi_\mu(\tilde{v}_k) - \frac{1}{k} \|v - \tilde{v}_k\|_E.$$

Consequently, similar to the proof of Theorem 3.1 in [8], we can prove that there is a  $\delta_k \in \mathbb{R}$  such that

$$\|\Psi'_\mu(\tilde{v}_k)\| = \|\hat{\Upsilon}'(\tilde{v}_k) - \delta_k B'(\tilde{v}_k)\| \leq \frac{1}{k}.$$

Therefore,

$$\tilde{v}_k = v_k + o_k(1), \quad \Psi_\mu(\tilde{v}_k) \rightarrow \tilde{c}_\mu, \quad \Psi'_\mu(\tilde{v}_k) = o_k(1).$$

Hence we may assume that  $\{v_n\}$  is a  $(PS)_{\tilde{c}_\mu}$  sequence of  $\Psi_\mu$ . By Lemma 2.4,  $\{w_n\}$  is a bounded  $(PS)_{\tilde{c}_\mu}$  sequence of  $\Upsilon_\mu$ . Hence, by Lemma 2.7, we may assume that  $w_n \geq 0$ . By Lemma 2.14, going to a subsequence if necessary, we may assume that  $w_n \rightarrow w$  in  $E$ . This completes the proof.  $\blacksquare$

**Lemma 4.4** *Let  $\varepsilon_n \rightarrow 0$  and  $w_n \in \mathcal{N}_{\varepsilon_n}$  be such that  $\lim_{n \rightarrow \infty} \Phi_{\varepsilon_n}(w_n) = \tilde{c}_{v_0}$ . Then, there exists a sequence  $\{z_n\} \subset \mathbb{R}^N$  such that  $w_n(\cdot + z_n)$  has a convergent subsequence in  $E$  and  $\tilde{z}_n = \varepsilon_n z_n \rightarrow z \in \Lambda$ .*

**Proof:** By Lemma 2.8, we know that  $\|w_n\|_{\varepsilon_n} \not\rightarrow 0$ . Moreover, by  $w_n \in \mathcal{N}_{\varepsilon_n}$  and  $\lim_{n \rightarrow \infty} \Phi_{\varepsilon_n}(w_n) = \tilde{c}_{v_0}$ , from the proof of Lemma 2.7 we know that  $\{w_n\}$  is bounded in  $E$ . We claim that there exist  $\{z_n\} \subset \mathbb{R}^N$  and  $r > 0$  such that

$$\liminf_{n \rightarrow \infty} \int_{B_r(z_n)} |w_n|^2 dx = \tau > 0. \quad (4.3)$$

Indeed, if this is false, then for any  $r > 0$ , one has

$$\lim_{n \rightarrow \infty} \sup_{z \in \mathbb{R}^N} \int_{B_r(z)} |w_n|^2 dx = 0.$$

By Lemma 1.2 we know that  $w_n \rightarrow 0$  in  $L^s(\mathbb{R}^N)$  for  $s \in [2, 2^*)$ . Notice that  $w_n \in \mathcal{N}_{\varepsilon_n}$ . We have

$$\int_{\mathbb{R}^N} (|\nabla w_n|^2 + V(\varepsilon_n x) f(w_n) f'(w_n) w_n) dx = \int_{\mathbb{R}^N} |f(w_n^+)|^{2^{2^*-1}} f'(w_n^+) w_n^+ dx + o_n(1).$$

Since  $\{w_n\}$  is bounded in  $E$ , up to a subsequence, we can assume that

$$\lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} [|\nabla w_n|^2 + V(\varepsilon_n x) f(w_n) f'(w_n) w_n] dx = \lim_{n \rightarrow \infty} \int_{\mathbb{R}^N} |f(u_n^+)|^{2^{2^*-1}} f'(u_n^+) u_n^+ dx = L.$$

As the proof of corresponding part of Lemma 2.10, we can prove  $L = 0$ , and hence

$$\lim_{n \rightarrow \infty} \|w_n\|_{\varepsilon_n} = 0,$$

a contradiction. Hence (4.3) holds.

Let  $\tilde{w}_n = w_n(\cdot + z_n)$ . By the boundedness of  $\{w_n\}$  in  $E$  and (4.3), up to a subsequence, we have  $\tilde{w}_n \rightharpoonup \tilde{w} \neq 0$  in  $E$  and  $\tilde{w}_n(x) \rightarrow \tilde{w}(x)$  a.e. in  $\mathbb{R}^N$ . Let  $\{t_n\} \subset (0, \infty)$  be such that  $v_n := t_n \tilde{w}_n \in \mathcal{M}_{V_0}$  and set  $\tilde{z}_n = \varepsilon_n z_n$ . By  $(V_1)$  and  $w_n \in \mathcal{N}_{\varepsilon_n}$ , we get

$$\begin{aligned} \tilde{c}_{V_0} &\leq \Upsilon_{V_0}(t_n \tilde{w}_n) \\ &= \frac{1}{2} [t_n^2 \int_{\mathbb{R}^N} |\nabla \tilde{w}_n|^2 dx + \int_{\mathbb{R}^N} V_0 f^2(t_n \tilde{w}_n) dx] \\ &\quad - [\int_{\mathbb{R}^N} G(f(t_n \tilde{w}_n)) dx + \frac{1}{22^*} \int_{\mathbb{R}^N} |f(t_n \tilde{w}_n^+)|^{22^*} dx] \\ &\leq \frac{1}{2} [t_n^2 \int_{\mathbb{R}^N} |\nabla w_n|^2 dx + \int_{\mathbb{R}^N} V(\varepsilon_n x) f^2(t_n w_n) dx] \\ &\quad - [\int_{\mathbb{R}^N} G(f(t_n w_n)) dx + \frac{1}{22^*} \int_{\mathbb{R}^N} |f(t_n w_n^+)|^{22^*} dx] \\ &= \Phi_{\varepsilon_n}(t_n w_n) \leq \Phi_{\varepsilon_n}(w_n) = \tilde{c}_{V_0} + o_n(1). \end{aligned}$$

Hence

$$\lim_{n \rightarrow \infty} \Upsilon_{V_0}(v_n) = \lim_{n \rightarrow \infty} \Upsilon_{V_0}(t_n \tilde{w}_n) = \tilde{c}_{V_0} > 0.$$

By Lemma 4.3, up to a subsequence, one has  $v_n \rightarrow v$  in  $E$ . Moreover, by (4.3), one has

$$\begin{aligned} \tau &= \liminf_{n \rightarrow \infty} \int_{B_r(z_n)} |w_n|^2 dx = \liminf_{n \rightarrow \infty} \int_{B_r(0)} |\tilde{w}_n(z)|^2 dz \\ &\leq \liminf_{n \rightarrow \infty} \|\tilde{w}_n\|_E^2. \end{aligned}$$

Consequently, for large  $n$ , one has  $0 < \frac{\tau}{2} < \|\tilde{w}_n\|_E^2$ , and hence

$$0 < \frac{\tau}{2} t_n^2 < \|t_n \tilde{w}_n\|_E^2 = \|v_n\|_E^2 \leq C.$$

Hence  $\{t_n\}$  is bounded. Therefore, without loss of generality, we may assume that  $t_n \rightarrow t^*$ .

By  $\lim_{n \rightarrow \infty} \Upsilon_{V_0}(v_n) = \tilde{c}_{V_0} > 0$  we get  $t^* > 0$ , hence, up to a subsequence, we have  $v_n \rightarrow v = t^* \tilde{w} \neq 0$  in  $E$ .  $\tilde{w}_n \rightarrow \frac{1}{t^*} v = \tilde{w}$  in  $E$ . The first conclusion is proved.

Now, we show that  $\{\tilde{z}_n\}$  is bounded in  $\mathbb{R}^N$ . Otherwise, up to a subsequence, one has  $|\tilde{z}_n| \rightarrow \infty$ . Notice that, up to a subsequence, we have  $v_n \rightarrow v \neq 0$  in  $E$ .

By Fatou's lemma we have

$$\begin{aligned}
\tilde{c}_{V_0} &= \Upsilon_{V_0}(v) < \Upsilon_{V_\infty}(v) = \Upsilon_{V_\infty}(v) - \frac{1}{2} \langle \Upsilon'_{V_0}(v), v \rangle \\
&= \frac{1}{2} \int_{\mathbb{R}^N} (V_\infty f^2(v) - V_0 f(v) f'(v) v) dx + \int_{\mathbb{R}^N} \left( \frac{1}{2} g(f(v)) f'(v) v - G(f(v)) \right) dx \\
&\quad + \int_{\mathbb{R}^N} \left( \frac{1}{2} |f(v^+)|^{22^*-1} f'(v^+) v^+ - \frac{1}{22^*} |f(v^+)|^{22^*} \right) dx \\
&\leq \liminf_{n \rightarrow \infty} [\Phi_{\varepsilon_n}(v_n) - \frac{1}{2} \langle \Upsilon'_{V_0}(v_n), v_n \rangle] \\
&= \liminf_{n \rightarrow \infty} \Phi_{\varepsilon_n}(v_n) \leq \lim_{n \rightarrow \infty} \Phi_{\varepsilon_n}(w_n) = \tilde{c}_{V_0}.
\end{aligned}$$

This is a contradiction. This shows that  $\{\tilde{z}_n\}$  is bounded in  $\mathbb{R}^N$ . Hence, up to a subsequence,  $\tilde{z}_n \rightarrow z \in \mathbb{R}^N$ . If  $z \in \mathbb{R}^N \setminus \Lambda$ , then  $V_0 < V(z)$ . As the above, we can get a contradiction. Hence,  $z \in \Lambda$  and the lemma is proved.  $\blacksquare$

Let

$$h(\varepsilon) := \max_{z \in \Lambda} |\Phi_\varepsilon(\lambda_\varepsilon(z)) - \tilde{c}_{V_0}|.$$

Then, by Lemma 4.1,  $\lim_{\varepsilon \rightarrow 0^+} h(\varepsilon) = 0$ . Define the set

$$\tilde{\mathcal{N}}_\varepsilon = \{w \in \mathcal{N}_\varepsilon : \Phi_\varepsilon(w) \leq \tilde{c}_{V_0} + h(\varepsilon)\}.$$

Then, for each  $z \in \Lambda$ , we have  $\lambda_\varepsilon(z) \in \tilde{\mathcal{N}}_\varepsilon$  for each  $\varepsilon > 0$ .

By Lemma 4.4, similar to Lemma 4.5 in [10], we can prove the following Lemma.

**Lemma 4.5** *For any  $\delta > 0$ , we have*

$$\lim_{\varepsilon \rightarrow 0} \sup_{w \in \tilde{\mathcal{N}}_\varepsilon} d(\beta_\varepsilon(w), \Lambda_\delta) = 0.$$

**Proof of theorem 2.** For each  $\delta > 0$ , Lemma 4.2 implies that there is an  $\varepsilon_\delta > 0$  such that

$$|\beta_\varepsilon(\lambda_\varepsilon(z)) - z| < \delta, \quad \forall \varepsilon \in (0, \varepsilon_\delta), \quad \forall z \in \Lambda.$$

Notice that for each  $z \in \Lambda$ , one has  $\lambda_\varepsilon(z) \in \tilde{\mathcal{N}}_\varepsilon$  for each  $\varepsilon > 0$ . The diagram

$$\beta_\varepsilon \circ \lambda_\varepsilon : \Lambda \rightarrow \tilde{\mathcal{N}}_\varepsilon \rightarrow \Lambda_\delta$$

is well defined for all  $\varepsilon \in (0, \varepsilon_\delta)$ . Similar to corresponding part in [11] we can prove

$$cat_{\tilde{\mathcal{N}}_\varepsilon}(\tilde{\mathcal{N}}_\varepsilon) \geq cat_{\Lambda_\delta}(\Lambda).$$

Notice that as  $E_\varepsilon^+$  is a nonempty open set of a Banach space, Theorem 5.19 in [26] holds, too. For each  $\varepsilon \in (0, \varepsilon_\delta)$ , take  $V = S_\varepsilon^+$ ,  $X = E_\varepsilon^+$  and  $\varphi = \widehat{\Psi}_\varepsilon$  in this theorem. Then, by Lemma 2.4,  $\varphi \in C^1(X, \mathbb{R})$ ,  $\varphi|_V = \Psi_\varepsilon \in C^1(V, \mathbb{R})$  and

$$\inf_V \varphi = \inf_{S_\varepsilon^+} \Psi_\varepsilon = \inf_{\tilde{\mathcal{N}}_\varepsilon} \Phi_\varepsilon = \tilde{c}_{V_0}.$$

Now, we prove that, for small  $\varepsilon > 0$ ,  $\Psi_\varepsilon$  satisfies the  $(PS)_d$  condition for all  $d \in [\tilde{c}_{V_0}, \tilde{c}_{V_0} + h(\varepsilon)]$ . In fact, let  $\{w_n\} \subset S_\varepsilon^+$  be a  $(PS)_d$  sequence of  $\Psi_\varepsilon$  with  $d \in [\tilde{c}_{V_0}, \tilde{c}_{V_0} + h(\varepsilon)]$ . Then, by Lemma 2.4,  $\{v_n\} := \{m_\varepsilon(w_n)\} \subset \tilde{\mathcal{N}}_\varepsilon$  is a  $(PS)_d$  sequence of  $\Phi_\varepsilon$ . Notice that for small  $\varepsilon > 0$ , one has  $\tilde{c}_{V_0} + h(\varepsilon) < \tilde{c}_{V_\infty}$ . We can assume that for each  $\varepsilon \in (0, \varepsilon_\delta)$ ,  $\tilde{c}_{V_0} + h(\varepsilon) < \tilde{c}_{V_\infty}$ . Then, by Lemma 2.13, we may assume  $v_n \rightarrow v$  in  $E_\varepsilon$ . Hence  $w_n \rightarrow w := m_\varepsilon^{-1}(v)$ . This shows that  $\Psi_\varepsilon$  satisfies the  $(PS)_d$  condition. Consequently, by Theorem 5.20 in [26],  $\Psi_\varepsilon$  has at least  $cat_{\tilde{\mathcal{N}}_\varepsilon}(\tilde{\mathcal{N}}_\varepsilon)$  critical points in  $\Psi_\varepsilon^{\tilde{c}_{V_0} + h(\varepsilon)} := \{w \in S_\varepsilon^+ : \Psi_\varepsilon(w) \leq \tilde{c}_{V_0} + h(\varepsilon)\}$ , and hence  $\Phi_\varepsilon$  has at least  $cat_{\tilde{\mathcal{N}}_\varepsilon}(\tilde{\mathcal{N}}_\varepsilon) \geq cat_{\Lambda_\delta}(\Lambda)$  positive critical points in  $\tilde{\mathcal{N}}_\varepsilon$  by Remark 3.1. If  $w_\varepsilon \in \tilde{\mathcal{N}}_\varepsilon$  denotes such a critical point of  $\Phi_\varepsilon$ , then  $u_\varepsilon := f(w_\varepsilon)$  is a positive solution of (1.3). Consequently, the function  $v_\varepsilon(x) := u_\varepsilon(\frac{x}{\varepsilon})$  is a positive solution of (1.1). Therefore, the problem (1.1) has at least  $cat_{\Lambda_\delta}(\Lambda)$  positive solutions.

Moreover, the maximum point  $\zeta_\varepsilon$  of  $v_\varepsilon$  is related to the maximum point  $\xi_\varepsilon$  of  $u_\varepsilon$  with  $\zeta_\varepsilon = \varepsilon \xi_\varepsilon$ . Hence, to prove the concentration property of solution for (1.1) we just need to show  $\lim_{\varepsilon \rightarrow 0^+} V(\varepsilon \xi_\varepsilon) = V_0$ .

Let  $\{\varepsilon_n\} \subset \mathbb{R}_+$  be such that  $\varepsilon_n \rightarrow 0^+$ . Set  $w_n := w_{\varepsilon_n}$ . Then

$$\tilde{c}_{V_0} \leq c_{\varepsilon_n} \leq \Phi_{\varepsilon_n}(w_n) \leq \tilde{c}_{V_0} + h(\varepsilon_n) \rightarrow \tilde{c}_{V_0}.$$

Hence  $\Phi_{\varepsilon_n}(w_n) \rightarrow \tilde{c}_{V_0}$ . By Lemma 4.4 there exists a sequence  $\{z_n\} \subset \mathbb{R}^N$  such that  $w_n(\cdot + z_n)$  has a convergent subsequence in  $E$  and  $\tilde{z}_n = \varepsilon_n z_n \rightarrow z \in \Lambda$ . Set  $\psi_n(x) := w_n(x + z_n)$  for all  $x \in \mathbb{R}^N$ . Then we can assume that  $\psi_n \rightarrow \psi \neq 0$  in  $E$ . We claim that  $\psi_n \in L^\infty(\mathbb{R}^N)$  and there exists  $C > 0$  such that

$$\|\psi_n\| \leq C, \quad \forall n \in \mathbb{N}.$$

In fact, for any  $R > 0$ ,  $0 < r \leq \frac{R}{2}$ , take  $\eta \in C^\infty(\mathbb{R}^N, [0, 1])$  such that

$$\eta(x) = \begin{cases} 0, & \text{if } |x| \leq R - r, \\ 1, & \text{if } |x| \geq R, \end{cases}$$

and  $|\nabla \eta| \leq \frac{2}{r}$ . For  $l > 0$ , set

$$\psi_{l,n}(x) = \begin{cases} \psi_n(x), & \text{if } \psi_n(x) \leq l, \\ l, & \text{if } \psi_n(x) \geq l, \end{cases}$$

and

$$\phi_{l,n} = \eta^2 \psi_{l,n}^{2(\theta-1)} \psi_n, \quad \omega_{l,n} = \eta \psi_{l,n}^{\theta-1} \psi_n$$

with  $\theta > 1$  to be determined later. For convenience' sake, we shall omit  $dx$  and  $dy$  in the following integrals.

Since  $w_n$  is a critical point of  $\Phi_{\varepsilon_n}$ , take  $\phi_{l,n}$  as the test function, one has

$$\begin{aligned} 0 &= \int_{\mathbb{R}^N} \nabla \psi_n \nabla \phi_{l,n} + \int_{\mathbb{R}^N} V(\varepsilon_n(x + z_n)) f(\psi_n) f'(\psi_n) \phi_{l,n} \\ &\quad - \int_{\mathbb{R}^N} |f(\psi_n)|^{22^*-1} f'(\psi_n) \phi_{l,n} - \int_{\mathbb{R}^N} g(f(\psi_n)) f'(\psi_n) \phi_{l,n}. \end{aligned}$$

Hence

$$\begin{aligned} &\int_{\mathbb{R}^N} \eta^2 \psi_{l,n}^{2(\theta-1)} |\nabla \psi_n|^2 \\ &= \int_{\mathbb{R}^N} |f(\psi_n)|^{22^*-1} f'(\psi_n) \phi_{l,n} + \int_{\mathbb{R}^N} g(f(\psi_n)) f'(\psi_n) \phi_{l,n} - 2 \int_{\mathbb{R}^N} \eta \psi_{l,n}^{2(\theta-1)} \psi_n \nabla \psi_n \nabla \eta \\ &\quad - \int_{\mathbb{R}^N} V(\varepsilon_n(x + z_n)) f(\psi_n) f'(\psi_n) \phi_{l,n} - 2(\theta - 1) \int_{\mathbb{R}^N} \eta^2 \psi_{l,n}^{2\theta-3} \psi_n \nabla \psi_n \nabla \psi_{l,n}. \end{aligned}$$

Using Lemma 1.3, similar to proof of Lemma 4.6 in [10], we can prove that there is  $K > 0$  such that

$$\|\psi_n\|_{L^\infty} \leq K, \quad \forall n \in \mathbb{N}$$

and  $\lim_{|x| \rightarrow \infty} \psi_n(x) = 0$ , uniformly in  $n \in \mathbb{N}$ .

Let  $P_n$  be the global maximum of  $\psi_n$ . Then  $\xi_{\varepsilon_n} := P_n + z_n$  is the global maximum of  $w_n$ . Since  $\lim_{|x| \rightarrow \infty} \psi_n(x) = 0$  uniformly in  $n \in \mathbb{N}$ , the sequence  $\{P_n\} \subset \mathbb{R}^N$  is bounded. Otherwise,

we may assume  $|P_n| \rightarrow \infty$ , and hence  $\lim_{n \rightarrow \infty} \psi_n(P_n) = 0$ . Hence, for any  $0 < \tau < \frac{1}{4}V_0$ , one has

$$2^{\frac{2^*}{2}} \psi_n^{2^*-2}(P_n) < \frac{\tau}{2}$$

for large  $n$ . Moreover, by  $(g_1)$ , there exists  $\rho_0 > 0$  such that  $|\frac{g(s)}{s}| < \frac{\tau}{2}$  whenever  $0 < |s| < \rho_0$ . Notice that for large  $n$ , one has  $0 < f(\psi_n(x)) \leq f(\psi_n(P_n)) \leq \psi_n(P_n) < \rho_0$  for all  $x \in \mathbb{R}^N$ . Hence, for large  $n$ ,

$$\begin{aligned} & \frac{1}{2} \left[ \int_{\mathbb{R}^N} |\nabla \psi_n|^2 + \int_{\mathbb{R}^N} V(\varepsilon_n(x + z_n)) f^2(\psi_n) \right] \\ & \leq \int_{\mathbb{R}^N} |\nabla \psi_n|^2 + \int_{\mathbb{R}^N} V(\varepsilon_n(x + z_n)) f(\psi_n) f'(\psi_n) \psi_n \\ & = \int_{\mathbb{R}^N} |f(\psi_n)|^{22^*-1} f'(\psi_n) \psi_n + \int_{\mathbb{R}^N} g(f(\psi_n)) f'(\psi_n) \psi_n \\ & \leq 2^{\frac{2^*}{2}} \psi_n^{2^*-2}(P_n) \int_{\mathbb{R}^N} f^2(\psi_n) + \int_{\mathbb{R}^N} \frac{g(f(\psi_n))}{f(\psi_n)} f(\psi_n) f'(\psi_n) \psi_n \\ & \leq \tau \int_{\mathbb{R}^N} f^2(\psi_n), \end{aligned}$$

and hence

$$\int_{\mathbb{R}^N} |\nabla w_n|^2 + \int_{\mathbb{R}^N} V(\varepsilon_n x) f^2(w_n) = \int_{\mathbb{R}^N} |\nabla \psi_n|^2 + \int_{\mathbb{R}^N} V(\varepsilon_n(x + z_n)) f^2(\psi_n) = 0$$

for large  $n$ . Therefore,

$$\int_{\mathbb{R}^N} |\nabla w_n|^2 + \int_{\mathbb{R}^N} V_0 f^2(w_n) = 0$$

for large  $n$ . By Lemma 2.6 there is a constant  $C > 0$  such that

$$0 = \int_{\mathbb{R}^N} |\nabla w_n|^2 + \int_{\mathbb{R}^N} V_0 f^2(w_n) \geq C \|w_n\|_E.$$

Hence,  $w_n = 0$  for large  $n$ , a contradiction. This shows that the sequence  $\{P_n\} \subset \mathbb{R}^N$  is bounded. Consequently,  $\varepsilon_n \xi_{\varepsilon_n} \rightarrow z \in \Lambda$ . Therefore,  $\lim_{n \rightarrow \infty} V(\varepsilon_n \xi_{\varepsilon_n}) = V(z) = V_0$ . This completes the proof of Theorem 2.  $\square$

## References

- [1] C. O. Alves, F. J. S. A. Corrêa, T. F. Ma, Positive solutions for a quasilinear elliptic equation of Kirchhoff type, *Comput. Math. Appl.* 49 (2005), 85-93.

- [2] C. O. Alves, G. M. Figueiredo, U. B. Severo, Multiplicity of positive solutions for a class of quasilinear problems, *Adv. Diff. Eqns.* 14(2009), 911-942.
- [3] G. Autuori, P. Pucci, Existence of entire solutions for a class of quasilinear elliptic equations, *Nonlinear Diff. Eqns. Appl.*, 20(2013), 977-1009.
- [4] D. Cassani, J. M. do Ó, A. Moameni, Existence and concentration of solitary waves for a class of quasilinear Schrödinger equations, *Commun. Pure Appl. Anal.* 9(2010), 281-306.
- [5] M. Colin, L. Jeanjean, Solutions for a quasilinear Schrödinger equation: a dual approach, *Nonlinear Anal.* 56(2004), 213-226.
- [6] J. M. do Ó, O. H. Miyagaki, S. H. M. Soares, Soliton solutions for a quasilinear Schrödinger equations with critical growth, *J. Diff. Eqns.* 248(2010), 722-744.
- [7] J. M. do Ó, O. H. Miyagaki, S. H. M. Soares, Soliton solutions for quasilinear Schrödinger equations: the critical exponential case, *Nonlinear Anal.* 67(2007), 3357-72.
- [8] I. Ekeland, On the variational principle, *J. Math. Anal. Appl.* 47(1974), 324-353.
- [9] X. D. Fang, A. Szulkin, Multiple solutions for a quasilinear Schrödinger equation, *J. Differential Equations*, 254(2013), 2015-2032.
- [10] X. He, A. Qian, W. Zou, Existence and concentration of positive solutions for quasilinear Schrödinger equations with critical growth, *Nonlinearity*, 26(2013), 3137-3168.
- [11] X. He, W. Zou, Existence and concentration behavior of positive solutions for a Kirchhoff equation in  $\mathbb{R}^3$ , *J. Diff. Eqns.* 252(2012), 1813-1834.
- [12] J. Liu, Z. Q. Wang, Soliton solutions for quasilinear Schrödinger equations I, *Proc. Am. Math. Soc.* 131(2003), 441-448.
- [13] J. Liu, Z. Q. Wang, Y. X. Guo, Multibump solutions for quasilinear elliptic equations, *J. Funct. Anal.* 262(2012), 4040-4102.



- [14] J. Liu, Z. Q. Wang, X. Wu, Multibump solutions for quasilinear elliptic equations with critical growth, *J. Math. Phys.* 54(2013), 121501, 1-31.
- [15] J. Liu, Y. Wang, Z. Q. Wang, Soliton solutions for quasilinear Schrödinger equations II, *J. Diff. Eqns.* 187(2003), 473-493.
- [16] J. Liu, Y. Wang, Z. Q. Wang, Solutions for quasilinear Schrödinger equations via the Nehari method, *Commun. Partial Diff. Eqns.* 29(2004), 879-901.
- [17] X. Q. Liu, J. Q. Liu, Z. Q. Wang, Quasilinear elliptic equations via perturbation method, *Proc. Amer. Math. Soc.*, 141(2013), 253-263.
- [18] X. Q. Liu, J. Q. Liu, Z. Q. Wang, Quasilinear elliptic equations with critical growth via perturbation method, *J. Diff. Eqns.* 254(2013), 102-124.
- [19] M. Poppenberg, On the local well posedness of quasi-linear Schrödinger equations in arbitrary space dimension, *J. Diff. Eqns.* 172(2001), 83-115.
- [20] M. Poppenberg, K. Schmitt, Z. Q. Wang, On the existence of soliton solutions to quasilinear Schrödinger equations, *Calc. Var.* 14(2002), 329-344.
- [21] David Ruiz, Gaetano Siciliano, Existence of ground states for a modified nonlinear Schrödinger equation, *Nonlinearity*, 23(2010), 1221-1233.
- [22] X. Shang, J. Zhang, Ground states for fractional Schrodinger equations with critical growth, *Nonlinearity*, 27(2014), 187-207.
- [23] A. Szulkin, T. Weth, The method of Nehari manifold, in: D. Y. Gao, D. Motreanu (Eds.), *Handbook of Nonconvex Analysis and Applications*, International Press, Boston, 2010, 2314-2351.
- [24] N. S. Trudinger, On Harnack type inequalities and their application to quasilinear elliptic equations, *Commnu. Pure Appl. Math.* 20(1967), 721-747.
- [25] X. Wu, K. Wu, Geometrically distinct solutions for quasilinear elliptic equations, *Nonlinearity*, 27(2014), 987-1001.

- [26] M. Willem, Minimax Theorem, Birkhäuser Boston, Inc., Boston, MA, (1996).
- [27] Zhong Chen-Kui, Fan Xian-Ling, Chen Wen-yuan, Introduction of Non-linear Functional Analysis, Lanzhou University Publishing House, (1998).
- [28] W. Zou, Critical point theory and its applications, Springer Sciencen-Business Media, LLC(2006).