

## 1 ORIGINAL ARTICLE

2 **Systemic inflammation, tonsil microbiome, obstructive sleep apnea, and surgical**3 **outcome among children of different weight status**4 Hai-Hua Chuang<sup>1,2,3,4</sup>, Jen-Fu Hsu<sup>2,5</sup>, Li-Pang Chuang<sup>2,6,7</sup>, Cheng-Hsun Chiu<sup>2,5</sup>, Yen-5 Lin Huang<sup>2,8</sup>, Hsueh-Yu Li<sup>2,6,9</sup>, Ning-Hung Chen<sup>2,6,7</sup>, Yu-Shu Huang<sup>2,6,10</sup>, Chun-Wei6 Chuang<sup>11</sup>, Hsin-Chih Lai<sup>11,12</sup>, Chung-Guei Huang<sup>11,12\*</sup>, Li-Ang Lee<sup>2,6,9\*</sup>

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27 Taoyuan, Taiwan28 <sup>12</sup> Department of Medical Biotechnology and Laboratory Science, Graduate Institute of Biomedical  
29 Sciences, Chang Gung University, Taoyuan, Taiwan30 **Running title:** Inflammation, Tonsil Microbiome, and OSA

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52 **Abstract**

53 **Background:** Systemic inflammation and tonsil microbiome have been linked to  
54 chronic intermittent hypoxia during sleep in children with obstructive sleep apnea  
55 (OSA). However, their relationships have not been comprehensively studied. Here,  
56 we investigated the associations between systemic inflammation, tonsil microbiome,  
57 OSA severity, and surgical outcome in pediatric OSA patients regarding different  
58 weight status.

59 **Methods:** We recruited 33 children with OSA and non-healthy-weight (cases) and 33  
60 children with OSA and healthy-weight (controls) were prospectively recruited and  
61 matched by the proportion of chronic tonsillitis. Each patient underwent  
62 adenotonsillectomy and received at least 3-month follow-ups. Systemic inflammatory  
63 biomarkers (interleukin [IL]-6, IL-10) were detected in the blood sampled in the  
64 morning. Tonsil microbiome was identified with 16S ribosomal RNA gene  
65 sequencing. OSA severity was assessed by standard whole-night polysomnography.

66 **Results:** Differences in systemic inflammatory biomarkers, tonsil phyla, and apnea-  
67 hypopnea index were not statistically significant between both groups. After  
68 adenotonsillectomy, all OSA severity variables significantly improved; however,  
69 apnea-hypopnea index was significantly higher in the non-healthy-weight group and  
70 serum level of IL-6 significantly reduced in the healthy-weight group. The percentage

71 changes in IL-6 and minimal pulse oxygen saturation were respectively associated  
72 with *Deinococcus-Thermus* and *Eremiobacteraeota* in the non-healthy-weight group,  
73 whereas the percentage change in IL-6 was associated with *Patescibacteria* and  
74 *Cloacimonetes* in the healthy-weight group. Furthermore, chronic tonsillitis was  
75 related to *Chloroflexi*, *Acidobacteria*, *Euryarchaeota*, *Thermotogae*,  
76 *Hydrogenedentes*, and *Rokubacteria* in the non-healthy-weight group.  
77 **Conclusion:** These preliminary findings are novel and provide insight for future  
78 research to understand the pathogenesis of the disease and to develop personalized  
79 treatments.

## 80 **KEYWORDS**

81 adenotonsillectomy, children, interleukin, microbiome, obstructive sleep apnea,  
82 surgical outcome, systemic inflammation, tonsil, weight status

**83 Key Message**

84 This study shows that the associations between systemic inflammation, tonsil  
85 microbiome, obstructive sleep apnea severity, and surgical outcome significantly  
86 differently interact with each other in children of different weight status, potentially  
87 influencing the pathogenesis and treatment outcome.

## 88 1 | INTRODUCTION

89 Pediatric obstructive sleep apnea (OSA) is a chronic sleep disorder characterized by  
90 intermittent partial or complete upper airway obstruction during sleep. It has a  
91 prevalence of 1%–4% in healthy children and can result in long-term adverse effects.<sup>1</sup>  
92 Adenotonsillar hypertrophy and overweight/obesity are the most common risk factors  
93 for pediatric OSA.<sup>1</sup> OSA causes chronic intermittent hypoxia and systemic  
94 inflammation,<sup>2</sup> and further interacts with neurobehavioral impairment<sup>3</sup> and other  
95 chronic conditions<sup>4–6</sup> in children. Inflammatory cytokines such as interleukin (IL)-6<sup>4,7</sup>  
96 and IL-10<sup>6</sup> are associated with the apnea-hypopnea index (AHI). However, the  
97 physiological roles of inflammatory biomarkers may differ and have opposing actions  
98 in maintaining cardiovascular homeostasis among populations.<sup>4</sup> Therefore, studies are  
99 warranted to investigate differences in IL-6 and IL-10 in pediatric OSA patients with  
100 different weight statuses.

101 Microbiomes of the adenoid and tonsils change with many diseases, such as  
102 chronic tonsillitis and OSA.<sup>8,9</sup> The two main methods to detect bacterial communities  
103 on the tonsil surface are swab cultures and culture-free molecular tests based on 16S  
104 ribosomal RNA or ribosomal DNA sequencing.<sup>10</sup> Molecular tests enable metagenomic  
105 studies with better detection of slow-growing, uncultivable, and rare bacteria.<sup>11</sup>  
106 However, to our best knowledge, differences in tonsil microbiota between non-

107 healthy-weight (cases) and healthy-weight children (controls) with OSA have not  
108 been elucidated.

109 The first aim of this study was to compare differences in systemic inflammation,  
110 tonsil microbiome, OSA severity, and surgical outcome in pediatric OSA patients with  
111 various weight statuses. The second aim was to correlate these variables of interest  
112 with each other in non-healthy-weight and healthy-weight children with OSA.

113

## 114 **2 | MATERIALS AND METHODS**

### 115 **2.1 | Study design and data collection**

116 We prospectively recruited pediatric patients referred to the Department of  
117 Otorhinolaryngology-Head and Neck Surgery at Linkou-Chang Gung Memorial  
118 Hospital (Taoyuan, Taiwan) for adenotonsillectomy between March 1, 2017, and  
119 January 31, 2019. The Institutional Review Board of Chang Gung Medical  
120 Foundation approved this prospective case-control study (104-7279A3). Written  
121 informed consent was obtained from all parents and participants  $\geq 6$  years. The  
122 protocol has been previously published.<sup>12</sup> The inclusion criteria were: (1) age 5–12  
123 years and (2)  $AHI \geq 5.0$  or  $AHI \geq 1.0$  plus at least one morbidity (such as elevated  
124 blood pressure, daytime sleepiness, learning problems, growth failure, or enuresis).<sup>5</sup>  
125 Patients with craniofacial, neuromuscular, or chronic inflammatory disorders were



126 excluded.<sup>5,12</sup> The subjects were further divided into two subgroups according to body  
127 mass index (BMI) z-score: 'non-healthy-weight' ( $\leq -2.0$  and  $\geq 1.0$ ) group, and  
128 'healthy-weight' ( $> -2.0$  and  $< 1.0$ ) group.<sup>13</sup> Both groups were matched by the  
129 proportion of chronic tonsillitis. Figure E1 demonstrates flow diagram.

## 130 2.2 | Systemic inflammatory biomarkers

131 Morning blood samples were taken within 1 week before adenotonsillectomy. In cases  
132 of acute systemic inflammation, blood tests were not performed until the condition  
133 had subsided.<sup>14</sup> The serum levels of IL-6 and IL-10 were determined using the Bio-  
134 Plex® Pro Human Cytokine assay (Bio-Rad Laboratories, Hercules, CA, USA) as  
135 described previously.<sup>12</sup> Duplicate samples were centrifuged, diluted, incubated with  
136 antibody-coupled beads, incubated with detection antibodies, and treated with  
137 streptavidin according to the manufacturer's instructions.

## 138 2.3 | Tonsil microbiota

139 Tonsils with crypts were excised using sterile scissors during adenotonsillectomy.  
140 Genomic DNA was extracted from the specimen using an EasyPrep Genomic DNA  
141 Extraction Kit (Tools Biotechnology, Taiwan). Tonsil tissue was treated with 4  $\mu$ L of  
142 RNase A (100 mg/mL) for 5 min at room temperature, 20  $\mu$ L of Proteinase K at 56°C  
143 until completely lysed, and 200  $\mu$ L ethanol (96–100%) for 15 sec.<sup>15</sup> The quality and

144 quantity of genomic DNA were measured on a NanoPhotometer P360 system  
145 (Implen, USA). Polymerase chain reaction (PCR) was used to amplify the V3–V4  
146 regions of the gene that encoded for 16S rRNA in bacteria using composite primers  
147 including the forward primer 5'-  
148 TCGTCGGCAGCGTCAGATGTGTATAAGAGACAGCCTAYGGGRBGCASCAG  
149 -3' and the reverse primer 5'-  
150 GTCTCGTGGGCTCGGAGATGTGTATAAGAGACAGGGACTACNNGGGTATC  
151 TAAT-3'.<sup>16</sup> Amplicons were purified using a QiaQuick PCR Purification Kit  
152 (Qiagen). PCR amplicons were sequenced using the Illumina HiSeq 2500 platform  
153 following the manufacturer's instructions to generate 250 bp paired-end reads.

#### 154 **2.4 | OSA severity variables**

155 We assessed OSA severity variables (AHI, mean pulse oxygen saturation [SpO<sub>2</sub>], and  
156 minimal SpO<sub>2</sub>) by standard polysomnography, according to the 2012 American  
157 Academy of Sleep Medicine Manual.<sup>17</sup> A detailed protocol of polysomnography had  
158 been described previously.<sup>12</sup>

#### 159 **2.5 | Surgical outcomes**

160 All patients underwent adenotonsillectomy and received at least 3-month follow-ups.  
161 Percentage change ([postoperative value - preoperative value] / [preoperative value] ×

162 100) was calculated for variables of interest.

## 163 2.6 | Sample size

164 The sample size was estimated using primary outcome effects (BMI z-score) based on  
165 a *priori* study<sup>12</sup> (healthy-weight group =  $0.52 \pm 1.12$  and non-healthy-weight group =  
166  $1.53 \pm 1.05$ ). We used a two-tailed Wilcoxon-Mann-Whitney test to calculate the  
167 sample size (effect size = .93; type I error = .05; power = .95), which generated a  
168 sample size of 33 in each group.

## 169 2.7 | Bioinformatics and statistical analysis

170 The entire paired-end reads were assembled using FLASH (version 1.2.7) and reads  
171 with quality score < 20 were removed using QIIME (version 1.7). Sequences were  
172 chimera-checked using UCHIME and filtered from the data set before operational  
173 taxonomic unit (OTU) picking of 97% sequence identity using USEARCH (version  
174 7). Taxonomy classification was annotated according to the Greengenes Database  
175 (version 13.8). To identify relationships between different OTU, multiple sequences  
176 were aligned using PyNAST (version 1.2) against the Greengenes core set database.  
177 We used Graphical Phylogenetic Analysis for visualizations of microbial genomes  
178 and metagenomes. Detailed protocols of our bioinformatics had been described  
179 previously.<sup>16,18</sup> During data collection and analysis, the investigators were blinded to

180 group allocation.

181 The D'Agostino and Pearson normality test showed that most variables had non-  
182 normal distributions. Therefore, descriptive statistics were expressed as the median,  
183 interquartile range (IQR), or frequency. Differences in variables of interest between  
184 the 'healthy-weight' and 'non-healthy-weight' groups were determined using the  
185 Mann-Whitney *U* test or chi-square test as appropriate. Spearman's correlation test  
186 was used to determine associations among major (> 0.1% abundance and present in  
187 >90% of samples<sup>18</sup>) or minor phyla with the patient characteristics and inflammatory  
188 biomarkers. Overall taxonomic or phylum-level abundances were included to  
189 determine the most discriminatory taxa between two groups. Analysis of similarity  
190 was performed to compare bacterial communities. For reducing the effect of multiple  
191 comparisons of the tonsil phyla, we performed the *Bonferroni* correction. All  
192 statistical analyses were conducted using R software (versions 2.15.3 and 3.6.1, R  
193 Foundation for Statistical Computing, <http://www.r-project.org/>) and Graph Pad  
194 Prism software (version 7.00; Graph Pad Software Inc., San Diego, CA, USA). A two-  
195 tailed *P* value of < .05 was considered to be statistically significant.

196

### 197 3 | RESULTS

### 3.1 | Participants' characteristics

Sixty-six children with OSA (16 girls and 50 boys; median age, 6.5 years [IQR, 6.0–9.0]; BMI z-score, 0.91 [IQR, -0.38–2.03]; median AHI, 8.5 events/hour [IQR, 4.1–19.5]) were enrolled (Table 1). The non-healthy-weight group (n = 33) had significantly higher age and BMI z-score, and lower mean and minimal SpO<sub>2</sub> than the healthy-weight group (n = 33). There were no significant differences in the other characteristics including the proportions of male sex and chronic tonsillitis, serum levels of IL-6 and IL-10, and AHI before adenotonsillectomy.

### 3.2 | Correlations of systemic inflammation and participants' characteristics

Although there were some weak associations between IL-6 and AHI and mean SpO<sub>2</sub> in the healthy-weight group before adenotonsillectomy, serum levels of IL-6 and IL-10 were not associated with patient characteristics, system inflammation, and obstructive sleep apnea severity after the *Bonferroni* correction (Table 2).

### 3.3 | Difference in tonsil microbiome between different weight status groups

Figures 1A and 1B demonstrate OTU trees of the non-healthy-weight group and healthy-weight groups, respectively. The difference in tonsil bacterial communities between the two groups was not statistically significant. For simplified clinical comparisons, we analyzed the difference in the phyla level of the tonsil microbiota in this study. Fifty-seven phyla were identified from the tonsil samples. There were six

217 major phyla, including *Proteobacteria*, *Firmicutes*, *Bacteroidetes*, *Fusobacteria*,  
218 *Actinobacteria*, and *Epsilonbacteraeota*, and 51 minor phyla. In descending order of  
219 median relative abundance, the 10 most common phyla were *Proteobacteria*,  
220 *Firmicutes*, *Bacteroidetes*, *Fusobacteria*, *Actinobacteria*, *Epsilonbacteraeota*,  
221 *Spirochaetes*, *Chloroflexi*, *Acidobacteria*, and *Euryarchaeota*. The relative  
222 abundances of these phyla in the non-healthy-weight group (Figure 1C) were  
223 equivalent to those of these phyla in the healthy-weight group (Figure 1D).

#### 224 **3.4 | Associations of participants' characteristics, systemic inflammation, and** 225 **tonsil phyla**

226 There were some weak associations between participants' characteristics, systemic  
227 inflammation, and the major tonsil phyla (Figure 2). However, chronic tonsillitis was  
228 significantly related to high relative abundances of *Chloroflexi*, *Acidobacteria*, and  
229 *Euryarchaeota* after the *Bonferroni* correction in the non-healthy-weight group  
230 (Figure 2A), whereas the corrected associations were not statistically significant in the  
231 healthy-weight group (Figure 2B).

232 Table 3 further summarizes uncorrected associations among the patient  
233 characteristics and the other minor phyla of the tonsil. After the *Bonferroni* correction,  
234 chronic tonsillitis was significantly associated with high relative abundances of  
235 *Thermotogae*, *Hydrogenedentes*, and *Rokubacteria* in the non-healthy-weight group,

whereas the patient characteristics were not associated with the other minor tonsil phyla in the healthy-weight group.

There were several weak associations between systemic inflammation, OSA severity variables, and the minor tonsil phyla in the non-healthy-weight group (detail see in Table E1) and the healthy-weight group (detail see in Table E2). The corrected association between high baseline serum level of IL-6 and *Eremiobacteraeota* (WPS-2) was still significant. However, there were no significantly corrected associations in the healthy-weight group.

### **3.5 | Main surgical outcomes**

Figure 3 demonstrates changes in six main outcomes after adenotonsillectomy in detail. Percentage changes in BMI z-score, IL-6 level, IL-10 level, AHI, mean SpO<sub>2</sub>, and minimal SpO<sub>2</sub> were comparable between both groups (Table 1). After the *Bonferroni* correction, the percentage change in IL-6 was inversely associated with the baseline IL-6 level in the healthy-weight group (Table 2). Furthermore, percentage changes in IL-10 were inversely associated with the baseline IL-10 level in both the groups.

### **3.6 | Associations of main surgical outcomes and tonsil phyla**

Percentage changes in variables of interest were not significant associated with the top 10 tonsil phyla in the non-healthy-weight group (Figure 2A) and the healthy-weight

group (Figure 2B) after the *Bonferroni* correction. The percentage change in BMI z-score was inversely associated with the relative abundance of *Planctomycetes* in the non-healthy-weight group but not in the healthy-weight group after the *Bonferroni* correction (Table 3). The corrected associations between the percentage change in IL-6 and the relative abundance of *Deinococcus-Thermus*, and the percentage change in minimal SpO<sub>2</sub> and the relative abundance of *Eremiobacteraeota* (WPS-2) were significant in the non-healthy-weight group (Table E1). In the healthy-weight group, the percentage changes in IL-6 was related to the relative abundance of *Patescibacteria*, and inversely associated with the relative abundance of *Cloacimonetes* after the *Bonferroni* correction (Table E2).

#### 4 | DISCUSSION

The advent of metagenomics has led to an increase in investigations on human microbiota. However, information on the tonsil microbiomes in pediatric OSA patients and their relationships with patient characteristics, systemic inflammation, and OSA severity are still lacking. Furthermore, our findings have suggested IL-10 plays a minor role in systemic inflammation in children with OSA regardless of BMI. In the following paragraphs, we address several novel findings in this study, regarding the weight status.



274 Despite no significant difference in systemic inflammatory biomarkers or tonsil  
275 microbiome observed between two body weight groups, the associations between  
276 these variables were different in each group. Notably, the baseline serum level of IL-6  
277 was weakly associated with AHI, but not BMI z-score, in the non-healthy-weight  
278 group; furthermore, the baseline serum level of IL-6 was not associated with AHI and  
279 BMI in the healthy-weight group. These novel findings are not entirely consistent  
280 with data in adult patients and suggest that the pathogenesis of OSA differs between  
281 adults and children, as well as in children with different weight status. However, these  
282 findings warrant further large-scale investigations.

283 IL-6 is a cytokine acting as both inflammatory and anti-inflammatory effects and  
284 produced by monocytes, endothelial cells, and adipocytes.<sup>19</sup> Although OSA can result  
285 in elevated IL-6 levels independent of BMI in adolescents<sup>20</sup> and adults<sup>21</sup>, whether  
286 OSA further reinforces systemic inflammation in obese children or not is not  
287 conclusive in non-healthy-weight children<sup>22</sup>. However, the percentage change in IL-6  
288 was not associated with the percentage change in AHI, as a previous report<sup>23</sup>, suggests  
289 that the IL-6 level maybe not directly to AHI in the non-healthy-weight group.

290 Interestingly, the relative abundance of *Eremiobacteraeota* (WPS-2) of the tonsil  
291 was associated with both the baseline serum level of IL-6 and the percentage change  
292 in minimal SpO<sub>2</sub>, and the percentage change in IL-6 was associated with

293 *Deinococcus-Thermus* in the non-healthy-weight group. The candidate division WPS-  
294 2 has been firstly described in the Wittenberg-polluted soil<sup>25</sup> and identified as a lesser-  
295 known phylum in human oral taxa<sup>26</sup>. *Deinococcus-Thermus* is a bacterial phylum  
296 featuring with high resistance to environmental hazards<sup>27</sup>, and has been linked to  
297 allergic rhinoconjunctivitis<sup>28</sup>. Furthermore, the percentage change in IL-6 was  
298 associated with *Patescibacteria* and *Cloacimonetes* in the healthy-weight group.  
299 *Patescibacteria* exists in groundwater, seawater, and soil<sup>29</sup>, whereas *Cloacimonetes*  
300 exists in an anaerobic sludge digester<sup>30</sup>. To our best knowledge, these lesser-known  
301 phyla have not been reported in the human tonsils; surgical removal of these tonsil  
302 phyla may involve in the changes of serum level of IL-6 and minimal SpO<sub>2</sub> in  
303 children with OSA. Therefore, these novel host-microbe associations warrant further  
304 investigations in the future.

305 Also, some OSA severity variables were weakly associated with some phyla  
306 (*Bacteroidetes*, *Deferribacteres*) in the healthy-weight group, but with other ones in  
307 the non-healthy-weight group. Tonsil microbiome seemed to be involved in OSA  
308 severity in healthy-weight than in non-healthy-weight pediatric patients. This novel  
309 finding suggests a possible underlying link between tonsil microbiome and OSA  
310 severity in pediatric patients. Future studies are warranted to elucidate the  
311 pathophysiological mechanisms.

312 Furthermore, two of the top 10 tonsil phyla (*Epsilonbacteraeota* and  
313 *Acidobacteria*) in both groups, four of chronic tonsillitis-related phyla (*Acidobacteria*,  
314 *Thermotogae*, *Hydrogenedentes*, and *Rokubacteria*) and one BMI-related phylum  
315 (*Planctomycetes*) in the non-healthy-weight group have not been listed in the Human  
316 Oral Taxa according to the expanded Human Oral Microbiome Database.<sup>24</sup> To date,  
317 these lesser-known phyla have not been reported to be involved in OSA, chronic  
318 tonsillitis, and BMI. Nevertheless, these preliminary findings indicate there are  
319 different interactions between tonsil microbiome, chronic tonsillitis, or body status in  
320 children with OSA and need future studies to confirm their pathogenetic effects.

321 Several limitations should be addressed in this study. First, the subject group  
322 contained a single ethnicity and was predominantly male, which may limit the  
323 generalizability of the results. However, the proportions of sex and chronic tonsillitis  
324 were comparable in both body weight groups to minimize confounding effects from  
325 baseline characteristics. Second, there may have been the co-existence of chronic  
326 tonsillitis, which would interfere with the analysis of inflammatory biomarkers and  
327 tonsil microbiome. Third, many of these phyla cannot or are very difficult to culture  
328 and have only been identified very recently with the advent of metagenomics. Future  
329 investigations on the effect of OSA treatment on systemic inflammatory biomarkers  
330 and tonsil microbiome with a larger sample size are warranted.

331

332 **5 | CONCLUSION**

333 The systemic inflammation and tonsil microbiome play roles in pediatric OSA, and

334 they seem to have different effects regarding various weight statuses. We found

335 several novel associations among systemic inflammatory biomarkers, tonsil phyla,

336 disease severity variables, and surgical outcomes in different body weight groups.

337 Future studies to investigate associations among tonsil microbiome and pathogenesis

338 and exacerbation of the disease are warranted.

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445 **Tables**

446 **Table 1.** Patient characteristics, systemic inflammation, and obstructive sleep apnea severity  
 447 before and after adenotonsillectomy

Variables	Non-healthy-weight group	Healthy-weight group	<i>P</i> Value <sup>a</sup>
<b>Before adenotonsillectomy</b>	n = 33	n = 33	
Patient characteristics			
Age (years)	<b>7.0 (6.0–8.0)</b>	<b>6.0 (5.0–7.5)</b>	<b>0.02</b>
Male sex, <i>n</i> (%)	28 (85)	22 (67)	0.09
Chronic tonsillitis	6 (18)	10 (30)	0.25
BMI (kg/m <sup>2</sup> ) z-score	<b>2.01 (1.46–2.38)</b>	<b>-0.36 (-1.16–0.18)</b>	<b>&lt;0.001</b>
Systemic inflammation			
IL-6 (pg/mL)	1.37 (0.32–2.44)	1.37 (0.13–2.18)	0.84
IL-10 (pg/mL)	0.50 (0.39–0.51)	0.39 (0.39–0.51)	0.43
Obstructive sleep apnea severity			
AHI (events/h)	9.6 (5.0–25.2)	5.4 (3.9–16.5)	0.11
Mean SpO <sub>2</sub> (%)	<b>97 (96–98)</b>	<b>98 (97–98)</b>	<b>0.04</b>
Minimal SpO <sub>2</sub> (%)	<b>89 (83–91)</b>	<b>91 (88–93)</b>	<b>0.02</b>
<b>After adenotonsillectomy</b>	n = 31	n = 32	
Patient characteristics			
BMI (kg/m <sup>2</sup> ) z-score	<b>2.08 (1.63–2.43)</b>	<b>-0.06 (-0.82–0.52)</b>	<b>&lt;0.001</b>
Systemic inflammation			
IL-6 (pg/mL)	1.14 (0.76–1.83)	0.65 (0.13–1.40)	0.07
IL-10 (pg/mL)	0.46 (0.15–0.73)	0.73 (0.18–0.85)	0.65

## Obstructive sleep apnea

## severity

AHI (events/h)	<b>2.7 (2.2–6.4)</b>	<b>2.2 (1.3–3.6)</b>	<b>0.03</b>
Mean SpO <sub>2</sub> (%)	98 (97–98)	98 (97–98)	0.12
Minimal SpO <sub>2</sub> (%)	<b>90 (88–93)</b>	<b>93 (90–94)</b>	<b>0.01</b>
<b>Percentage change</b>	n = 31	n = 32	
Patient characteristics			
Percentage change in BMI z-	-1 (-7–25)	-37 (-100–42)	0.21
Score			
Systemic inflammation			
Percentage change in IL-6	-36 (-64–84)	-64 (-91–35)	0.15
Percentage change in IL-10	0 (-24–28)	28 (-46–28)	0.17
Obstructive sleep apnea			
severity			
Percentage change in AHI	-67 (-89–25)	-70 (-86–42)	0.85
Percentage change in mean	0 (0–1)	0 (0–1)	0.55
SpO <sub>2</sub>			
Percentage change in	2 (-1–9)	2 (-2–6)	0.50
minimal SpO <sub>2</sub>			

*Note:* Data are expressed as median (interquartile range) or number (%).

AHI, apnea–hypopnea index; BMI: body mass index, IL, interleukin; SpO<sub>2</sub>, pulse oxygen saturation.

<sup>a</sup>Data were compared using the Mann-Whitney *U* test or chi-square test as appropriate.

The significant correlations are marked in bold.

449 **Table 2.** Correlations of systemic Inflammation with patient characteristics and obstructive sleep apnea severity in children with obstructive  
450 sleep apnea

Variables	Non-Healthy-weight group				Healthy-weight group			
			Percentage				Percentage	
			change in				change in	
	IL-6	IL-10	IL-6	IL-10	IL-6	IL-10	IL-6	IL-10
<b>Before adenotonsillectomy</b>								
Patient characteristics	n = 33	n = 33	n = 31	n = 31	n = 33	n = 33	n = 32	n = 32
Age	0.10	0.06	-0.21	-0.05	-0.14	-0.17	0.01	0.21
Male	-0.27	0.03	0.24	-0.12	0.13	-0.03	0.02	0.22
Chronic tonsillitis	0.11	0.12	0.06	-0.01	-0.03	0.14	0.24	-0.39 <sup>a</sup>
BMI z-score	0.21	-0.03	0.01	0.11	0.01	-0.05	-0.08	0.06
Systemic inflammation	n = 31	n = 31	n = 31	n = 31	n = 32	n = 32	n = 32	n = 32
IL-6	1.00	0.06	-0.31	-0.15	1.00	0.05	<b>-0.45<sup>a</sup></b>	-0.13
IL-10	0.06	1.00	0.08	<b>-0.76<sup>c</sup></b>	0.05	1.00	0.19	<b>-0.90<sup>c</sup></b>
Obstructive sleep apnea severity	n = 31	n = 31	n = 31	n = 31	n = 32	n = 32	n = 32	n = 32

AHI	0.41 <sup>a</sup>	-0.22	-0.21	0.16	-0.14	-0.09	-0.05	0.19
Mean SpO <sub>2</sub>	-0.36 <sup>a</sup>	-0.04	0.26	0.02	-0.01	0.16	0.11	-0.12
Minimal SpO <sub>2</sub>	-0.33	0.12	0.13	0.05	-0.31	0.14	-0.04	-0.26
<b>Percentage change</b>	n = 31	n = 31	n = 31	n = 31	n = 32	n = 32		
Patient characteristics								
Percentage change in BMI z-score	-0.35	0.30	-0.08	-0.20	-0.04	-0.20	0.04	0.12
Systemic inflammation								
Percentage change in IL-6	-0.31	0.08	1.00	-0.29	-0.45 <sup>a</sup>	0.19	1.00	-0.32
Percentage change in IL-10	-0.15	<b>-0.76<sup>c</sup></b>	-0.29	1.00	-0.13	<b>-0.90<sup>c</sup></b>	-0.32	1.00
Obstructive sleep apnea severity								
Percentage change in AHI	-0.34	0.35	0.21	-0.21	0.17	0.11	-0.15	-0.23
Percentage change in mean SpO <sub>2</sub>	0.24	-0.25	-0.20	0.14	0.09	0.03	-0.01	-0.01
Percentage change in minimal SpO <sub>2</sub>	0.23	-0.11	-0.15	0.08-	-0.44 <sup>a</sup>	-0.06	0.09	0.27

*Note:* Spearman correlation coefficients are tabulated.

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AHI, apnea–hypopnea index; BMI, body mass index; IL, interleukin; SpO<sub>2</sub>, pulse oxygen saturation.

The significantly level is indicated as follows: <sup>a</sup>  $P \geq .01 - < .05$ , <sup>b</sup>  $P \geq .001 - < .01$ , <sup>c</sup>  $P < .001$ .

After the *Bonferroni* correction, the significant correlations are marked in bold.

452 **Table 3.** Correlations of patient characteristics with the other minor tonsil phyla in children with obstructive sleep apnea

Phyla	Non-Healthy-weight group					Healthy-weight group				
	Percentage					Percentage				
	Age	Male	Chronic tonsillitis	BMI z-score	change in BMI z-score	Age	Male	Chronic tonsillitis	BMI z-Score	change in BMI z-score
	n = 33	n = 33	n = 33	n = 33	n = 31	n = 33	n = 33	n = 33	n = 33	n = 32
<i>Synergistetes</i>	0.35 <sup>a</sup>	-0.02	0.27	0.01	0.06	0.22	0.20	0.12	-0.22	-0.17
<i>Coprothermobacteraeota</i>	-0.04	-0.13	0.54 <sup>b</sup>	-0.06	-0.21	0.38 <sup>a</sup>	0.17	-0.31	0.12	-0.17
<i>Thermotogae</i>	-0.01	-0.29	<b>0.66<sup>c</sup></b>	0.04	-0.31	0.37 <sup>a</sup>	0.07	-0.24	0.08	0.05
<i>Entothaeonellaeota</i>	0.08	-0.42 <sup>a</sup>	0.38 <sup>a</sup>	0.24	-0.25	-0.02	-0.25	-0.12	-0.22	-0.05
<i>Hydrogenedentes</i>	0.04	-0.46 <sup>a</sup>	<b>0.67<sup>c</sup></b>	0.09	-0.37 <sup>a</sup>	0.19	0.13	-0.12	-0.26	0.03
<i>Rokubacteria</i>	-0.01	-0.29	<b>0.66<sup>c</sup></b>	0.04	-0.19	-0.05	-0.50 <sup>b</sup>	-0.06	0.26	0.08
<i>Dependentiae</i>	-0.04	-0.17	0.51 <sup>b</sup>	-0.03	-0.17	0.12	-0.26	-0.03	0.14	-0.22
<i>Zixibacteria</i>	-0.04	-0.13	0.54 <sup>b</sup>	-0.06	-0.21	0.26	0.06	-0.24	0.23	-0.12
<i>Margulisbacteria</i>	0.03	-0.15	0.12	-0.20	-0.43 <sup>a</sup>	0.01	0.01	-0.21	0.35 <sup>a</sup>	0.01
<i>Deinococcus Thermus</i>	-0.12	-0.07	0.02	-0.25	-0.37 <sup>a</sup>	0.20	0.09	0.05	-0.22	0.03

<i>Planctomycetes</i>	-0.21	-0.18	0.27	0.17	<b>-0.61<sup>c</sup></b>	0.01	-0.32	-0.09	0.01	0.24
<i>Deferribacteres</i>	0.32	0.16	0.09	0.19	-0.10	0.48 <sup>b</sup>	0.13	-0.28	0.21	-0.18
<i>Gemmatimonadetes</i>	-0.16	-0.13	0.25	-0.01	-0.29	-0.26	-0.36 <sup>a</sup>	0.08	0.27	-0.23
<i>Halanaerobiaeota</i>	0.24	0.08	-0.08	0.17	0.16	-0.17	-0.36 <sup>a</sup>	0.12	-0.01	0.15
<i>Eremiobacteraeota</i> (WPS-2)	-0.07	-0.30	0.26	0.16	-0.23	-0.14	-0.20	0.40 <sup>a</sup>	0.09	-0.04
<i>Caldiserica</i>	0.02	-0.09	0.25	0.11	-0.09	0.25	0.13	-0.37 <sup>a</sup>	0.20	-0.16

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*Note:* Spearman correlation coefficients are tabulated.

Notably, the phyla without statistically significant association with any patient characteristic and inflammatory biomarkers are not listed.

AHI, apnea–hypopnea index; BMI, body mass index; IL, interleukin; SpO<sub>2</sub>, oxygen saturation measured by pulse oximetry.

The significant level is indicated as follows: <sup>a</sup>  $P \geq .01 < .05$ , <sup>b</sup>  $P \geq .001 < .01$ , <sup>c</sup>  $P < .001$ .

After the *Bonferroni* correction, the significant correlations are marked in bold.

## 453 **Figure legends**

454 **Figure 1. Tonsil microbiome in children with obstructive sleep apnea.** A, The  
455 operational taxonomic unit (OTU) tree of the non-healthy-weight group (n = 33)  
456 included 9318 OTUs, assessed by Graphical Phylogenetic Analysis. B, The OTU tree  
457 of the healthy-weight group (n = 33) included 9886 OTUs. C, The relative abundances  
458 of top 10 tonsil phyla in the non-healthy-weight group. D, The relative abundances of  
459 top 10 tonsil phyla in the healthy-weight group.

460

461

462 **Figure 2.** Correlations between the top 10 tonsil phyla, baseline variables of interest,  
463 and corresponding percentage changes. A, In the non-healthy-weight group, one  
464 minor phylum of top 10 tonsil phyla was associated with age, and three minor phyla  
465 were associated with chronic tonsillitis at baseline. B, In the healthy-weight group,  
466 there were significant associations among systemic inflammatory biomarkers (IL-6,  
467 IL-10), severity variables of obstructive sleep apnea (AHI, mean SpO<sub>2</sub>, minimal  
468 SpO<sub>2</sub>), and the top 10 tonsil phyla (two major and two minor phyla). Abbreviations:  
469 AHI, apnea-hypopnea index; BMI, body mass index; IL, interleukin; SpO<sub>2</sub>, pulse  
470 oxygen saturation. <sup>a</sup>*P* < .05.

471

472

473 **Figure 3.** Comparisons of variables of interest before and after adenotonsillectomy  
474 (AT). A, Body mass index (BMI) z-scores in the non-healthy-weight group were  
475 higher than those in the healthy-weight group before and after AT. A significant  
476 increase in BMI z-score was noted after AT in the healthy-weight group. B, Serum  
477 levels of interleukin (IL)-6 in the non-healthy-weight group were comparable with



478 those in the healthy-weight group before and after AT. The serum level of IL-6  
479 reduced after AT in the healthy-weight group. C, Serum levels of IL-10 in both groups  
480 were comparable before and after AT; their changes were not statistically significant.  
481 D, Baseline apnea-hypopnea index (AHI) in the non-healthy-weight group was  
482 comparable with that in the healthy-weight group. After AT, AHIs in the two groups  
483 significantly reduced. However, residual AHI in the non-healthy-weight group was  
484 significantly higher than that in the healthy-weight group. E, Differences and changes  
485 in mean pulse oxygen saturation (SpO<sub>2</sub>) were parallel to those in AHI. F, Baseline  
486 minimal SpO<sub>2</sub> in the non-healthy-weight group was significantly lower than that in the  
487 healthy-weight group. After AT, minimal SpO<sub>2</sub> in the two groups significantly  
488 increased. However, postoperative minimal SpO<sub>2</sub> in the non-healthy-weight group  
489 was still lower than that in the healthy-weight group. <sup>a</sup>  $P \geq .01$ — $< .05$ , <sup>b</sup>  $P \geq .001$  —  
490  $< .01$ , and <sup>c</sup>  $P < .001$ .

## 491 Appendices

492 **Table E1.** Correlations of variables of interest with the tonsil phyla in the non-  
493 healthy-weight group (n = 31)

494

495

496 **Table E2.** Correlations of variables of interest with the tonsil phyla in the healthy-  
497 weight group (n = 32)

498

499

500 **Figure E1.** Flow diagram. The ‘non-healthy-weight’ group included 33 children with  
501 obstructive sleep apnea and the healthy-weight group included 33 children with  
502 obstructive sleep apnea. Both groups were matched by the proportion of chronic  
503 tonsillitis. All participants underwent adenotonsillectomy and 3 lost to follow-up.  
504 Therefore, 66 participants were included in the primary analysis and 63 were included  
505 in the outcome analysis.