

1 **Quantifying Rapid Urbanization and its impact on Urban Green Spaces: Directional and**
2 **Zonal Analysis integrated with Landscape Expansion Index**

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30 **Abstract**

31 Addis Ababa, the capital of Ethiopia, is urbanizing rapidly in recent years mainly through the
32 destruction of environmental resources. This study aimed at the dynamics of urban green spaces
33 (UGS). Remote Sensing and Geographical Information System (GIS) was used to extract land
34 use and land cover data. The Landscape Expansion Index (LEI) was employed to measure urban
35 growth patterns. The result showed that a more noticeable growth was observed in the peri-urban
36 zone (40.1km² to 176.1km²), followed by the inner urban zone (from 67.1km² to 105km²). The
37 expansion in the urban core zone was marginal and followed a non-unidirectional trend i.e.
38 increased in the first period (1989-1999) and second period (1999-2009) by (0.11% and 4.2%),
39 while decreased in the third period (2009-2019) by 3.6%. The result for LEI dynamics showed
40 that the city experienced a pronounced outlying growth (98%) pattern, while edge expansion and
41 infilling growth were insignificant. On the other hand, the UGS steadily declined by (9.68%) and
42 (28.78%) in the first and second period in the urban core zone, while it was increased by (39.3%)
43 in the third period. Similarly, the UGS declined in the inner urban zone by (18.03%), (28.61%)
44 and (18.97%) in the first, second, and third periods. Similarly, in the peri-urban zone, the UGS
45 persistently declined by (11.5%), (17.1%) and, (28.03%), indicating a considerable reduction of
46 the UGS in this zone compared to the others. The directional analysis showed that urban areas
47 significantly expanded in SEE, SSE, SSW, and NEE with a net increase 5.35, 4.4 km, 2.83 and
48 2.3 km²/year, respectively; while urban expansion in the NEE was reported a moderate increase
49 i.e. 1.96km²/year. The study showed that zonal and directional study is more effective in
50 characterizing the Spatio-temporal dynamics for better urban planning towards.

51 Key words: Landscape expansion index, urban green spaces, zone, dynamics, Addis Ababa.

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58 **1.Introduction.**

59 Urbanization, which is commonly described as the social and political changes that resulted from
60 economic development and industrialization (Laros and Jones, 2014), is occurring at an
61 unprecedented rate across the world. Studies have shown that the world is becoming more and
62 more urbanized society as more and more people decide to live in urban areas. On the global
63 front, it is projected that the urban population will surge up to 70% of the world population in
64 2050 (Seto and Shepherd, 2009), making urbanization one of the 21st century the most
65 transformative event in human history (Shekhar and Aryal, 2019). The rapid urbanization has
66 profoundly affected various countries and regions, especially developing countries (Yang, 2013).
67 It is estimated that 90% of the urban population increase will take place in Africa and Asia
68 (United-Nations, 2019; Larsen et al., 2019), the two largest continents in the world. However,
69 recent studies have shown that future urbanization more skewed to Africa, especially sub
70 Saharan African. According to United-Nations (2019), more than half of global population
71 growth between now and 2050 is expected to occur in Africa and the population of sub Saharan
72 Africa is expected to double in this period.

73 The rapid trend of urbanization in sub-Saharan African is associated with multiple layers of
74 environmental challenges. Loss of natural and ecological resources, increase in the urban thermal
75 environment due to increase in impervious surfaces and high building density (Peng et al., 2016),
76 Urban Heat Island effects and ecological climate change (Li et al., 2020; Soltanifard and
77 Aliabadi, 2019), population explosion in urban areas due to migration and natural growth is
78 reported in a large number of studies. An increase in the concentration of populations in urban
79 areas, on the other hand, put pressure on municipalities and challenged their abilities to respond
80 to the demand for infrastructure, social services, recreational services, and housing. The
81 inabilities of municipalities to respond to these demands has forced a significant proportion of
82 the urban population (approximately 62-70%) to seek shelter in formal settlements and
83 ecologically sensitive areas (Seto and Shepherd, 2009; Larsen et al., 2019), such as protected
84 forest, waterways, river banks, hilly areas. As a result, urban green areas (UGS), which are
85 recognized as vital for providing Ecosystem services are shrinking at alarming rate in many
86 African cities. Studies have shown that the UGS in Africa cities occupy a very small percent of
87 the total land space of many capital cities (White et al., 2017; Mensah, 2014; Useni et al., 2018).
88 For instance, according to White et al. (2017) and Mensah (2014), the amount of all parks,
89 recreation areas, greenways, water ways and other protected areas accessible to the public in

90 some African main cities such as Luanda, Cairo, and Alexandria; is estimated to be below 1m²
91 per inhabitants.

92 Like many other African country cities, Addis Ababa, the capital of Ethiopia, has experienced
93 rapid urban landscape transformation due to changes in economic policy measures, the
94 introductions of urban development police, and an increase in populations over the past three
95 decades. The pattern of urbanization is, however, characterized by a substantial loss of urban
96 environmental resources. Evidently, Spatio-temporal dynamics studies have revealed that the city
97 losing its natural resources rapidly due to the growing population (Abebe and Megento, 2016;
98 Herslund et al., 2018; Spaliviero and Cheru, 2017). Among others, the decline of a forest,
99 shrinking UGS, decline of surface water quality, destruction of cultivable land, poor collection
100 and management of solid waste, deteriorating of urban environmental quality (Abebe and
101 Megento, 2016; Herslund et al., 2018; Zewdie et al., 2018; Spaliviero and Cheru, 2017; Lindley
102 et al., 2015; Teferi and Abraha, 2017) are reported in recent years. The loss of such an important
103 natural resource may involve high long-term economic costs and severe impacts on social,
104 cultural, and economic values associated with UGS Ecosystem Services and restrain the city's
105 capability to become resilient amidst rising climate change adversaries.

106 While many of such studies reported shrinking of the UGS there has also been an effort to
107 regenerate the quantity of UGS over the past decade through the promotion of green policies.
108 The government formulated and implemented several environmental policies related to UGS,
109 namely, Urban Development Policy (UDC), Development of Open Green Spaces in Communal
110 housing areas, Environmental Policy of Ethiopia, Environmental Impact Assessment,
111 Environmental pollution and control, Ethiopia's Climate-Resilient Green Economy, National
112 Urban Green Infrastructure Standard; all of which are geared towards ensuring green urban
113 development towards the quality of life. To this end, none of the above studies have shown that
114 there has been a positive outcome due to the implementation of such policies. This is due to the
115 fact that the studies were conducted at the city level and failed to uncover the actual magnitude
116 change. Hence studies based on zonal and directional analysis are very important to observe the
117 changes for improved urban planning. In recent years there has been increasing interest in
118 directional and zonal studies as well as Landscape Expansion Index (LEI) to understand urban
119 dynamics analysis and change in urban green space (Zhang et al., 2019; Xue et al., 2019; Zhou

120 and Wang, 2011). The limitations of Remote Sensing and Geographic Information System (GIS)
121 is that they lack appropriate landscape indices for quantifying urban dynamics in two or more
122 time points (Liu et al., 2010). One of the advantages of the LEI is that it is a robust tool and has
123 capability to capture complex urban growth using multi-temporal remote sensing data and its
124 process with two or more points (Liu et al., 2010; Zeng et al., 2012).

125 This study used Remote Sensing and GIS technology combined with LEI to understand urban
126 expansion and UGS dynamics in three different zones and eight directions. Time series imageries
127 obtained from Global Land Cover Facilities (GLCF) were used for the study. The aim of the
128 study was to identify the pattern of urban expansion and the dynamics of UGS in Addis Ababa
129 from 1989 to 2019. The UGS in this study includes urban forest, river buffer, cemeteries,
130 vegetation, agricultural land and parks as defined by the latest structure plan of the city for and
131 designated for environmental protection.

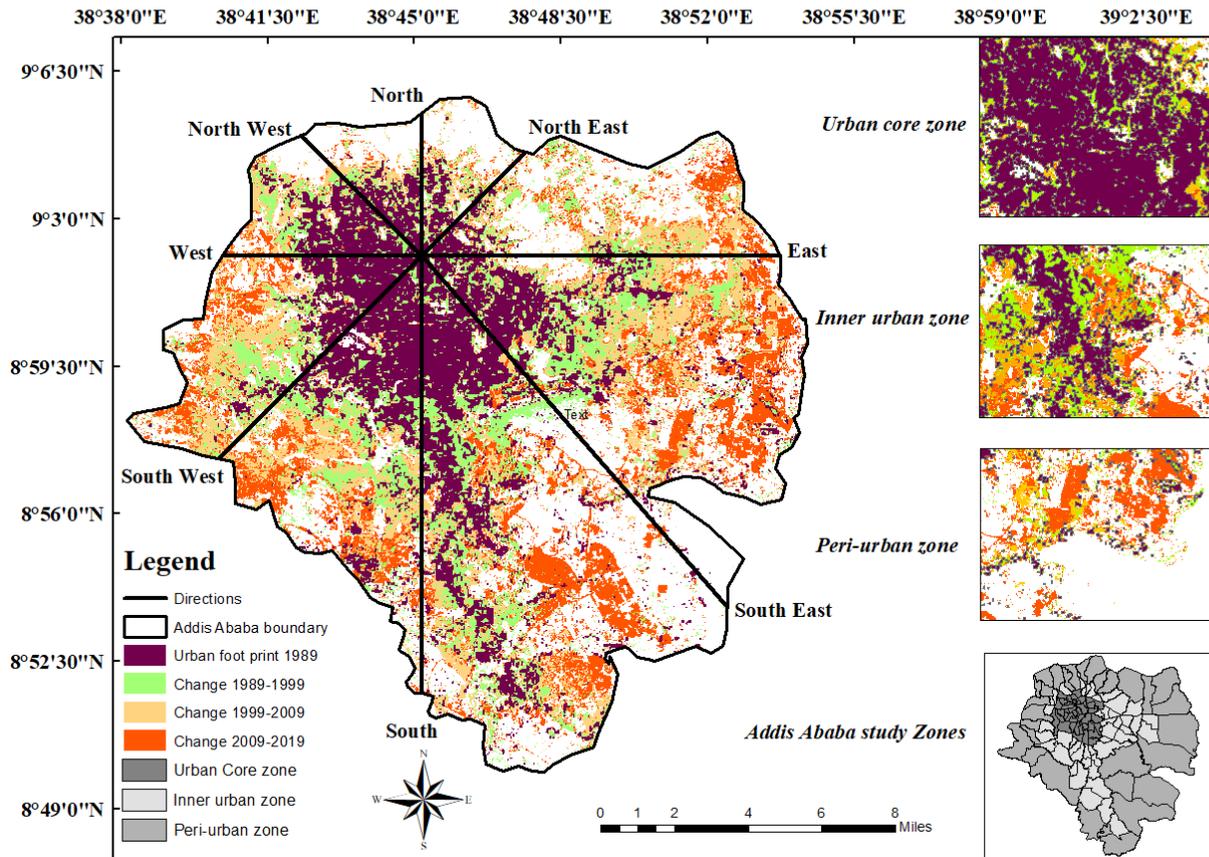
132 **2. Methodology**

133 **2.1 Study area**

134 Addis Abba is the capital of Ethiopia and is located at located at $9^{\circ}1'48''N$ $38^{\circ}44'24''E$ $9.03^{\circ}N$
135 $38.74^{\circ}E$ 9.03 ; 38.74 . It is the largest urban center in the country and hosts almost one fourth of
136 urban population of the country (Spaliviero and Cheru, 2017). Administratively, it is divided in
137 to ten sub cities and 116 districts (woredas). The city covers an area of 520km^2 with the
138 population of 3.4 (CSA, 2017). It was established as the nation's capital in 1986 more than a
139 century ago, which makes it relatively a younger city compared to many other African cities
140 (Larsen et al., 2019).

141 However, since its establishment the city has experienced rapid social, economic and political
142 changes while the recent development considerably influenced its spatial growth.

143 For instance, between 2006 and 2017, the built-up area increased from 24,942ha to 35,050 ha,
144 with the rate of 2% urban growth per year (Larsen et al., 2019) mainly through the destruction
145 UGS. Herslund et al. (2018) and Spaliviero and Cheru (2017) reported that an estimated
146 11,000ha of land, mostly agricultural land, converted for urban use especially for residence,
147 industry, social services and infrastructure. The city's' physical expansion caused fast
148 degradation of the natural support system and may result in irreversible damage and loss of vital
149 ecosystem functions (Tadesse, 2010).



151

152 Fig 1 Addis Ababa urban core zone, inner urban zone and peri-urban zone and the trend of urban
 153 expansion from 1989 to 2019

154 2.2 Sources of Data

155 The present paper uses data on remote sensing imagery for Addis Ababa of different time span
 156 from the Global Land Cover Facilities (GLCF) (Table 1), because of the availability of medium
 157 spatial resolution and consistent spectral as well as radiometric resolutions. Images from Landsat
 158 Multispectral Scanner (MSS), Thematic Mapper Plus (TM), Enhanced Thematic Mapper Plus
 159 (ETM+), and Operational Land Imager (OLI) TIRS, all of which with required spatial resolution
 160 60 m and 30 m were obtained from the GLCF to monitor changes. The 1989 image, which had
 161 60m resolution, was resampled to 30m to maintain data consistency in the analysis. The images
 162 were collected from dry seasons to increase the availability of haze and cloud-free satellite
 163 images (December-January) in the study area. Atmospheric and Radiometric correction was
 164 carried out before classification. The satellite images used in this study were projected to a

165 common coordinate system Universal Transverse Mercator (UTM) of WGS84 and Datum Zone
 166 37. The sub-setting of the acquired satellite images was also carried out for extracting the study
 167 area from the images by geo-referencing the boundary of Addis Ababa.

168 Table.1 satellite image source used for the study and their spatial resolution

N o	Satellite	Sensor ID	Spatial Resolutio n	Date Acquired	Path & Raw	Source
1	Landsat 4	MSS	60m	21-Dec-1985	169/055	Global Land
2	Landsat 5	TM	30m	31-Jan-2019	169/055	Cover Facility
3	Landsat 7	ETM+	30m	15-Dec-2017	169/055	www.glc.f.umi.acs
4	Landsat 8	OLI_TIR S	30m	20-Dec-2016	169/055	umd.edu

169 The Land Use Land Cover (LULC) classes for the respective years of 1989, 1999, 2009, and
 170 2019 were extracted by using the two common classification methods i.e. supervised and
 171 unsupervised classifications. First unsupervised image classification was carried to determine
 172 strata for ground truth and followed by supervised classification. The supervised classification
 173 was carried out using a maximum likelihood classifier (MLC), which is the commonest method
 174 that creates a decision surface based on the mean and covariance of each class (Srivastava et al.,
 175 2012) . And finally, the LULC was classified into urban, non-urban, and water, as indicated
 176 above. The non-urban designated as UGS and included urban forest, agricultural land,
 177 vegetation, grass and cemeteries, and parks. The classification was achieved at an accuracy of
 178 over 90% after evaluation against the latest master plan and high-resolution Google Earth Map.
 179 Finally, newly grown urban areas between two times were detected by spatially overlying two
 180 temporally adjacent maps (1989-1999,1999-2009 and 2009-2019).

181 **2.3 Urban growth and urban green space change analysis.**

182 In order to measure the magnitude and rate of urbanization, Urban Expansion (UE) which is a
 183 measure of the change of urban spatial growth, was used and it was computed as follows:

184
$$UE = \frac{U_e - U_i}{T} \dots \dots \dots (1)$$

185 Where U_e and U_i represent urban extent at a the initial and end of the monitoring period,
 186 respectively, and T is the period from the time e to i.

187 Similarly, annual growth rate which compares between different geographical areas of a city in
 188 terms of the intensity, rate and trend of land use expansion (Sun et al., 2020) was utilized for
 189 analyzing the pace of urbanization. The annual rate of growth was calculated as follows.

190
$$r = \frac{1}{t_2 - t_1} \left(\ln \frac{A_{t_2}}{A_{t_1}} \right) \dots\dots\dots$$

 191 (2)

192 Where A_{t_2} and A_{t_1} are the built up land area in the year t_2 and year t_1 , respectively, Eq 2 has been
 193 widely used to calculate the annual growth rate of urban areas and because it assumes urban
 194 growth is an exponential to the annual rate of compound interest (Pham and Yamaguchi, 2011).

195 In addition, the urbanization intensity (UI) index is the ratio of the area of urban land expansion
 196 to the total land area in a spatial unit in the study period (Sun et al., 2020), was employed for the
 197 study. The advantage of UI is that it normalizes the annual mean expansion rate based on the
 198 land area in a spatial unit, thereby enabling comparative analysis (Sun et al., 2020). The index is
 199 computed using the following formula:

200
$$U_i = \frac{U_a - U_b}{T} \times U_c \times 100, \dots\dots\dots(3)$$

201 where U_i is the expansion intensity in the i th spatial unit, U_a is the area of the urban land in the
 202 i th spatial unit in period a, U_b is the area of the urban land in the i th spatial unit in period b, U_c is
 203 the total land area of the i th spatial unit, and T is the time span from period a to period b in the
 204 unit of year.

205 Similarly, the LEI, which is developed by (Liu et al., 2010) were utilized to analyses urban
 206 expansion pattern in Addis Ababa between 1989 and 2019. The LEI can capture the information
 207 on the formation processes of a landscape pattern (Liu et al., 2010). Importantly, the LEI
 208 illustrate the different modes of urban expansion for new urban patches (Xue et al., 2019) i.e.
 209 infilling, edge expansion and outlying. The LEI of each new urban patch was calculated year by
 210 year using the formula:

211
$$LEI = \frac{A_0}{A_0 + A_v} \times 100 \dots\dots\dots$$

 212 (4)

213 Where A_0 is the intersection between the buffer around a new urban patch and the previously
 214 existing urban land, and A_v is the intersection between the buffer zone and the previously non-
 215 green urban area. Based on the result of the LEI, urban growth can be classified into three

216 modes: Infilling, edge expansion, and outlying (Liu et al., 2010). The infilling mode of urban
 217 growth refers to gaps between old urban patches being filled with new urban patches (i.e., LEI is
 218 between 50 and 100). The edge expansion mode of urban growth is when a new urban patch
 219 expands from the edges of an existing urban patch (i.e., LEI is between 0 and 50). The leapfrog
 220 mode of urban growth is when a new urban patch is isolated from the old ones (i.e., LEI is equal
 221 to 0) (Liu et al., 2010). The mean Landscape Expansion Index (MLEI) also provide information
 222 on the pattern of urban growth. The difference between LEI and MLEI is that MLEI inherits
 223 value from old patches at pervious time points as weights in computations (Jiao et al., 2015) and
 224 calculated as follows:

225
$$MLEI = \sum_{i=1}^i (LEI_i/n) \dots \dots \dots (5)$$

226 Where MLEI is the MLEI at the class level, LEI_i is the LEI for the i th expanding patch, and n is
 227 the number of all new patches of this class.

228 On the hand, to determine the relative dominance among the different forms of urban growth
 229 across a landscape or over time, (Liu et al., 2010) developed an Area-Weighted Mean Expansion
 230 Index (AWMEI), calculated as follows:

231
$$AWMEI = \sum_{i=1}^N LEI_i * \left(\frac{a_i}{A} \right) \dots \dots \dots (6)$$

232 where LEI is the LEI value for a newly growth patch i , a_i is the area of this new patch, and A is
 233 the total area of all these newly grown patches. Larger values of AWMEI correspond to more
 234 compact form of urban growth while smaller values of AWMEI imply the prevalence of
 235 leapfrogging or spontaneous development or urban sprawl. An increase of AWMEI over time
 236 signifies a coalescence phase while a decrease of AWMEI signifies a diffusion phase (Liu et al.,
 237 2010).

238 In addition, the change in UGS also measured by using the greenness index. The greenness index
 239 gives information on the environmental quality of cities and the proportion of green spaces in
 240 relation to urban land cover types (Shekhar and Kumar, 2014; Wu et al., 2019). Shekhar and
 241 Kumar (2014) proposed a method of estimating green index based on classifying NDVI values in
 242 to green and non-green classes. In this study, green index method of estimation, proposed by
 243 Yang et al. (2009) and Wu et al. (2019) was utilized and calculated as the percentage of the total
 244 green area divided by the total size of the urban area.

245
$$\text{Greenness Index} = \frac{\text{Area Covered by green}}{\text{Total area of study area}} \dots\dots\dots$$

246
$$(7)$$

247

248

249 **2.4. Directional and zonal analysis.**

250 Linear gradient and zonal analysis have recently been widely used for quantifying spatio-
251 temporal dynamics of urban expansion and change in urban green spaces (Xue et al., 2019;
252 Zhang et al., 2019; Zhou and Wang, 2011). However, linear gradient analysis leads to bias
253 towards the investigation of urban land use (Wadduwae et al., 2017). In this study, therefore,
254 existing administrative boundaries were used for zonal analysis. As indicated above in fig 1,
255 Addis Ababa city is divided into ten sub cities of which four sub cities (namely, Addis Ketema,
256 Arada, Lideta and Kirkos), are located in the inner urban core areas. We considered these sub
257 cities as urban core zone because the sub cities are located in central business district areas and
258 represent the oldest parts of the city. The other six sub cities were categorized into the inner
259 urban zone and peri-urban based on spatial proximity and geographical locations. Outer districts
260 that are sharing boundaries with the neighboring Oromia region designated as peri-urban areas
261 and while districts that are found between urban core zones and peri-urban areas labeled as inner
262 urban zones. Similarly, in order to further investigate the urban dynamics and change in urban
263 green spaces in different directions area divided into eight direction quadrant angles 450, as
264 shown in fig 1. The location of the city administration office, which is considered as the first
265 settlement of the area, is used as a center for directional analysis. The eight quadrants were South
266 South East (SSE), South East East (SEE), South South West (SSW), South West West (SWW),
267 North West West (NWW), North North West (NNW), North North East (NNE), North East East
268 (NEE).

269 **3.Result**

270 **3.1 Spatio-temporal dynamics of urban expansion**

271 From table 2 it can be observed that the urban area of the city increased from 144.4km² to
272 188.2km², from 188.2 to 252.5km², from 252.5 to 319 km² in the first period (1989 to 1999),
273 the second period (1999 to 2009), and third period (2009 to 2019), respectively; with a net
274 increase 5.82 km²/per year. The annual expansion rate varied in the study periods and reported

275 the highest growth between 2009 and 2019 (9.27%), nearly doubled the rate observed in the first
 276 period (5.24%). During the study periods, the urban extent of the Addis Ababa grown more than
 277 two-fold. Similarly, the number of patches (NP) fluctuated in parallel with the urban expansion
 278 with varying trends i.e. increased and decreased in the study periods. Referring to table 2, the
 279 largest NP increase was observed in the third period compared to the first and the second period.
 280 Specifically, between 1989 to 1999, the NP increased from 4,491 to 15,404 (242.9%), while it
 281 declined between 1999 to 2009 from 15,404 to 13,270 (13.8%). However, in the third period, the
 282 NP nearly doubled (increased from 13,270 to 25,867 (94.7%)), indicating significant growth
 283 characterized by a high level of fragmentation of urban patches. The increase in the number of
 284 urban patches in this period suggested that the pattern of urban growth mainly characterized
 285 dispersion or leapfrogging. The increase in the NP value witnesses the urban growth in this
 286 period demonstrated diffusion and slightly coalesced with existing urban area or with each other
 287 to form larger patches in the “organic expansion” mode (Xu and Gao, 2019).
 288 Table 2 showing urban size, number of patches, expansion intensity and growth rate of Addis
 289 Ababa from 1989 to 2019

Year	Absolute growth		Relative growth	
	Urban area (km ²)	No. of patches (No)	Expansion Intensity	Annual expansion rate (%)
1989	144.4	4,491	-	-
1999	188.2	15,404	0.81	5.24
2009	252.5	13,270	1.19	6.06
2019	319.1	25,867	1.23	9.27

290 Unlike the NP, the UI persistently increased in the study periods. For example, the UI reported
 291 0.81, 1.19 and 1.23 in the first, second and third periods, respectively; showing the continuous
 292 transformation of urban landscape in the city.

293 **3.3 Urban growth types**

294 The dynamics of LEI showed that the spatial pattern of urban expansion fluctuated in the study
 295 periods. For example, from table 6, the infilling growth pattern shared 0.038km² (0.024%), 0.385
 296 km² (0.1771%), and 0.036km² (0.012%) in the study periods, showing a slight increase in the
 297 second period, while a decrease in the third period (Table 3). The declining trend of infilling

Infilling	15	0.140	101	1.57	10	0.145
Edge expansion	111	.037	429	6.70	22	0.32
Outlying	10,575	98.82	5867	91.7	6831	99.53

319 The variation of the MLEI and AMLEI can provide additional information about the type of
320 urban expansion. As shown in Table 5, the MLEI and AWLEI increased from 0.325 to 2.31 and
321 from 0.038 to 0.383 between the first and second period, while declined from 2.31 to 0.212 and
322 0.383 to 0.021 in the third period, respectively. The increasing trend from the first period to the
323 second period trend indicates compaction or coalescence pattern of spatial urban growth and
324 while the decreasing trend in the first and third periods shows the dispersion.

325 Table 5. Mean landscape expansion index and area-weighted average landscape expansion index
326 of newly grown urban patches for 1989 to 2019.

Period	1989-1999	1999-2009	2009-2019
MLEI	0.325	2.31	0.212
AMLEI	0.038	0.383	0.021

327 3.3 Spatiotemporal dynamics of urban green spaces from 1989 to 2019

328 Referring to Table 6, the UGS significantly declined in the study period, with increasing urban
329 expansion in the study period. For example, the UGS declined by 46.5, 65.4, and 69.3 km² with a
330 proportional reduction of 12.1%,19.1%, and 25.1% in the first, second, and third periods,
331 respectively. The proportional reduction in the third period was twice as high as the first period,
332 revealing the rapid urban expansion and conversion of the UGS to functional land uses.

333 Table 6 spatial and temporal dynamics of urban green spaces in Addis Ababa from 1989 to 2019.

Land cover	Year				Change		
	1989	1999	2009	2019	1989-1999	1999-2009	2009-2019
UGS Area (km ²)	387.9	341.3	275.8	206.5	-46.599	-65.462	-69.345
Percent (%)	71.8	63.2	51.0	38.2	-	-	-
No. of patches	11,959	21,063	21,587	19,941	+9,104	+524	-1,646

334 On the other hand, the consistent decline of the UGS during the study periods indicates that the
335 trend of urbanization was largely associated with the destruction of the UGS available in the city.
336 Correspondingly, the dynamics of NP demonstrated varying degrees of change in the study

337 period. Referring to Table 6, the NP increased from 11,959 to 21,063 (76.2%) showing
 338 leapfrogging development in the first period characterized by isolation UGS patches. While in
 339 the second period the NP increased from 21,060 to 21,587 (2.41%), reporting a slight positive
 340 change. The slight increase in this period implies that urban growth in this period mainly focused
 341 on infilling or edge expansion, which are typical approaches of urban compaction pattern
 342 growth.

343

344 **3.4 Urban expansion and urban green spaces in core, inner and outer zone.**

345 The spatial-temporal pattern of urban expansion and UGS were calculated based on three zones
 346 to understand variation in dynamics and the relationships between urban expansion. It was
 347 observed that the three zones exhibited a varying degree of change in the study area from 1989 to
 348 2019. For instance, urban growth in the urban core zone increased in the first and second periods,
 349 while it decreased in the third period. The increase in the urban area in this zone in the first
 350 period was marginal (0.39%), while in the second period it was substantial (increased by
 351 15.81%). Unlike the urban core zone, urban expansion in the inner urban zone increased by
 352 16.8%, 23.6% and 8.9%, revealing increasing with an increasing trend between the first and
 353 second periods and increasing with decreasing trend in the third period. On the other hand, the
 354 urban area in the peri-urban zone increased by 80%, 60% and 50% in the first, second and third
 355 periods, respectively; displaying increasing with decreasing trend in the study period. This period
 356 coincided with the implementation of lease land holding proclamation No 721/2011, which ruled
 357 out urban land allocation freely to urban residents for housing construction and restricted land
 358 delivery only through auction. As many of Addis Ababa residents do not afford to buy land
 359 through open bid, the proclamation undoubtedly slowed down urban expansion indirectly.

360 Table 7 The proportion number of patches based on urban growth types in Addis Ababa from
 361 1989 to 2019

Study Zone	Land use	Absolute areas coverage (km ²)				Coverage change between periods (%)		
		1989 Area (km ²)	1999 Area (km ²)	2009 Area (km ²)	2019 Area (km ²)	(1989-1999)	(1999-2009)	(2009-2019)
urban core zone	Urban area	37.128	37.167	38.748	37.337	+0.11	+4.25	-3.64
	UGS	6.024	5.441	3.875	5.399	-9.68	-28.78	+39.33
Inner urban	Urban area	67.120	78.454	97.006	105.662	+16.89	+23.65	+8.92

zone	UGS area	75.668	62.028	44.284	35.883	-18.03	-28.61	-18.97
Peri-urban zone	Urban area	40.173	72.665	116.768	176.101	+80.88	+60.69	+50.81
	UGS	286.888	255.197	211.330	152.101	-11.05	-17.19	-28.03

362 On the other hand, the Spatio-temporal dynamics of the UGS showed the opposite trend with
363 urban expansion. As it was described in Table 7, the UGS declined both in the first and second
364 period, while it increased in the third period in the urban core zone. The increase in the quantity
365 of UGS composition was significant in the third period (15%), witnessing there was an effort in
366 increasing the quantity of the UGS in this period through planning interventions.

367 In general, from table 7, it can be said that the peri-urban zone displayed considerable change
368 compared to the inner urban zone and urban core zone, witnessing this zone was the most
369 dynamic zone in the study periods. Specifically, the peri-urban zone grew from 40km² in 1989 to
370 176 km² in 2019, expanded nearly sixfold, indicating urbanization intensified in this zone
371 compared to the other. Conversely, the reduction of the UGS composition in the peri-urban zone
372 was highest i.e. significant amount of area converted to urban functional land use. Clearly, the
373 proportional UGS decline was 316.91, 438.67, and 592.29, respectively; in the first, second and
374 third periods; indicating major loss of the UGS observed in this zone to make a way for the
375 development.

376 **3.5 Directional analysis of urban expansion and Urban Green spaces in Addis Ababa from** 377 **1989 to 2019**

378 The Spatio-temporal dynamics of urban expansion and the UGS change were also analyzed for
379 eight different directions using equal-fan analysis. The eight quadrants indicate the dominant
380 direction where urban expansion and UGS change took place and the result plotted as the wind
381 rose diagram, as shown in Figure 2. According to the diagram, the urban area significantly
382 expanded in the SSE, SSW and SEE directions of the study area. Temporally, the urban
383 expansion initially observed in SSW and SSE direction, while later it expanded to the SEE
384 direction. Between 1989 to 2009, however, urban expansion predominately observed in the SSE,
385 SEE and SSW directions. In the meantime, the urban area expanded to the SSW and SSW
386 directions. While urbanization continued until the end of the third period, the city continued to
387 grow in the SEE, SSE and SSW direction steadily. A more pronounced growth in the SSE, SEE
388 and SSW directions were facilitated by the expansion of road infrastructure and topographic

389 advantage of these directions compared to the others. In these areas, major intra-city connecting
 390 highways were constructed over the past three decades. Similarly, compared to other directions,
 391 the suitability of land for urban expansion in these directions substantially contributed to rapid
 392 urban expansion. Besides, major housing site expansion, industrial park construction, private
 393 industrial development observed in these areas. The expansion of government-subsidized
 394 housing development program in these areas contributed substantially to an increase in the
 395 spatial extent in the SSE, SEE and SSW directions. Apparently, overlay analysis of the land use
 396 map and eight quadrats showed that of the total amount of area used for residence and
 397 manufacturing, in these quadrants shared 60.2 % and 87%, respectively indicating that most
 398 spatial developments occurred in these directions over the study periods.



399 Fig 2 Directional analysis of urban expansion and urban green space dynamics in eight different
 400 quadrants from 1989 to 2019: (a) directional growth in 1989, (b) directional growth in 1999, (c)
 401 directional growth in 2009, (d) directional growth in 2019.

402 Nonetheless, the low level of urban expansion in the NNW and NNE associated with natural
 403 characteristics of the area. NNW and NNE directions were lower than those of other directions,
 404 depicting the intensity urbanization was weak in these directions, limited by physical factors.
 405 The expansion of the city NNW and NNE was limited because of the mountain terrain and
 406 functional use of the areas (i.e. protected multifunctional forest). The spatial characteristic of the
 407 areas acted as physical barriers to the urbanization and infrastructural development.

408 Table 8 Directional urban growth and change of urban green spaces in Addis Ababa from 1989
 409 to 2019

Land cover type	Direction	Urban expansion in eight directions (km ²)				Change (Km ² /year)			Net increase
		1989	1999	2009	2019	(1989-1999)	(1999-2009)	(2009-2019)	
Built up	SSE	49.089	53.368	61.454	93.654	0.428	0.809	3.220	4.46
UGS		113.002	106.837	99.433	67.383	-0.6165	-0.7404	-3.205	
Built up	SEE	30.264	46.503	61.540	83.776	1.624	1.504	2.224	5.35
UGS		98.391	81.948	67.067	44.933	-1.6443	-1.4881	-2.2134	
Built up	SSW	28.911	36.224	46.724	57.189	0.731	1.050	1.046	2.83
UGS		49.111	40.635	30.877	20.321	-0.8476	-0.9758	-1.0556	
Built up	SWW	15.070	22.337	35.592	38.167	0.727	1.326	0.258	2.31
UGS		38.259	31.471	18.007	15.167	-0.6788	-1.3464	-0.284	
Built up	NWW	10.238	12.616	17.563	17.317	0.238	0.495	-0.025	0.71
UGS		14.739	12.419	7.210	7.425	-0.232	-0.5209	0.0215	
Built up	NNW	4.4349	5.494	7.851	7.385	0.106	0.236	-0.047	0.30
UGS		11.195	10.606	8.331	8.787	-0.0589	-0.2275	0.0456	
Built up	NNE	3.203	4.1678	7.257	6.034	0.096	0.309	-0.122	0.28
UGS		13.795	10.606	9.996	11.381	-0.3189	-0.061	0.1385	
Built up	NEE	5.5282	11.401	20.984	25.111	0.587	0.958	0.413	1.96
UGS		49.695	43.903	34.463	30.708	-0.5792	-0.944	-0.3755	

410 Similarly, the directional analysis showed the relationship between urban expansion and the
 411 change of UGS in the eight quadrants. It was realized the UGS was proportionally declined with
 412 increasing the proportion of urban area for most of the direction except for NNE, NNW and
 413 NWW directions. In these directions, the coverage of the UGS increased with an increase in the
 414 urban extent in the third period. The rate of UGS growth in the NWW, NNW and NNE
 415 directions was 2.15 km², 4.56 km² and 13.8 km², respectively (Table 8). The increase in the
 416 quantity of UGS in the third period may be related to the reforestation program implemented in

417 recent years. It may also attribute to the functional use of the area for i.e. protected forest and
418 botanical garden.

419 **4.Discussion**

420 The present study has demonstrated that the Spatio-temporal pattern of urban expansion and
421 UGS in Addis Ababa, using directional and zonal analysis. The result showed that the urban area
422 of the city increased from 144.4km² to 188.2km², from 188.2 to 252.5km², from 252.5 to 319
423 km² in the first period (1989 to 1999), the second period (1999 to 2009), and third period (2009
424 to 2019), respectively; with a net increase 5.82 km²/per year. On the other hand, the results
425 indicated that the city exhibited a varying trends of urban spatial growth in the urban core zone,
426 inner urban zone, peri-urban zone and in eight directions from 1989 to 2019. According to the
427 study, urban growth consistently increased in the inner urban zone and peri-urban zone during
428 the study period, while it demonstrated a mixed direction in the urban core zone (increased in the
429 first period and the second period, while decreased in the third period). The urban expansion was
430 most intensified in the peri-urban zone and inner urban zone, which is a different trend of spatial
431 growth compared to other country cities in the same periods. For example, in Beijing China, the
432 prominent urban growth occurred around the city core with increasing contribution of edge
433 expansion in a similar period (Ou et al., 2017).

434 The rapid expansion in the urban area in Addis Ababa during the study period attributed to
435 several factors such as the change of government and introduction of the market economy,
436 introduction policies. Between the study period, the government formulated policies that
437 considerably influenced the spatial pattern of urban growth. One such policy is the adoption of
438 the Urban Development Policy (UDP) in 2005 which autonomized urban centers, once
439 administered together with rural areas that limited their social, economic and planned spatial
440 development. For instance, the UDP, which stipulates the promotion of green development,
441 expansion infrastructure, housing development, and establishing of Micro and Small-Scale
442 (MSE) enterprise to create job opportunity in urban areas and this accelerated the demand for
443 urban land, subsequently, resulted in a rapid urban expansion in the city in particular
444 (Spaliviero and Cheru, 2017) and in the country in general.

445 The study also showed urban expansion exerted tremendous negative impact by reducing the
446 composition of the UGS i.e. urbanization was associated with the decline of UGS, a finding

447 which compares with earlier studies (Abebe and Megento, 2016; Zewdie et al., 2018; Lindley et
448 al., 2015; Richards and Belcher, 2020). Lindley et al. (2015) and Abebe and Megento (2016),
449 found out that urbanization in African country cities are characterized by reduction of UGS
450 referred as grey extensification (Richards and Belcher, 2020). According to Richards and
451 Belcher (2020) the urban expansion in developing country cities in Africa, Asia and South
452 America accompanied by a rapid decline in urban vegetation, while in some western countries as
453 it is associated with an increase in the quantity of UGS. For example, according to (Richards and
454 Belcher, 2020) in Hungary and Greece, urban growth demonstrated a 10% increase in the
455 proportion of the UGS between 2000 and 2015. However, unlike the previous studies, this study
456 showed that the urban core zone displayed an increase in the composition of the UGS, which
457 contrast with the above studies. The increase in the quantity of the UGS attributed to the policy
458 implementation pursued in the study period. Over the past two decades, while the city was
459 expanding outward, massive urban renewal and redevelopment projects are undergoing in to
460 improve its competitiveness as a business location, to tackle the huge backlog in affordable
461 housing and basic service delivery through accelerated investment in infrastructure and public
462 housing programs (Spaliviero and Cheru, 2017). The redevelopment program, which enforced
463 urban planning regulations, standards and norms may have contributed to increases in the
464 proportion of UGS through reduction of urban size in the urban core zone, which is in agreement
465 with the findings of previous studies (Richards and Belcher, 2020; Zhou and Wang, 2011; Hasse
466 et al., 2015), although increase in the quantity of UGS area was gained form the reduction of
467 built up area. For instance, according to (Zhou and Wang, 2011) urbanization does not
468 necessarily result in the reduction of green spaces if strong measures are implemented to protect
469 natural resources. Similarly, with an increase in the built-up area, the UGS in Shanghai,
470 Stockholm and Kuala Lumpur, increased by 318.02%, 110% and 425%, respectively, showing
471 UGS can increase with urban growth if there is strong protection to existing green spaces and
472 allocation of new ones (Hasse et al., 2015; Chan and Vu, 2017).

473 In addition, the spatial-temporal dynamics of the LEI showed that the city demonstrated an
474 outlying growth pattern, which is in contrast with similar studies conducted in other countries in
475 similar periods. For instance, spatiotemporal dynamics analysis of Beijing, Shanghai, Tianjin,
476 and Guangzhou from 1990 to 2010, showed that these cities demonstrated infilling and edge
477 expansion (Ou et al., 2017), a spatial growth pattern tended to be compact (coalescence).

478 However, the highest proportion of outlying growth pattern in Addis Ababa, displays that
479 urbanization was characterized as uncontrolled growth resulting in fragmentations, which
480 correlates with the findings of (Xue et al., 2019; Terfa et al., 2019). For instance, according Terfa
481 et al. (2019), the city of Addis Ababa reported a drastic increase in urban fragmentation, spatial
482 irregularity and leapfrogging type of development, due to the unplanned allocation of land for
483 the city's key development projects such as the Integrated Housing Development Project
484 (IHDP). The IHDP, which has been implemented since 2005, with an aim of addressing the
485 housing demand of low and medium income people, substantially consumed agricultural land
486 available in the city (Herslund et al., 2018). This compounded with recent industrial park
487 construction and infrastructure expansion along south north main road arteries of the city
488 contributed to rapid expansion fragmentation of the UGS patches (Herslund et al., 2018; Terfa et
489 al., 2019). Thus the urban planning practiced to facilitate the implementation of the IHDP failed
490 to ensure compact city, rather resulted in dispersed growth with reduction of the UGS (Terfa et
491 al., 2019; Herslund et al., 2018; Abebe and Megento, 2016; Zewdie et al., 2018; Larsen et al.,
492 2019).

493 The directional analysis showed that Addis Ababa demonstrated varied growth from 1989 to
494 2019 in eight directions. For example, urban expansion reported the highest SEE, SSE, SSW
495 and SWW directions, which is in line with similar studies carried out in the city (Terfa et al.,
496 2019; Zewdie et al., 2018). According to Zewdie et al. (2018) a more pronounced urban
497 expansion observed during the study period mainly due to expansion of transport infrastructure
498 and suitability of the areas for urban expansion. Similarly, Terfa et al. (2019) posited that rapid
499 urbanization over the past three decades urban development in Addis Ababa was largely
500 observed towards the western, eastern, and southern directions following road networks.
501 Notwithstanding, urban expansion in these directions attributed to not only infrastructure
502 expansion but also related to the area extent of the three sectors i.e. they occupy 67.7% of the
503 areal extent of the city. On the other hand, the city displayed low level growth in NNE, NEE and
504 NNW directions. The low level of urban expansion in the direction of NNE, NEE and NNW
505 attributed to the presence of physical barriers a result which is analogous with other previous
506 studies (Maimaitiming et al., 2010; Lu et al., 2018). Maimaitiming et al. (2010) and Lu et al.
507 (2018) stated that urban growth is mostly shaped by natural environment including topography,
508 rivers, and important artificial features. Similarly, Xu and Gao (2019) contended that

509 accessibility such as proximity to transport and the CBD and amenity (e.g., distance to schools)
510 are universally important while physical variables (e.g., terrain) significantly affect urban
511 development in specific sectors, due to the physical characteristics of the area. Indeed, physical
512 conditions such as topography retain the spatial pattern of urban growth especially in the
513 developing countries when technologies are not good enough and advanced to reduce such
514 barriers (Lu et al., 2018). In general, the quantitative analysis of the urbanization and UGS
515 showed that an increase in the first period, a decrease in the second period, and an increase in the
516 third period. The increase, decrease and increase pattern of displayed “diffusion–coalescence–
517 diffusion” pattern of spatial growth in Addis Ababa (Terfa et al., 2019).

518 **5. Conclusion.**

519 Many earlier studies conducted in the city focused on analyzing the spatial-temporal dynamics at
520 the city level and failed to provide insights on the dynamics in a different zone and directions,
521 which is a big stumbling block for improved understanding of urban dynamism. Making
522 generalizations based on large-scale analyses limit the ability to understand spatial variabilities in
523 the urbanization trend and directions of changes in the UGS composition. In this study, zonal and
524 directional analysis based on remotely sensed data showed variations in the dynamism of urban
525 expansion and UGS change. Accordingly, the result showed that the inner urban zone and peri-
526 urban zone exhibited pronounced urban expansion over the study periods, while displayed
527 moderate growth in the first, second, and declined in the third period in the urban core zone. On
528 the other hand, the urban expansion was considerable in the SSE, SEE, SWW, and SSW
529 direction, characterized by mainly outlying growth. Similarly, due to rapid urbanization, the
530 UGS were shrunk in all directions with the exception in the NNE and NNW (UGS reported an
531 increase in the third period), where the urban area expansion restrained by the physical barriers,
532 such as mount ‘ Entoto’.

533 With the rapid expansion of urban areas between 1989 to 2019, the urban green space
534 persistently declined in all zone during the first and second periods of the study, while it
535 increased in the third period with a decline of urban expansion. This may in contrast with most of
536 earlier studies that stated that the UGS decline in the city over the past decades. More
537 importantly, the study showed that there was an increase in the quantity of UGS in the third
538 period which coincided with the period of the green policy formulation and implementing

539 periods. For instance, urban planning interventions practices such as the urban renewal program
540 practiced in the past couple of years increased the quantity of UGS in the urban core zone,
541 through the reduction of the urban area. However, future planning intervention should not merely
542 focus on redeveloping the inner zone, need to adopt micro level greening policies such rooftop
543 gardens, living walls, optimally using the existing small enclosed area found in the communal
544 apartments so that the UGS provide social, economic and environmental benefits.

545 Although the urbanization level in Ethiopia is very low compared to other countries,
546 understanding the dynamics in different directions and zones is imperative to future urban
547 planning. Especially, controlling the pattern of urban growth in space-constrained Addis Ababa
548 is very important, to reduce the negative environmental consequences that resulted from high
549 urban sprawl. The study also demonstrated that compared with the traditional spatial-temporal
550 dynamic's analysis, zonal and directional along with LEI can give a better insight into
551 urbanization dynamics and pattern of UGS change. Finally, as the study utilized a 30m resolution
552 image, it may not accurately report the pattern of urbanization and change of urban green spaces.
553 Future studies, therefore, need to employ a high-resolution image, elevations data and slope for a
554 better understanding urban landscape dynamic in the city for improved urban planning.

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565 **References: -**

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