

1 **Title: Industrial rearing of edible insects could be a major source of new**
2 **biological invasions**

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25

26 **Abstract**

27 The recent upsurge in the edible insect market has seen industrialisation and intensification
28 without adequate regulatory policy guidelines in place. The species being reared and sold are
29 often non-native, in rearing centres not equipped to contain the species, and in areas without
30 regional or national pre-entry regulations, post-entry monitoring guidelines and early
31 response programs to address escapee species. Such unregulated transport, trade and rearing
32 of species, compounded by the policy and implementation loopholes at the regional, national
33 and international levels will most likely lead to new biological invasions, as has been
34 witnessed with other unregulated trade practices. To avoid this, it is necessary to monitor and
35 regulate the species to be reared, to improve the quarantine guidelines of the rearing centres,
36 and to be more stringent about the policies and practices that allow movements of non-native
37 species across international borders.

38

39 Our food habits have contributed significantly to global changes in the environment such as
40 deforestation and climate change. How ecologically sustainable is the chain of food
41 production to food consumption is hence, a critical socio-ecological enquiry. Entomophagy—
42 dietary consumption of insects—is increasingly seen as a solution, and consequently, an
43 emerging alternative in the global food industry (van Huis 2013). We contend here that since
44 it follows the same route of industrialisation and intensification than vertebrate-based
45 traditional food production, and has modest policy and regulatory guidelines in the context of
46 infrastructure, species movements and trade, it may add to another component of global
47 change: biological invasions.

48 Currently, over two billion people in 130 countries belonging to over 3000 ethnic
49 groups consume 1000-2200 insect species directly as a part of their traditional diets (Jongema
50 2017). The historical negative bias towards insect consumption is now diminishing in Europe
51 and European-driven populations, mostly due to the perceived nutritional, ecological, ethical
52 and economic benefits (van Huis 2013). Insects offer several advantages over traditional non-
53 vegetarian diet in terms of higher protein-to fat ratios, less demand during development on
54 water and other resources, lower carbon footprint, higher conversion efficiency values, low
55 capital investment, three-dimensional rearing possibilities, shorter generation time, higher
56 fecundity, higher resilience to diseases, and finally, a novelty in food preparations (van Huis
57 2013). These positive aspects of an insect-based diet have contributed to the establishment of
58 an industry with an overall global market estimate of USD 400 million and is projected to rise
59 to USD 700 million-1.2 billion by 2024, with major market share increases in Europe and
60 North America (Dunkel & Payne 2016).

61

62 **POSSIBLE NEGATIVE IMPLICATIONS OF INDUSTRIAL INSECT FARMING**

63 Insects are known to be successful invaders worldwide in most ecosystems, causing
64 ecological and economic catastrophes costing at least 70 billion dollars annually (Bradshaw
65 *et al.* 2016). In addition to cause crop or forest destruction, and potential health hazards,
66 invasive insects can cause damage to the native biodiversity by hybridisation, by aiding the
67 spread of pathogens, by way of trophic impacts such as predation and parasitism, and/or by
68 competition for resources (Hulme 2007). Historical accumulation curves of the introduction
69 of non-native species to newer areas of habitats, which is correlated with human-mediated
70 species dispersal, have not yet reached saturation (Seebens *et al.* 2017). The changes in
71 thermal gradients, which historically prevented ectothermic species such as insects from
72 invading colder habitats, has resulted in range expansions of many insect species (Bellard *et*
73 *al.* 2013), and will open new regions for invasions to many species that are escaping from
74 industrial insect farms. All these factors highlight the importance of studying the biology and
75 ecology of insects concerned by such mass-rearing, improving biosecurity frameworks and
76 quarantine facilities as well as establishing adequate strategic plans, legislation, policies and
77 budgets to contain post-border release of these potentially invasive species.

78

79 **RESILIENT SPECIES, TOUGHER ERADICATION**

80 Out of the 2200 species of edible insects reported in the traditional diet around the world
81 (Jongema 2017), several are currently reared industrially at a mass production level (Fig. 1)
82 (van Huis 2013), and numerous other species could be expected to follow given the growth
83 rate of the entomophagy industry. What makes the species chosen for entomophagy
84 exceptionally dangerous is that the traits that make them appropriate for mass rearing are the
85 very traits that could also make them successful and problematic invasive species: high
86 fecundity, generalist feeding and nesting habits, resilience to climate changes and
87 fluctuations, low resource requirements, and high disease resistance (van Huis 2013;

88 Ricciardi *et al.* 2017). Additionally, species are approved for importation keeping in mind the
89 effect of their pathogens on humans or vertebrate hosts, but not on native invertebrates,
90 despite potential susceptibility of the native invertebrate species to these new pathogens.
91 Many of these incoming insects have high pathogenic loads but also have developed high
92 immune responses due to co-evolution between the hosts and their pathogens, unlike the
93 native species in new habitats.

94 This concern is not unfounded as it is reminiscent of many such past activities where
95 movements of species for several commerce-driven activities has resulted in a deliberate or
96 accidental release of non-native species and their pathogens, as seen in the pet trade,
97 ornamental trade, biological pest control programs, medicinal use, species for scientific
98 laboratory experiments and educational exhibits, fur industry, silk production, and pollination
99 (Kumschick *et al.* 2016). There are recorded instances of exotic species imported as a food
100 source turning into invasive species, as seen in the case of the giant African snail (*Achatina*
101 *fulica*). Other flagship examples of commerce-and industry-driven invasions include the
102 introduction of the American mink (*Neovison vison*) to Europe for fur farming where the
103 released individuals or the escapees became invasive (Kumschick *et al.* 2016). Already,
104 several of the mass-reared insect species have become cosmopolitan in distribution and are
105 treated as serious pests and invasive species (Fig. 1) (Fiaboe *et al.* 2012). More species, or
106 new varieties or strains of the former, could join them as the market expands.

107

108 **POTENTIAL AREAS OF INVASIONS**

109 While many of the existing farms and companies are located in East and Southeast Asia
110 including China, new larger companies with considerable market share are upcoming in
111 Europe and North America, where 15 of the top 20 companies in the edible insect market in
112 the world are now located (Dossey *et al.* 2016). Regardless of the region, the biosecurity on

113 these farms is rarely of regulatory standards to prevent or respond to unintentional escapes.
114 Given the ease of rearing insects, many of these facilities have an annual turnover of rearing
115 millions of individuals (Fig. 2) (Weissman *et al.* 2012). Even if a tiny percentage of these
116 individuals manage to escape, it still contributes towards a sizable founder population, one
117 that has been selected for being fast-growing at both the organism and population levels.

118

119 **POLICY AND IMPLEMENTATION LOOPHOLES**

120 Most existing international policy and guiding principles related to the movement, rearing
121 and escapes of non-native species take into account economic impacts in managed
122 ecosystems such as agriculture, livestock and fisheries. The economic and biodiversity losses
123 in natural ecosystems are likely higher and also difficult to quantify. However, they do not
124 come under the direct purview of many of these policies.

125 These guiding principles are also strewn with certain ambiguities which allow
126 movements of non-native species under technical loopholes. For example, under the invasive
127 species guiding principles exercised in the European Union (EU), deliberate introductions of
128 organisms are to be prevented, but regulation over accidental introductions are not exercised.
129 Another example is of The Convention on International Trade on Endangered Species of
130 Flora and Fauna (CITES), which prevents the importation of invasive species. However,
131 there is no regulation on captive breeding and pet industry within whose purview the species
132 reared for entomophagy might be reared and sold (Hulme 2007). In some instances, the
133 policies of different international agencies are in direct conflict with each other, such as those
134 of the World Trade Organization (WTO) promoting an unrestricted movement of products
135 and those of the Convention on Biological Diversity (CBD) and CITES promoting regulation
136 of these movements.

137 Low prioritisation by nation-states to implement international policy guidelines is
138 another likely cause of biological invasions. For example, low prioritisation in the EU of
139 article 8(h) of CBD dealing with non-native species has resulted in fewer resources directed
140 to regulate movements of species.

141 While food safety-related risk assessment is increasingly exercised when for human
142 consumption, regional and local invasion risk assessment and management protocols are not
143 readily available for specific species, habitats or pathways of introduction, especially when
144 for animal feed, even in developed countries. This often results in directives for a minimal set
145 of notorious species which are blacklisted. A species not on the 'blacklist', only because of
146 its unassessed nature, could still be mass-reared and accidentally released (Simberloff 2006;
147 Weissman *et al.* 2012).

148 Finally, the biosecurity status of these rearing facilities is worrying. Inferior, diseased
149 or unrequired stocks should be destroyed but are often released in the environment
150 (Weissman *et al.* 2012). Numerous escapees have been reported in the south- and south-east
151 Asia (AFP 2013). Even in high-income countries where the rearing facilities could be more
152 rigorous towards containment, low awareness and commitment on the part of the
153 stakeholders often result in illegal selling, frequent and high numbers of escapees, and
154 absence of monitoring and early response programs, resulting in establishment and spread
155 (Weissman *et al.* 2012).

156

157 **AVOIDING NEW INVASIONS: THE WAY FORWARD**

158 Population viability information on every potential species for farming should be available.
159 Host-specific herbivore species may be less damaging than generalist omnivorous species.
160 Species inept at living outside the mass-rearing facilities due to incompatibility with the new
161 environments should be preferred, which can be assessed through climate niche modeling

162 (e.g., species distribution modeling). Additionally, the mass rearing facilities should be
163 developed on the lines of pathogen housing facilities, where pathogens are broadly classified
164 into four different biosafety levels based on their pathogenicity and potential impacts.

165 International policies and guiding principles need to include certification, quarantine,
166 post-entry monitoring and early response programs. The development of protocols of impact
167 risk-assessment is essential because it assists in classifying species based on different risk
168 categories, from low to high risk of invasion, as has been practiced in island nations such as
169 Australia and New Zealand (Hulme *et al.* 2018). These island nations also have a more
170 rigorous approach towards importing any living species, by developing a ‘whitelist’, wherein
171 every non-native species is considered potentially dangerous till proved to be safe by a risk
172 profiling. In contrast, the more widely implemented approach of a ‘blacklist’, wherein every
173 species is acceptable for import unless specifically banned, relies on scientists needing to
174 prove that a species is problematic, with all the associated caveats when it would go against
175 economic pressure. Adopting a ‘whitelisting’ approach is more stringent and hence more
176 effective in controlling potential invasions (Simberloff 2006); it is also more logical as the
177 assessment would need to be done only for species considered for the industry.

178 Resource availability to develop these protocols and infrastructure requires trained
179 human resource and financial capital which should ideally come from the industry. This is
180 not only because they are the fiscal beneficiaries, but also because industry-driven voluntary
181 codes of conduct and their investment in the research on the biology and ecology of the
182 species to be reared have a direct influence on the deliberate introductions of non-native
183 species. For example, the cost of risk assessment of weeds is borne by industries in New
184 Zealand, following which the country has approved fewer than 100 plant species for
185 introduction in the last century. Contrastingly, neighboring Australia has a government-
186 funded risk assessment program, resulting in the admission of more than 1500 plant species

187 for cultivation in the last century (Hulme *et al.* 2018). Consequently, any insect mass-rearing
188 industry should be legally and financially accountable for the biological invasions they would
189 create or allow.

190

191 **CONCLUSION**

192 We caution that industrial rearing of insects for entomophagy is based on the production of
193 massive quantities of non-native insect species of considerable invasion potential to newer
194 areas of habitats, in regions which lack sufficient regulatory frameworks, and in facilities
195 from where the intentional or accidental release of these insects is highly likely. This is
196 especially important looking at the growth prospects of this industry in the future, lack
197 thereof we might be standing at the precipice of a new solution turned-on-its-head to become
198 a threat to global biodiversity.

199

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206

207 **DATA AVAILABILITY STATEMENT**

208 No new data were used for this article.

209

210 **REFERENCES AND NOTES**

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255

256 **FIGURE LEGENDS**

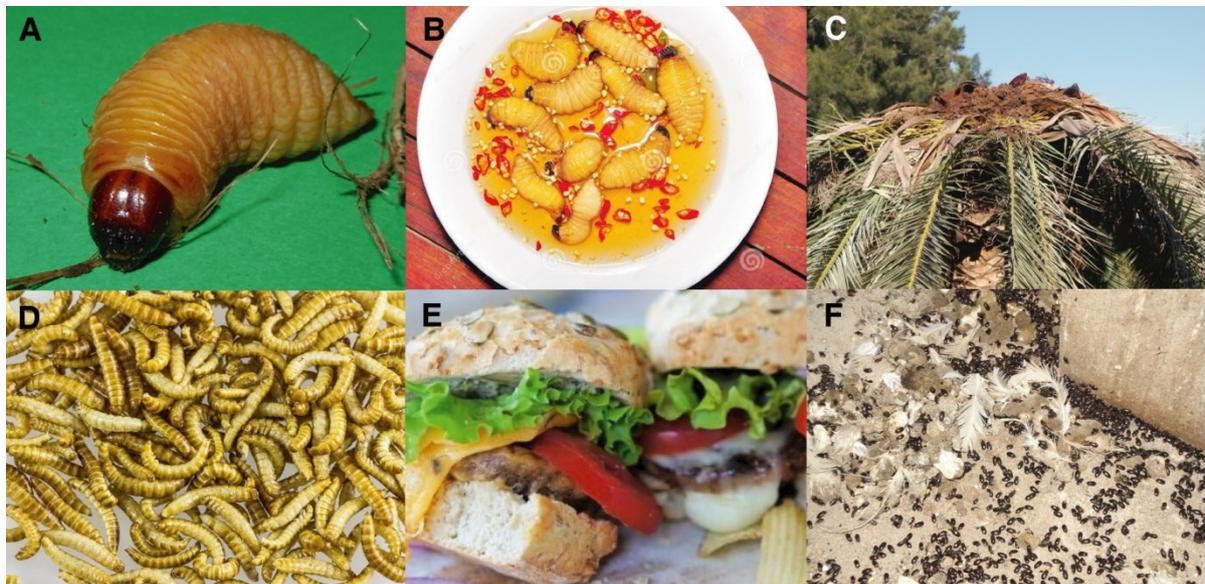
257 **Fig. 1.** Two of the most popularly consumed and industrially reared insect species, their
258 recipes and the damage they are already reported to cause. (A-C) palm weevil
259 (*Rhynchophorus ferrugineus*), raw larvae or their soup, and, their infestation causing
260 mortality of the palms; (D-F) litter beetle (*Alphitobius diaperinus*), a burger made from its
261 larvae, and its infestation of poultry houses. Image courtesy of Wikimedia Commons and
262 Food and Agriculture Organization (FAO).

263

264 **Fig. 2.** Insect rearing facilities. (A, B) Small rearing centres, and, (C, D) large industrial
265 rearing facilities. Despite the differences in sophistication in rearing techniques, both types
266 of rearing facilities lack tight biosecurity measures—image courtesy of the Food and
267 Agriculture Organization (FAO).

268 **FIGURES**

269 **Fig. 1.**



270

271

272 **Fig. 2.**



273