

1 LONG-TERM RESPONSE TO CRIZOTINIB IN A 17-YEAR-OLD BOY WITH NAÏVE ALK- 2 POSITIVE NON-SMALL-CELL LUNG CANCER

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4 Megaro Giacomina^{1*}, Miele Evelina¹, Spinelli Gian Paolo², Alessi Iside¹, Del Baldo Giada¹, Cozza
5 Raffaele¹, Russo Ida¹, De Pasquale Maria Debora¹, Cefalo Maria Giuseppina¹, Tomà Paolo³, Di
6 Ruscio Valentina¹, De Ioris Maria Antonietta ¹Mastronuzzi Angela¹

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8 ¹ Department of Hematology/Oncology, Cell and Gene Therapy, IRCCS Bambino Gesù Children's
9 Hospital, Rome, Italy

10 ² UOC Oncologia Universitaria, ASL Latina (Aprilia district), Sapienza University of Rome-
11 Aprilia, Latina, Italy.

12 ³ Department of Imaging, Bambino Gesù Children's Hospital, IRCCS, Rome, Italy.

14 ABSTRACT

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16 Lung cancer is the leading cause of cancer-related death. NSCLC accounts for 80-90% of cases. In
17 younger patients, adenocarcinoma is the most frequent histotype and 3-7% expresses the
18 rearrangement of ALK oncogene, sensitive to TKIs. Crizotinib is the first ALK inhibitor approved
19 by FDA. We present the case of a 17-year-old male with metastatic naïve ALK-positive
20 adenocarcinoma, treated with crizotinib. He received crizotinib and obtained a prolonged response
21 with PFS of 33 months. Crizotinib can be extremely effective in adolescent with naïve ALK-
22 positive NSCLC but it hardly penetrates blood-brain barrier. Resistance mechanisms will be
23 investigated for a better management.

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25 Keywords: lung, adenocarcinoma, ALK, adolescence, crizotinib

28 BACKGROUND

29
30 Lung cancer is the leading cause of cancer-related death. [1] The incidence peak is found above 70
31 years. In Italy, lung cancer is the first cause of death from cancer in males and the third cause in
32 women, [2] and tobacco smoke is the main risk factor (85% of cases). [3]
33 The incidence of primary lung cancer in children is rare, representing 0,2% of all childhood
34 malignancies. [4]

35 In adulthood, over 95% of lung cancers are attributable to small cell lung cancer (SCLC) and non-
36 small cell lung cancer (NSCLC). [5,6] Among NSCLC histotypes, adenocarcinoma is the most
37 represented in younger patients [7-9] Clinical presentation is the same as in adults (cough, chest
38 pain) but not smoking-related. The rarity of this condition in younger people usually causes a delay
39 in diagnosis. Indeed, stage IV disease is present in up to half of the cases at diagnosis. [10,11]
40 In the last 15 years, molecular studies have highlighted a specific role of some genes, called
41 “Oncodrivens” involved in tumor genesis, particularly Epidermal Growth Factor Receptor (EGFR)
42 and Anaplastic Lymphoma Kinase (ALK), which have become the target of specific and selective
43 drugs. [12]

44 In the Caucasian population, 10-15% expresses activating mutations of EGFR and 3-7% expresses
45 rearrangement of the ALK oncogene. These are predictive for response to TKIs.
46 Crizotinib, one of the first ALK inhibitor approved by Food and Drug Administration (FDA),
47 allowed the development of ALK target therapy [13]. After this drug, next-generation ALK
48 inhibitors such as alectinib and ceritinib were approved for the treatment of ALK-positive NSCLC
49 patients [14-19]

50 ALK mutations are reported in a higher proportion of younger patients about 11% of
51 adenocarcinoma compared to 2-7% of adenocarcinoma in adults. [20-22]

52 There are no data specific to pediatric population affected by oncogene-addicted lung cancer and
53 there is no evidence of optimal management in this population; the treatment is modulated
54 according to adult protocols.

55 We present the case of a seventeen-year-old male with naïve ALK-positive NSCLC treated with
56 Crizotinib. To our knowledge, this is the fifth report of a pediatric case treated with ALK-inhibitors,
57 the first experiencing a prolonged response and progression-free survival (PFS).

58 We thoroughly reviewed the current literature about ALK positive NSCLC in childhood and the
59 tailored management in pediatric age.

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62 CASE REPORT

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64 A diabetic, never-smoker, 17-year-old boy was referred for cough and increasing dyspnea. A chest
65 x-ray showed bilateral pulmonary nodules. A chest and abdomen Computed Tomography (CT)-scan
66 confirmed multiple bilateral pulmonary nodules and showed thoracic lymphadenopathies (Fig.1A),
67 hepatic and numerous vertebral bone metastases confirmed by bone scintigraphy and spine
68 Magnetic Resonance Imaging (MRI). No secondary brain lesions were observed at MRI.

69 A supraclavicular node biopsy was performed with the diagnosis of metastases from pulmonary
70 adenocarcinoma, Cytokeratine 7+ (CK 7+), Transcriptional Thyroid Factor 1+ (TTF1+), p63+,
71 ALK-rearranged. EGFR and c-Ros Oncogene 1 (ROS1) wild type.”
72 The patient started therapy with Crizotinib 500 mg/day.
73 The first chest and abdomen CT-scan evaluation, performed after two months of treatment, showed
74 partial response to treatment on lung (Fig.1B), nodes, liver and bone lesions (confirmed by a spine
75 MRI). The CT-scan and MRI re-evaluations after 6 and 10 months of therapy showed a further
76 reduction in pulmonary, hepatic and vertebral lesions. At 30 months of therapy, the patient
77 maintained lung and hepatic disease stability with further response on vertebral lesions.
78 No grade 3 or 4 toxicity according to Common Terminology Criteria for Adverse Events (CTCAE)
79 v5.0 was recorded during treatment.
80 After 33 months from crizotinib, the patient underwent brain CT scan due to headache and loss of
81 consciousness, showing a voluminous roundish lesion, in the right fronto-parietal site, of
82 approximately 35 x 31 x 30 mm, characterized by inhomogeneous density with a central hypodense
83 portion. Marked perilesional edema and 8 mm shifting of midline structures were also evident. A
84 smaller lesion was detectable on the right lateral ventricle (about 10 mm). Cerebellar tonsils were at
85 the limit of foramen magno. Thus, a craniotomic excision of the fronto-parietal lesion was urgently
86 performed. Histology confirmed a metastasis from ALK-rearranged pulmonary adenocarcinoma.
87 Post-surgical brain MRI showed residual tumor in the surgical bed and the persistence of the
88 centimetric rounded area of altered signal located in the posterior parietal front over right ventricle.
89 Radiotherapy was then performed; 3000 cGy were administered on residual tumour and 850 cGy on
90 right paraventricular posterior lesion. Concomitantly, the patient started a second line therapy with
91 alectinib.
92 After 2 months of treatment, a chest and abdomen CT-scan showed pulmonary stability and partial
93 response of the hepatic lesions. Liver metastases rapidly progressed after 7 months of alectinib and
94 the patient died of liver failure.

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97 DISCUSSION AND CONCLUSIONS

98 According to our knowledge, this is the fifth case reported of adolescent with diagnosis of
99 pulmonary ALK-translocated adenocarcinoma [23-26], and the third treated with crizotinib as first-
100 line treatment. [23,24] (Table 1). Our report is the first of a prolonged response and PFS (33
101 months) with crizotinib.
102 At the time of our diagnosis, crizotinib represented the first-line treatment available for our patient.

103 At onset he received crizotinib. After 3 months he obtained a response to all lesions, demonstrating
104 high sensitivity to tyrosine kinase inhibition and confirming that best response to crizotinib is
105 achieved by 12 weeks as in adult ALK-positive NSCLC. [27]
106 During treatment with crizotinib, our patient achieved a PFS of 33 months, making it peculiar in
107 regards to the other cases described and the results of PROFILE1014 trial [28]. In this trial,
108 crizotinib showed a median PFS of 10,9 months. Moreover, the patient tolerated the treatment well,
109 experiencing only grade 1 neutropenia and grade 1/ 2 hypertransaminasemia.
110 In our case, crizotinib demonstrated good control of extra-Central Nervous System (CNS) disease
111 but limited control on brain progression, probably due to its poor ability to cross the blood-brain
112 barrier (BBB). [29-31]
113 After CNS dissemination, our patient performed surgery, radiotherapy and a second-line therapy
114 with alectinib. As demonstrated by the ALEX trial [32], alectinib was significantly superior to
115 crizotinib in controlling brain progression.
116 The permeability of the BBB from alectinib and the results obtained on the control of brain
117 metastases [32] limited the role of radiotherapy and surgery in this setting, particularly in naive
118 patients.
119 In our case, we choose to surgically treat the symptomatic frontal brain lesion. We also decided to
120 use radiotherapy, considering the post- surgical residual tumor and the paraventricular lesion. Then,
121 we started alectinib as second-line TKI.
122 Our report suggests that crizotinib, as first-line therapy, can be extremely effective in ALK-positive
123 lung adenocarcinoma without CNS involvement, and raises the need for new TKI sequence studies
124 to optimize the management of ALK-translocated lung cancer.
125 The optimal sequence of TKI in ALK-positive lung cancer and the role of surgery and radiotherapy
126 on CNS dissemination remain critical. Resistance mechanisms to TKIs will be investigated for a
127 better management of these cases in childhood and adolescence.

128 129 References

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201 Declarations:

- 202 - We stated that the manuscript has not been and will not be submitted to any other journal.
- 203 - No honorarium, grant, or other form of payment was given to anyone to produce the manu-
- 204 script.

- 205 - All authors give contribution to the elaboration of this case report.
- 206 - All authors approved the final manuscript as submitted and agree to be accountable for all
- 207 aspects of the work.
- 208 - All authors report no conflicts of interest.
- 209