

Community Workflows to Advance Reproducibility in Hydrologic Modeling: Separating model-agnostic and model-specific configuration steps in applications of large-domain hydrologic models

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Key Points:

- Reproducible, transparent modeling increases confidence in model simulations and requires careful tracking of all model configuration steps
- We show an example of model configuration code applied globally that is traced and shared through a version control system
- Standardizing file formats and sharing of code can increase efficiency and reproducibility of modeling studies

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Abstract

Despite the proliferation of computer-based research on hydrology and water resources, such research is typically poorly reproducible. Published studies have low reproducibility due to incomplete availability of data and computer code, and a lack of documentation of workflow processes. This leads to a lack of transparency and efficiency because existing code can neither be quality controlled nor re-used. Given the high-level commonalities between existing process-based hydrological models in terms of their required input data and pre-processing steps, more open sharing of code can lead to large efficiency gains for the modeling community. Here we present a model configuration workflow that provides full reproducibility of the resulting model instantiations in a way that separates the model-agnostic preprocessing of specific datasets from the model-specific requirements that our selected models impose on their input files. We use this workflow to create large-domain (global, continental) and local configurations of the Structure for Unifying Multiple Modeling Alternatives (SUMMA) hydrologic model connected to the mizuRoute routing model. These examples show how a relatively complex model setup over a large domain can be organized in a reproducible and structured way that has the potential to accelerate advances in hydrologic modeling for the community as a whole. We provide a tentative blueprint of how a community modeling paradigm can be built on top of workflows such as this. We term this initiative the “Community Workflows to Advance Reproducibility in Hydrologic Modeling” (CWARHM; pronounced “swarm”).

1 Introduction

Confidence in published findings depends on the reproducibility of the experiments and analyses that support these findings. In computational Earth System sciences research, reproducibility requires knowledge of the computer code and data that underpin a given manuscript. Such computer code can range from a few lines of code that are used to turn data into figures or compute certain statistical properties of the data, to modern process-based hydrologic models that can contain many thousands of lines of code. Despite encouraging progress in journal policies (Blöschl et al., 2014; Clark, Luce, et al., 2021), it is still difficult to reproduce published findings in the hydrologic sciences (Hutton et al., 2016; Stagge et al., 2019). Stagge et al. (2019) estimate that results may only be reproducible for between 0.6% to 6.8% of nearly 2000 peer-reviewed manuscripts published in six hydrology and water resources journals, due to a lack of sufficiently clearly described methods and a lack of the necessary input data or processing code.

In complex process-based hydrologic model applications, one additional barrier to reproducibility is the effort required to configure the model. It is not uncommon to hear claims that in such modeling studies 80% of overall effort is spent on configuring the model for a specific use case, and only 20% of overall effort is spent on using the model to answer research questions (e.g., Table 2.8 in Miles, 2014). Model configuration efforts are spent on assembling appropriate data sources for meteorological forcing data and geospatial parameter fields, wrangling these data into the specific format required by the model, defining appropriate model settings, and specifying the required computational infrastructure (e.g., finding the right collection of software libraries, installing or compiling the model, creating the required scripts to run the model). Additional time costs arise from dealing with the subjectivity in defining appropriate computational sub-domains (such as where to draw the boundaries for Hydrologic Response Units (Flügel, 1995)), interpreting soil and land cover maps, aggregating geospatial data into some form of representative value for a computational unit, and the associated iterative model configuration and testing steps. This process is typically poorly documented and - equally important for reproducibility - extremely time-consuming. In short, the reproducibility problem for process-based hydrologic modeling occurs in part because of the lack of efficiency in model configuration tasks.

78 Reproducibility of computational science can be improved by following certain rec-
79 ommended best practices for open, accessible, and reproducible science (e.g., Gil et al.,
80 2016; Hutton et al., 2016; Sandve et al., 2013; Stodden & Miguez, 2013). Most focus is
81 currently on advancing the FAIR principles, which state that data, code, and methods
82 must be Findable, Accessible, Interoperable, and Reusable (Wilkinson et al., 2016). Re-
83 producibility requires FAIR data, but also includes sharing details about hardware, soft-
84 ware versions, and data versions (Añel, 2017; Bast, 2019; Hut et al., 2017; Sandve et al.,
85 2013). The environmental modeling community is interacting with these prescribed best
86 practices in multiple ways. Choi et al. (2021) identify three ongoing main thrusts aimed
87 at making computational environmental science more open, reusable, and reproducible.
88 First, data and models are increasingly openly available online through services as GitHub,
89 Hydroshare, and institutional repositories. Second, computational environments are in-
90 creasingly recorded and standardized through container applications (e.g., Docker, Sin-
91 gularity) or in self-documenting notebooks. Third, Application Programming Interfaces
92 (APIs) such as the pySUMMA API (Choi, 2020; Choi et al., 2021) make interacting with
93 complex models or data increasingly easier. In practice however, most progress in FAIR
94 science is arguably on Accessibility, whereas the other aspects of FAIR have received less
95 attention.

96 In particular, little attention is devoted to efficient reproducibility of the full mod-
97 eling workflow, which includes data acquisition, data preprocessing, model installation,
98 model runs and post-processing of simulations. Efficiency is promoted in a general sense
99 through freely shared code and packages that perform specific tasks in the modeling chain
100 (for example, see Slater et al., 2019, for an overview of R packages that can be used to
101 populate a modeling workflow). Dedicated efforts to ensure end-to-end reproducibility
102 of modeling studies are less common. Exceptions are Leonard and Duffy (2013, 2014,
103 2016), who provide an in-depth description of a web-based interface for data preprocess-
104 ing and visualization of simulations from the PIHM model, geographically constrained
105 to the United States; Havens et al. (2019, 2020), who provide an end-to-end workflow
106 for setting up, running, and analyzing a physics-based snow model; and Vorobevskii et
107 al. (2020); Vorobevskii (2022), who develop an R package that sets up a simple hydro-
108 logic model anywhere on the planet for a given domain discretization shapefile provided
109 by the user. Compared to sharing a model’s input and output data (which would also
110 enable a study to be reproduced), sharing complete workflows can be more efficient in
111 terms of required storage space. A workflow also provides a transparent record of all mod-
112 eling decisions and enables a more broadly defined form of reproducibility in which a study
113 can be repeated for a different region, a different data set, or a different version of the
114 same model to see if the original conclusions still hold.

115 The examples mentioned in the previous paragraph show that it is possible to doc-
116 ument workflows for a specific model (or, perhaps more accurately, for a specific version
117 of a model). A further challenge is in designing workflows in such a way that parts of
118 a workflow that configures Model A can be re-used in a workflow that configures Model
119 B. We refer to such a design as separating the model-agnostic and model-specific parts
120 of model configuration (see also Miles, 2014; Miles & Band, 2015, for an example of this
121 concept for geospatial data preparation in the field of ecohydrology). In the case of process-
122 based hydrologic modeling, models such as VIC (Hamman et al., 2018; Liang et al., 1994),
123 MESH (Pietroniro et al., 2007), SUMMA (Clark et al., 2015a, 2015b; Clark, Zolfaghari,
124 et al., 2021) and SVS (Husain et al., 2016) can be different in how they discretize the
125 modeling domain, the physical processes they include, and the equations used to describe
126 a given process. However, at their core, these models are designed to solve the same gen-
127 eral water and energy conservation equations (Clark, Zolfaghari, et al., 2021).

128 This means that the data requirements for a myriad of extant hydrologic models
129 will vary in the specifics, but are similar in a general sense, and that these models have
130 similar needs for meteorological forcing data and geospatial parameter fields. Prepro-

131 cessing of these similar data requirements does not need to rely on specifics of the mod-
132 els themselves. For example, in the case of satellite-based MODIS land cover data, model-
133 agnostic steps are (1) downloading the source data, (2) stitching the source data together
134 into a coherent global map, (3) projecting this map into the Coordinate Reference Sys-
135 tem of interest, (4) subsetting from the global data only the domain of interest, and (5)
136 mapping the resulting data in pixels onto model elements. Model-specific steps would
137 be to convert the resulting information (i.e., which pixels/land classes are present per
138 model element) to the specific format a model requires (e.g., storing the most common
139 land class per model element as a value in a netCDF file which the model reads during
140 initialization), and, if necessary, perform some form of data transformation to connect
141 land class data to model parameter values or settings (e.g., by defining a lookup table
142 that contains parameter values for each land cover type). Community-wide efficiency gains
143 are possible if workflows distinguish between model-agnostic and model-specific steps and
144 enable straightforward re-use of the workflow for model-agnostic steps (see also Essawy
145 et al., 2016; Gichamo et al., 2020, who make this argument in the context of web-based
146 model configuration tools).

147 The previous discussion leads us to conclude that the hydrologic modeling commu-
148 nity can substantially improve how it shares model configuration code across mod-
149 eling groups. The key issue is that model physics code is increasingly distributed under
150 open-source licenses but the code that creates the necessary model inputs is typically
151 neither well-documented nor available without contacting the model developers. To move
152 towards a culture of community Earth System modeling, we define three distinct steps:

- 153 1. For a given model, model configuration code should be publicly available and di-
154 vided into model-agnostic and model-specific steps;
- 155 2. The configuration workflows of multiple different models, ideally using different
156 data sets, should be integrated into a proof-of-concept of a generalized model con-
157 figuration workflow;
- 158 3. A community-wide collaborative effort should refine the proof-of-concept into a
159 flexible model configuration framework.

160 The purpose of this paper is to introduce an open-source model configuration work-
161 flow that enables full reproducibility of a process-based hydrologic model setup for any
162 location on the planet, with the workflow code divided into model-agnostic and model-
163 specific parts. In other words, we perform the first of the three steps outlined above. This
164 advances our immediate goal of using this model configuration for a variety of projects
165 by reducing the time commitment needed to create model configurations for different do-
166 mains and by increasing confidence in the modeling outcomes due to increased transparency
167 and the possibility to reproduce results. Our broader goal is to foster a community mod-
168 eling culture within the Earth System sciences. The workflow described in this manuscript
169 contributes to this goal in two separate ways. First, our code is openly accessible and
170 therefore reusable by others who wish to use all or part of it for their own experiments.
171 Second, the documented lack of reproducible hydrologic science (e.g., Stagge et al., 2019)
172 suggests that there are barriers within the hydrologic community to adopt more repro-
173 ducible science. By providing an example of how a reproducible modeling study can be
174 designed, we intend to lower at least some of these barriers. A model-agnostic workflow
175 approach, as proposed here, would also conform directly to ISO 9001 requirements for
176 quality assurance and quality control systems for software development, as the World
177 Meteorological Organization (WMO) describes in its guidance to WMO members on im-
178 plementing a quality management system for national meteorological and hydrological
179 services (World Meteorological Organization, 2017).

180 The remainder of this paper is organized as follows: in Section 2, we outline sev-
181 eral high-level design considerations for reproducible modeling workflows and describe
182 how we implemented these principles in an example of such a workflow. The example

183 workflow uses open-source input data with global coverage, an open-source, spatially dis-
 184 tributed, physics-based hydrologic modeling framework (SUMMA; Clark et al., 2015a,
 185 2015b; Clark, Zolfaghari, et al., 2021), and an open-source network routing model (mizuRoute;
 186 Mizukami et al., 2016, 2021) to generate hydrologic simulations across multiple spatial
 187 scales. Technical details about the models and a step-by-step description of the work-
 188 flow code are given in Appendix A. In Section 3, we present three test cases, covering
 189 large-domain (global, continental) and local-scale model configurations to show that a
 190 single workflow can be used to configure experiments that vary in terms of spatial and
 191 temporal resolution and coverage. In Section 4, we reflect on the current state of repro-
 192 ducibility in large-domain hydrologic modeling, with particular focus on why existing
 193 efforts have seen only limited uptake and outline a path forward.

194 **2 Increasing efficiency and reproducibility in Earth System modeling**

195 **2.1 Workflow design considerations**

196 The reproducibility of modeling studies can be improved through openly published
 197 workflows that track all decisions made during model configuration. We propose four gen-
 198 eral guidelines for such model configuration workflows in the Earth System sciences. These
 199 guidelines are informed by existing efforts to promote reproducibility and efficiency in
 200 large-domain modeling efforts, and by our own experience with creating such large-domain
 201 model configurations for process-based hydrologic models. We consider challenges for novice
 202 and advanced modelers. Briefly, our recommendations are as follows:

- 203 1. **Separate model-agnostic and model-specific tasks.** The steps in the work-
 204 flow must remain model-agnostic for as much of the workflow as possible and pro-
 205 vide outputs in standardized, commonly used data formats. This increases the po-
 206 tential utility of the code base for use in different projects and for users of differ-
 207 ent models.
- 208 2. **Clarity for modelers.** The workflow must be easily accessible and usable in its
 209 default form. A clear structure of the code accompanied by accurate documen-
 210 tation and in-line comments increase the ease-of-use for novice and advanced mod-
 211 elers alike.
- 212 3. **Modularity encourages use beyond the original application.** Customiza-
 213 tion of the workflow must be possible and easy. This makes it possible to adapt,
 214 improve, or change specific parts of the workflow to access new data sets, use new
 215 processing algorithms, or target different models.
- 216 4. **Traceability is key.** Every outcome of each step in the workflow must be accom-
 217 panied by metadata that describe the configuration code that generated the out-
 218 come. This guarantees that, even if changes are made to the model configuration
 219 code, any workflow outcome can still be traced back to its original settings.

220 In Section 2.2 we discuss an example of a model configuration workflow based on
 221 these design considerations. In Section 2.3 we first provide a general description of model
 222 configuration steps and then expand on each of the four points outlined above.

223 **2.2 An example workflow for large-domain hydrologic modeling**

224 **2.2.1 Workflow description**

225 Based on the design considerations listed in Section 2.1, we created a model con-
 226 figuration workflow for the Structure for Unifying Multiple Modeling Alternatives (SUMMA;
 227 Clark et al., 2015a, 2015b; Clark, Zolfaghari, et al., 2021) hydrologic model and the mizuRoute
 228 routing model (Mizukami et al., 2016, 2021). Briefly, SUMMA is a process-based, spatially-
 229 distributed hydrologic model that can be used to simulate the water and energy balance

for given locations in space. mizuRoute is a vector-based routing model that can be used to route runoff from a hydrologic or land surface model through a river network. Detailed descriptions of both models can be found in Section A1. We selected both models for their flexible nature, computational capacity to model very large domains and availability of local expertise. Implementing configuration code for specific models (i.e., SUMMA and mizuRoute) in a generalized workflow, as we describe in this paper, is the first step on a possible path towards a community modeling culture that we outline in the Introduction.

Figure 1 provides a high level overview of our workflow in five key steps:

1. Workflow preparation, where workflow settings are defined and the necessary folder structures are generated;
2. Model-agnostic preprocessing, accomplishing data preparation steps that do not rely on any characteristics of the models being used. Data resulting from this step can thus be used for multiple different models;
3. Remapping of prepared data onto model elements. This step is listed as optional because not all models need this step;
4. Model-specific preprocessing to create model input files based on the prepared data sources, and generate model simulations;
5. Analysis and visualization to summarize model simulations into statistics and figures.

Progressively more detailed overviews of model-agnostic and model-specific tasks can be found in Appendix A2 and Figures A2, A3 and A4. Despite the seemingly large number of model-specific tasks in those figures, the time costs (in terms of code development) are larger for the model-agnostic tasks.

2.2.2 *Workflow scope*

The workflow scope deliberately excludes spatial discretization and parameter estimation (Figure 2). The scope of our workflow implementation assumes that the user has access to a basin discretization stored as an ESRI shapefile that defines the area of interest as discrete modeling elements (e.g., grid cells, sub-basins). Such a discretization may be derived from digital elevation models (see e.g. TauDEM or the *geospatialtools* code base, Sazib, 2016; Tesfa et al., 2011; Chaney & Fisher, 2021), or obtained from existing basin discretization products, such as HydroBASINS (Lehner & Grill, 2013) or the MERIT Hydro basin delineation (Lin et al., 2019). Moreover, the workflow does not currently include fine-tuning of model parameter values through calibration or estimation from auxiliary data sources. These calibration methods require selecting from a wide variety of calibration algorithms, each with their own strengths and weaknesses (e.g., Arsenault et al., 2014), and an even wider variety of objective functions that express the (mis)match between a model’s simulations and observations of hydrologic states and fluxes (e.g., Murphy, 1988; Clark, Vogel, et al., 2021; Gupta et al., 2008; McMillan, 2021; Mizukami et al., 2019; Nash & Sutcliffe, 1970; Olden & Poff, 2003; Pushpalatha et al., 2012), relying on a variety of further choices related to spatial scaling (e.g., Samaniego et al., 2010), regionalization (e.g., Bock et al., 2015) and regularization of the calibration problem (e.g., Doherty & Skahill, 2006). These model calibration choices are not easily standardized and require auxiliary data in the form of observations that are not readily available globally. The modular nature of our workflow implementation allows methods for basin discretization and parameter estimation to be integrated easily into our existing code base, but doing so is planned for future work in an attempt to keep the scope of this first workflow example manageable.

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2.2.3 *Workflow execution*

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We present this workflow as a collection of Bash and Python scripts, stored inside a folder structure that clearly indicates the appropriate order in which the scripts should be executed (see Section 4.2.3 for a discussion of the choice to use scripts instead of other options). The latest version of the workflow is available through GitHub: <https://github.com/CH-Earth/CWARHM>. The GitHub repository also contains further documentation that helps a user set up the required computational environment and provides succinct explanations of the purpose of various scripts, decisions and assumptions in cases where such explanations are necessary. Lastly, the repository contains the basin discretization used for our third test case that divides the upper part of the Bow River basin (Alberta, Canada) into discrete modeling elements, so that users have immediate access to all the materials needed to implement our workflow.

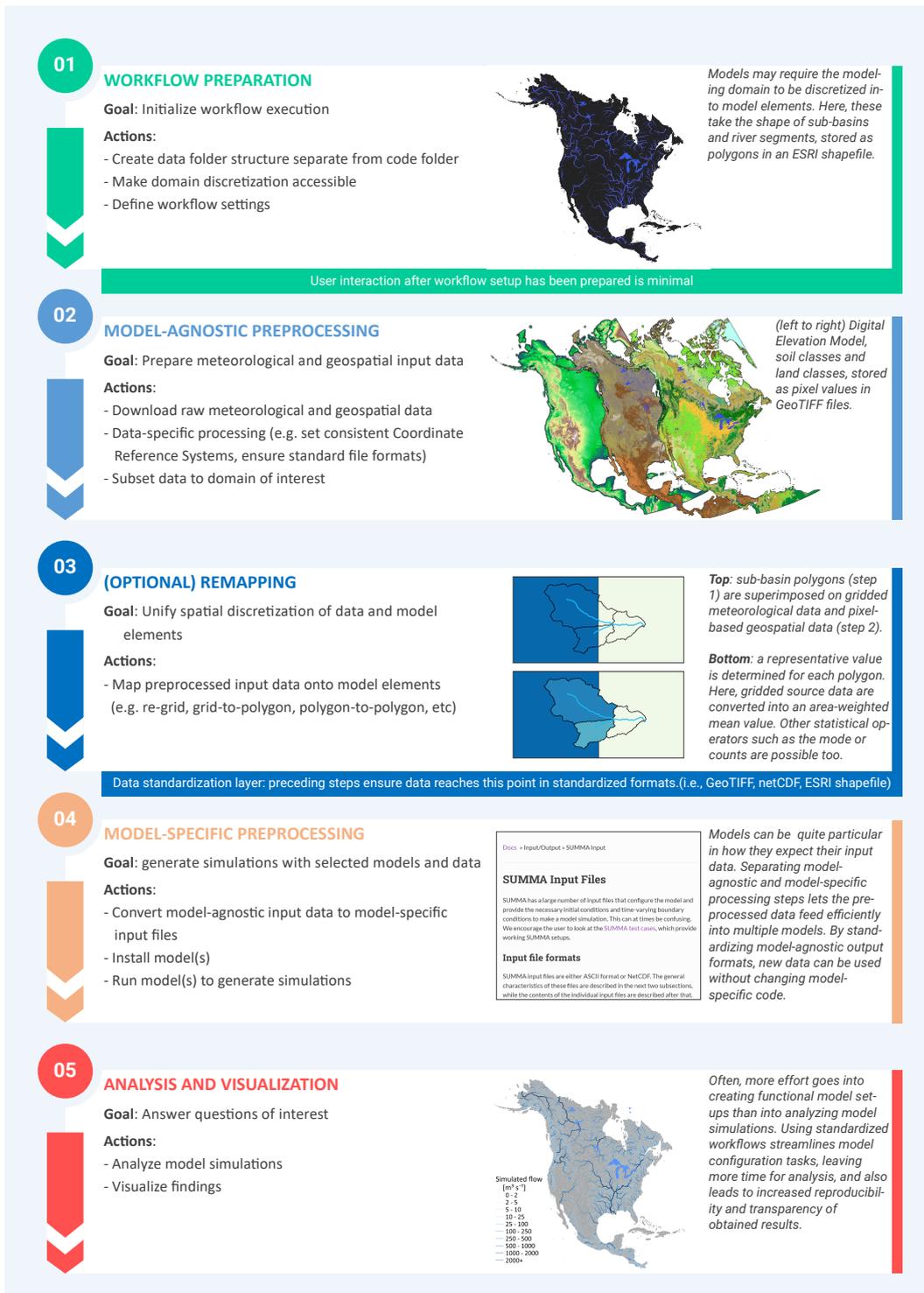


Figure 1. High-level overview of a workflow that separates model-agnostic and model-specific tasks. Model-agnostic tasks are shown in blue and model-specific tasks are shown in orange and red. A similarly high-level but more technical flowchart of such a workflow, using SUMMA (a process-based hydrologic model) and mizuRoute (a routing model) as example models, can be found in Figure A2. Technical details of our implementation of model-agnostic and model-specific processing steps can be found in Figures A3 and A4 respectively.

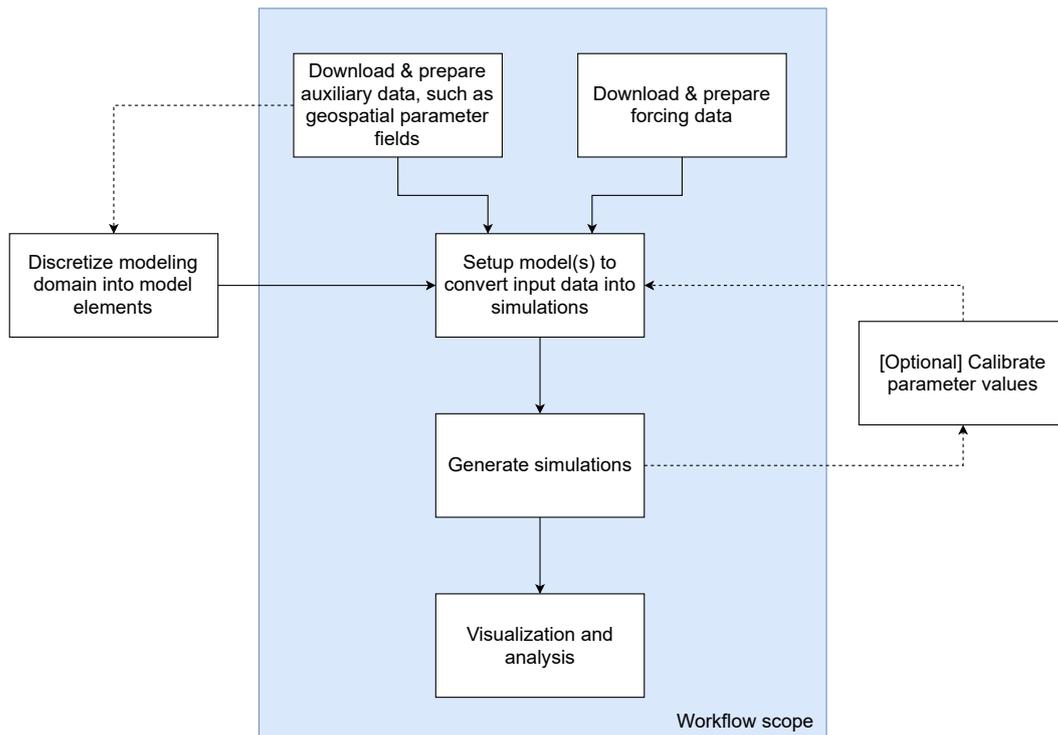


Figure 2. Schematic overview of a typical modeling workflow, with the scope of the example workflow described in this paper shown by the colored box. Dashed lines indicate potential connections between elements (such as geospatial parameter fields informing basin discretization, and parameter calibration feeding back into the model setup step where parameters for a new run are defined) that are not yet included as part of our workflow.

290 2.3 Implementation of workflow design recommendations

291 2.3.1 Separate model-agnostic and model-specific tasks

292 Our first design principle recommends separating model-agnostic and model-specific
 293 tasks. Model-agnostic tasks (shown in blue in Figure 1; light grey in Figure A2 and Fig-
 294 ure A3) are those tasks that are the same regardless of the model being used, under the
 295 assumption that the model requires a given data input at all. In our workflow implemen-
 296 tation these tasks include the downloading of meteorological forcing data and geospa-
 297 tial parameter fields (i.e., a digital elevation model (DEM), soil classes and vegetation
 298 classes), in some cases clipping raw datasets to the domain of interest and mapping of
 299 these data onto model elements such as grid cells or catchments. Fully model-agnostic
 300 outputs in this example are netCDF (.nc) files of meteorological forcing data (i.e., grid-
 301 ded hourly data at 0.25° latitude/longitude resolution) and GeoTIFF (.tif) files of var-
 302 ious geospatial parameter fields.

303 Model-specific tasks (shown in orange and red in Figure 1; dark grey in Figure A2
 304 and Figure A4) involve installing the chosen models, transforming the pre-processed data
 305 into the specific format the model requires, and running the models. In our workflow im-
 306 plementation this involves finding the mean elevation, mode land class and mode soil class
 307 per model element and exporting certain information about the modeling elements (area,
 308 latitude and longitude location, slope of the river network, etc.) into the netCDF files
 309 our models expect.

310 Due to the complex nature of existing models and their long histories of develop-
 311 ment, certain tasks cannot be cleanly separated into model-agnostic and model-specific
 312 tasks. The mapping of prepared forcing data and geospatial parameter fields onto model
 313 elements (shown in dark blue in Figure 1; intermediate grey shade in Figure A2 and Fig-
 314 ure A3) is an example of such a task. Certain models run on the same spatial resolution
 315 as the forcing and/or geospatial data grid, or are able to ingest gridded data in their na-
 316 tive alignment and internally map these onto the required model discretization. In our
 317 case, this remapping must be done outside the models. In the case of forcing data, the
 318 model-agnostic output of meteorological forcing files are mapped onto the model elements
 319 (catchments in this case), resulting in catchment-averaged model forcing. Temperature
 320 time series are further modified with catchment-specific lapse rates to account for ele-
 321 vation differences between the forcing grid and model elements. In the case of param-
 322 eter fields, intersections between the model-agnostic GeoTIFF files and the shapefile of
 323 the modeling domain are generated. These intersections show how often each elevation
 324 level, soil class, and land class occurs in each model element. These processes cannot be
 325 called truly model-agnostic because some models do not require them, but neither are
 326 they fully model-specific. To ensure maximum usability for different models, workflows
 327 must therefore be as modular as possible so that modelers can mix and match from avail-
 328 able code to suit the particularities of their chosen model (i.e., our third design princi-
 329 ple, described later).

330 *2.3.2 General layout and workflow control*

331 Our second design principle prescribes an intuitive interface for hydrologic mod-
 332 elers. We recognize two elements here: first, the code and data structure must be clear
 333 and easy to understand. Second, interacting with the workflow must be straightforward.
 334 Our example implementation strives to achieve both of these goals through a clean sep-
 335 aration of code and data and the use of a single configuration file (hereafter referred to
 336 as a “control file”) that outlines high-level workflow decisions such as file paths, spatial
 337 and temporal extent of the experiment, and details about the shapefiles that contain the
 338 domain discretization. Using configuration or control files is common practice in soft-
 339 ware design applications (see e.g. Sen Gupta et al., 2015) and avoids the need to intro-
 340 duce hardcoded elements such as file paths and variable values in the code itself.

341 In a typical application of our example workflow, the user first creates a local copy
 342 of the code provided on our GitHub repository. We refer to this local code as the “code
 343 directory”. The user would then specify a path in the control file that specifies where
 344 workflow data (such as forcing and parameter data downloads, model input files and model
 345 simulations) will be stored. The workflow is set up to read this path from the control
 346 file, create the specified folder structure and store all data for a given modeling domain
 347 in the user-specified data folder (referred to as the “data directory”). This allows a clean
 348 separation between the workflow code itself and the data downloaded and preprocessed
 349 by the workflow code (Figure 3). The workflow’s default settings ensure that the data
 350 directory is populated with folders and subfolders with descriptive names, making nav-
 351 igation of the generated data clear.

352 Table 1 contains a subset of the information that is stored in the control file that
 353 defines the workflow settings for a model configuration for the Bow River at Banff, Canada
 354 (see Section 3 for a description of this test case). The control file contains the high-level
 355 information needed by the workflow, such as the name of the user’s shapefiles, the names
 356 of required attributes in each shapefile, the spatial extent of the modeling domain, the
 357 years for which forcing data should be downloaded, and file paths and names for all re-
 358 quired data. The workflow scripts read information from the control file as needed. Keep-
 359 ing all information in one place enables a user to quickly generate model configurations
 360 for multiple domains, without needing to scour all individual scripts for hardcoded file
 361 paths, domain extents, etc. For example, changing the simulation period for a given do-

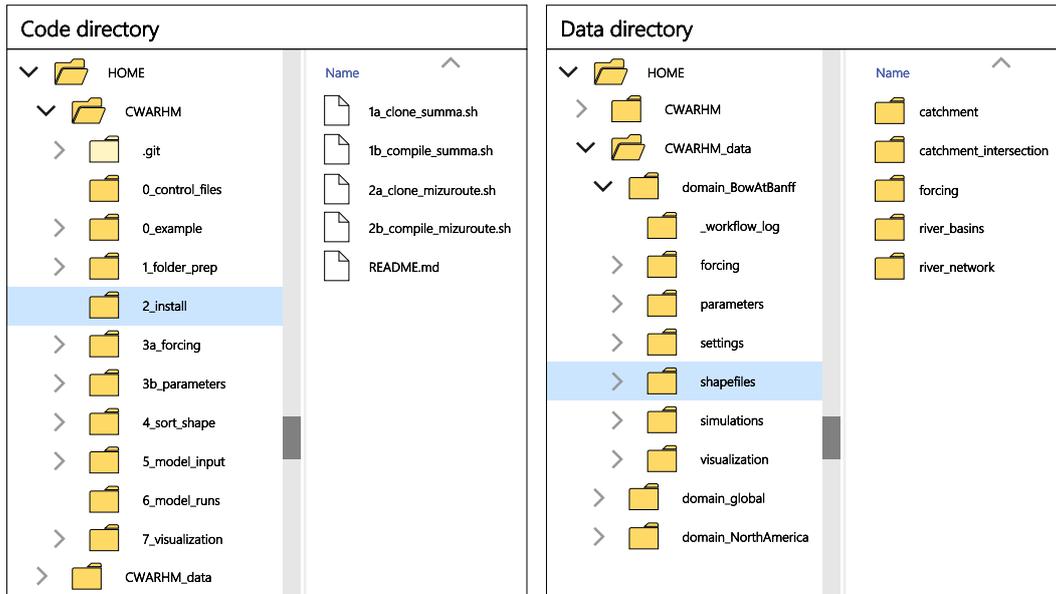


Figure 3. Example of separated code and data directories. The code directory (left) contains the scripts as available on the repository’s GitHub page. The data directory (right) contains the forcing data, parameter data, setting files, shapefiles and model simulations that are used and generated by the workflow code.

362 main requires changing two values in the control file, after which selected code can be
 363 re-run to download and preprocess the necessary forcing data and run new simulations.
 364 To configure our chosen models for a new domain (assuming that no changes to the model
 365 or desired data sets are introduced), a user only needs to provide a new domain discretiza-
 366 tion file and update in the control file the name of the domain (so that a new data folder
 367 can be generated), the names of the discretization files, and the bounding box of the new
 368 domain. The workflow can then be fully re-run to create a model configuration for the
 369 new domain, without any changes being made to the workflow scripts themselves.

370 *2.3.3 Flexibility at each step of model setup*

371 Our third design principle recognizes that process-based models are complex enti-
 372 tities and that the setup procedures for any given model are model- or even experiment-
 373 specific. Not all models will need to go through the same configuration steps, nor will
 374 every model experiment need the settings as defined in our example workflow. Our ex-
 375 ample workflow (Figure 1; details in Section A2) therefore aims to encourage adapta-
 376 tion beyond our original application through modularity and documentation.

377 First, we have chosen to present the workflow as a collection of scripts (i.e., the work-
 378 flow code is stored in simple text files that can be executed from the command line) rather
 379 than a Python package, R library, executable module or similar, so that the user has straight-
 380 forward access to the workflow code. This presentation simplifies adapting the code to
 381 different models or experiments by lowering the skill threshold needed to make adapta-
 382 tions to our code base, and is likely closer to the ways in which model configuration is
 383 currently often done. Second, the workflow separates model setup into numerous small
 384 tasks (see Figures A2 -A4) and saves all intermediate results to files. This modularity
 385 makes it straightforward to branch out from our chosen defaults at any given step in the
 386 modeling workflow. Third, for this iteration of our workflow, we have chosen to move

Table 1. Example of part of a workflow control file, showing settings for the Bow at Banff test case (see Section 3; actual control file available on the GitHub repository - see the Section “Open Research” at the end of this manuscript). These control files are simple text files containing three columns. The “Setting” column contains specific strings that each script in the repository looks for to identify which line in the control file contains the information the script needs. The “value” column contains the actual information, such as file paths, names of shapefiles and shapefile attributes, etc. Descriptions of each field are included for the user’s benefit but not used by the setup scripts. The benefit of collecting all information and settings in a single file is that it avoids hard-coding this information in the workflow itself, making it straightforward to apply the same workflow for a new experiment by simply updating the control file.

Setting	Value	Description
Modeling domain settings		
root_path	/user/CWARHM.data	Root folder where data will be stored.
domain_name	BowAtBanff	Used as part of the root folder name for the prepared data.
Settings of user-provided catchment shapefile		
catchment_shp_path	default	If 'default', uses 'root_path/domain_[name]/shapefiles/catchment'.
catchment_shp_name	bow_dist_elev_zone.shp	Name of the catchment shapefile. Requires extension '.shp'.
catchment_shp_gruid	GRU_ID	Name of the GRU ID column (can be any numeric value, HRU’s within a single GRU have the same GRU ID).
catchment_shp_hruid	HRU_ID	Name of the HRU ID column (consecutive from 1 to total number of HRUs, must be unique).
catchment_shp_area	HRU_area	Name of the catchment area column. Area must be in units [m^2].
catchment_shp_lat	center_lat	Name of the latitude column. Should be a value representative for the HRU.
catchment_shp_lon	center_lon	Name of the longitude column. Should be a value representative for the HRU.
Forcing settings		
forcing_raw_time	2008,2013	Years to download: Jan-[from],Dec-[to].
forcing_raw_space	51.7/-116.5/50.9/-115.5	Bounding box of the shapefile: lat_max/lon_min/lat_min/lon_max. Will be converted to ERA5 download coordinates in script. Order and use of '/' to separate values is mandatory.
forcing_time_step_size	3600	Size of the forcing time step in [s].

387 high-level decisions into the control file and leave various modeling decisions as assump-
 388 tions in the workflow scripts. We have spent considerable effort on documenting any such
 389 assumptions (see Section A2) to let advanced users make targeted changes to the work-
 390 flow code. Examples of these decisions include the number of soil layers used across the
 391 modeling domain, values for the initial model states, and default routing parameters. In
 392 future versions of our workflow, such decisions may be moved to a dedicated experiment-
 393 control file.

394 **2.3.4 Code provenance**

395 Our fourth design principle relates to traceability. The decision to separate code
 396 and data directories potentially introduces a disconnect between code and data, and sit-
 397 uations may arise where it is no longer clear which version of a given piece of code gen-
 398 erated a particular piece of data. This can happen in cases where the workflow code is
 399 updated after having already been used to create (part of) a model configuration. Al-
 400 though the changes to the workflow code can be tracked through version control systems
 401 such as Git, it is much more difficult to trace which version of the code generated the
 402 data. Every script in our example workflow therefore places both a log file and a copy
 403 of its code in the data sub-directory on which it operates. This ensures that, even if a
 404 user makes changes to the code directory, a record exists in the data directory of the spe-
 405 cific code used to generate the files in that data directory. Copies of the model settings
 406 are stored in their simulation data directories by default so that simulation provenance
 407 can be traced as well.

408 **3 Test cases**

409 The test cases described in this section use the SUMMA and mizuRoute models.
 410 We refer the reader to Section A1 for details about both models and definitions of cer-
 411 tain model-specific terms, such as Grouped Response Units (GRUs) and Hydrological
 412 Response Units (HRUs). For all test cases, meteorological input data are obtained from
 413 the ERA5 data set (Hersbach et al., 2020), elevation data are obtained from the MERIT
 414 Hydro data set (Yamazaki et al., 2019), land use data are obtained from the MODIS MCD12Q1
 415 data set (Friedl & Sulla-Menashe, 2019), and soil data are obtained from the Soilgrids
 416 250m data set (Hengl et al., 2017). Detailed descriptions of the input data can be found
 417 in Section A2.

418 **3.1 Global model configuration**

419 This first test case simulates hydrologic processes across planet Earth to illustrate
 420 the large-domain applicability of our approach. The global domain (excluding Green-
 421 land and Antarctica) is divided into 2,939,385 sub-basins or Grouped Response Units
 422 (GRUs; median GRU size is 36 km²; mean size is 45 km²) derived from the global MERIT
 423 basins data set (Lin et al., 2019). Simulations are run for a single month (1979-01-01 to
 424 1979-01-31) at a 15-minute temporal resolution. Figure 4 shows summary statistics of
 425 several simulated variables. By design, we ran these simulations without a model spin-
 426 up period so that we might confirm our models function in regions where under typical
 427 conditions after model spin-up we would not expect to see much hydrologic activity (e.g.,
 428 extremely water-limited regions). The value of this test case is to demonstrate that the
 429 workflow is applicable anywhere on the planet, and that the size of the model domain
 430 does not provide an insurmountable barrier to open and reproducible hydrologic mod-
 431 eling. The workflow documents every decision made during model configuration and en-
 432 ables repeatable simulations of this model domain with only a fraction of the original
 433 effort needed.

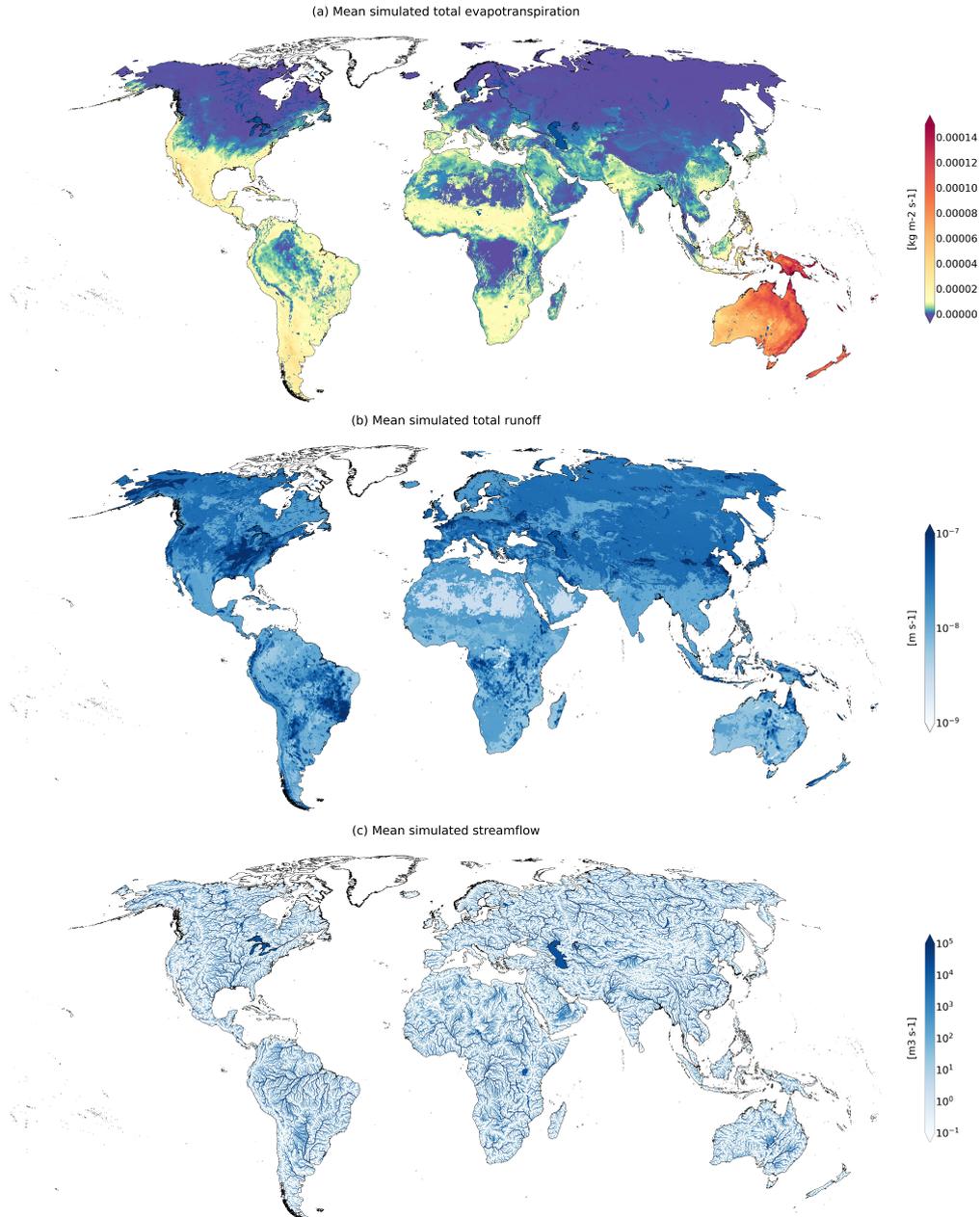


Figure 4. Overview of global simulations. SUMMA does not perform any computations for GRUs that are classified as being mostly open water, and mizuRoute was run without using its option to simulate routing through lakes and reservoirs. Lake delineations of lakes $> 100 \text{ km}^2$ are obtained from the HydroLAKES data set (Messenger et al., 2016) and used to mask open-water GRUs in this figure. Model setup uses default parameter values, and results are for illustrative purposes only. (a) Mean simulated total evapotranspiration, calculated as the sum of transpiration, canopy evaporation and soil evaporation. Note that the color scale has been designed to show global variability and local variability in Oceania simultaneously. (b) Mean runoff, calculated as the sum of surface runoff, downward drainage from the soil column, and lateral flow from the soil column. (c) Mean streamflow as determined by mizuRoute’s Impulse Routing Function approach.

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3.2 Continental model configuration

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This second test case uses 40 years of hourly forcing data to simulate hydrologic processes over the North American continent and illustrates the combined large-domain and multi-decadal applicability of our approach. The continental domain is divided into 517,315 sub-basins (median GRU size is 33 km²; mean size is 40 km²) derived from the global MERIT basins data set (Lin et al., 2019). Simulations are run from 1979-01-01 to 2019-12-31, again at a 15-minute temporal resolution. Figure 5 shows summary statistics of several simulated variables: as expected, snow accumulation tends to be higher in mountainous and higher-latitude locations; total soil water values are lower in the arid regions of the central and western US and Canada and northern Mexico; evapotranspiration rates fluctuate according to available energy (i.e., by latitude) and water; and large river networks are clearly visible as a result of accumulation of upstream river flow. These results are outputs from a model run with default process parametrizations and parameter values, and improvements to either or both will likely improve local model accuracy. However, the visible large-scale patterns appear hydrologically sensible and give us confidence that this initial model configuration is a solid basis for further model improvement and development. The modular nature of our workflow enables improvements to any single part of it without needing to change any other parts of the model configuration code, which contributes to increased efficiency in model improvement and use.

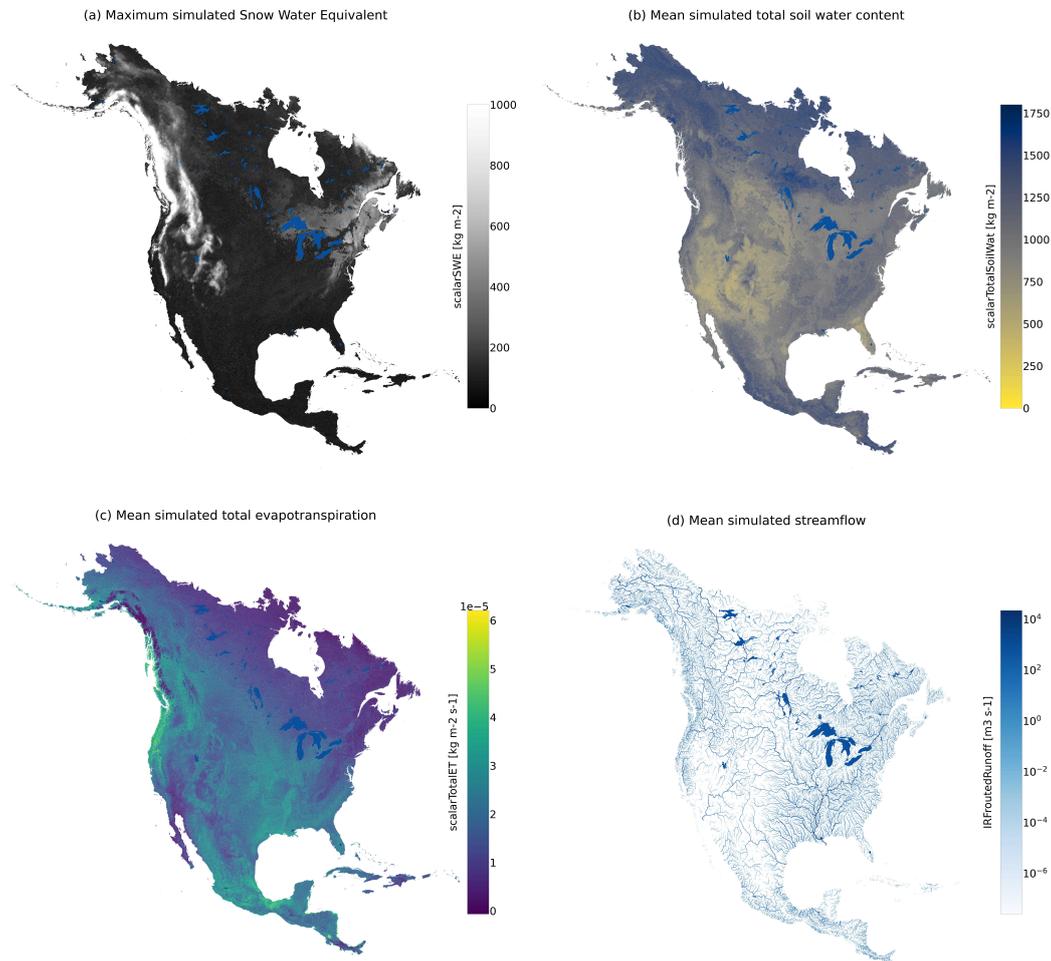


Figure 5. Overview of large-domain multi-decadal simulations. SUMMA does not perform any computations for GRUs that are classified as being mostly open water, and mizuRoute was run without using its option to simulate routing through lakes and reservoirs. Lake delineations of lakes $> 1,000 \text{ km}^2$ are obtained from the HydroLAKES data set (Messenger et al., 2016) and used to mask open-water GRUs in this figure. Model setup uses default parameter values, and results are for illustrative purposes only. (a) Maximum simulated Snow Water Equivalent per GRU is capped at $1000 \text{ [kg m}^{-2}\text{]}$ for visualization purposes. (b) Mean simulated total soil water content, which includes both liquid and solid water in the soil profile. (c) Mean simulated evapotranspiration, defined as the sum of evaporation from the soil profile and the canopy, and transpiration by vegetation. (d) Mean streamflow as determined by mizuRoute's Impulse Routing Function approach.

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3.3 Local model configuration

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The modeling domain in the global and continental test cases is discretized into sub-basins (Grouped Response Units, GRUs, in SUMMA terminology) of roughly equal area. SUMMA uses a flexible spatial discretization approach that allows GRUs to be sub-divided in as many Hydrologic Response Units (HRUs) as the modeler thinks practical and relevant. These HRUs can be used, for example, to represent different elevation zones, differences in soil or land use, differences in topography, or a combination of several of these elements (see Section A1 for a more detailed explanation). As a more localized test case, we created a subset of the MERIT basins data set (Lin et al., 2019) that covers the Bow River from the Continental Divide to the town of Banff, Alberta, Canada. We then sub-divided each MERIT sub-basin (i.e. each GRU) into multiple HRUs based on 500m elevation increments (Figure 6a), created a new control file for this new domain, and re-ran the workflow code. No changes were necessary to any of the workflow scripts because the scripts obtain all the required information from the updated control file and the code is generalized to handle both the large-domain case, where GRUs are not sub-divided into HRUs, and this local case, where HRUs are used. Note that this local test case could be for any basin on the planet.

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This third test case uses hourly forcing data from 2008-01-01 to 2013-12-31 (again run at a 15-minute sub-step resolution). Temperature lapse rates are applied to the forcing data for each individual HRU, meaning that the hydrometeorological conditions are somewhat different in each HRU despite the forcing grid cells being relatively large compared to the delineated catchments (see Figure A7). Figure 6b shows that simulated Snow Water Equivalent (SWE) varies per HRU and accumulated streamflow varies per stream segment. These figures provide a rudimentary test of the generated model setup for a location for which we have clear expectations about how the simulations should look (see also a cautionary note on the use of global data products in Appendix B). As may be expected, more snow accumulates at higher elevations, whereas the valley bottoms have a lower snowpack due to warmer air temperatures but larger flows due to their larger accumulated upstream area. As with the global and continental simulations, this local test case is fully reproducible and all model configuration decisions are stored as part of the workflow. This local test case also shows that different model configurations (in terms of spatial discretization in GRUs and HRUs) can be generated by the same model-specific workflow code.

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4 Discussion

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4.1 To what extent does our workflow fulfill reproducibility requirements?

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Best practices for open and reusable computational science can be briefly summarized as follows (e.g., Gil et al., 2016; Hutton et al., 2016; Stodden & Miguez, 2013): data must be available and accessible, code and methods must be available and accessible, active development on issues with data, code, and methods must be possible, and licensing of data and code should be as permissive as possible. These requirements are formalized in the FAIR principles (Findable, Accessible, Interoperable, Reusable; Wilkinson et al., 2016) but by themselves are not enough to guarantee reproducibility of computational science (e.g., Añel, 2017; Bast, 2019; Hut et al., 2017). To be fully reproducible, details about hardware, software versions, and data versions also need to be recorded and shared (e.g., Choi et al., 2021; Chuah et al., 2020; Essawy et al., 2020). Such practices require a certain time investments but the benefits are clear: the resulting science is more transparent, can be more easily reproduced, and follow-up work will be more efficient because less time is spent on mundane tasks such as data preparation. Sandve et al. (2013) outline ten rules for reproducible computational science in the field of Computational Biology, and these are also applicable to Earth System modeling. Our workflow follows nine of these guiding principles:

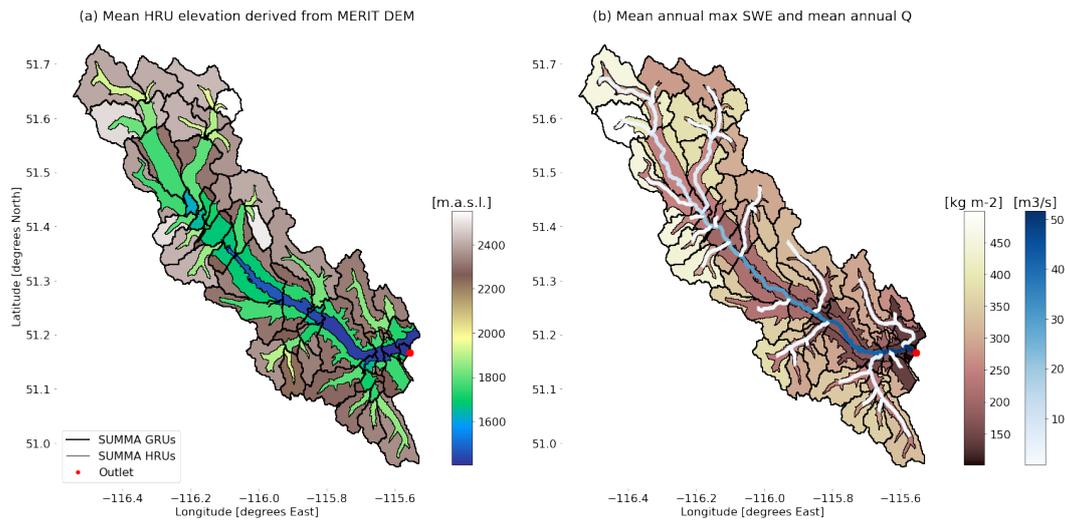


Figure 6. Overview of local simulations. Model setup uses default parameter values, and results are for illustrative purposes only. (a) Mean HRU elevation as derived from MERIT Hydro DEM. (b) Mean of maximum SWE per water year shown for each HRU, and mean annual streamflow shown for each river segment. Only data from complete water years is included.

- 504 (1) Our workflow stores copies of the scripts that generate data together with the data
 505 itself, which allows a researcher to track how a given result was produced;
 506 (2) Our workflow contains no manual data manipulation: all changes to the data are
 507 done in scripts and can be traced;
 508 (3) An exact version of all software used is tracked, partly as installable Python en-
 509 vironments and partly on the workflow repository for command line utilities;
 510 (4) All scripts are version controlled through Git;
 511 (5) Our workflow is modular and stores intermediate results in individual folders to
 512 aid in debugging of setups and to allow easy diversion from our workflow;
 513 (6) All data that may support analysis and figures are systematically stored in a log-
 514 ical folder structure;
 515 (7) Our chosen model structure is flexible in prescribing outputs, removing a need to
 516 modify the model source code to display specific results;
 517 (8) Our visualization code keeps a precise record of which results file contains the data
 518 that underpin a given figure and thus a record exists of which data support a given
 519 textual statement about the analysis;
 520 (9) The workflow code is publicly accessible.

521 Their tenth principle, keeping accurate note of the seeds that underpin any element
 522 of randomness in the analysis, does not apply here. Sandve et al. (2013) also recommend
 523 sharing access to simulation results. This can be done through repositories such as Hy-
 524 droShare or Pangaea but may be infeasible in the case of large-domain Earth System mod-
 525 eling. For example, storing all input and output data of our continental test case would
 526 take approximately 13 TB.

527 Internal tests on different hardware and by different researchers indicate that our
 528 workflow effectively implemented these principles for open and reproducible science in
 529 practice: the workflow can be used to generate identical model inputs and outputs by
 530 specifying exact library, package, and model versions. Some caveats apply, however. Al-
 531 though it is possible to trace model source code versions through Git commit IDs, such

532 IDs can obviously not account for local code modifications that are not tracked through
533 Git. Good “computational lab hygiene” is needed to ensure consistency between what
534 is reported to have been done and what has in fact been done. Further, not all data sets
535 that underlie our model setups have Digital Object Identifiers assigned to specific ver-
536 sions of the dataset. Given the size of the data sets involved, sharing the data itself is
537 infeasible, and some care must be taken to precisely track when data were downloaded
538 as a means of making the use of data without DOIs traceable. Last, reproducibility is
539 ensured through specifying exact versions of packages and libraries but many of these
540 packages and libraries are undergoing rapid development and new versions are released
541 frequently. There is a potential issue for reproducibility if older software versions for one
542 reason or another are no longer available (though for fully open-source software this should
543 theoretically not happen). New versions of specific software may however become incor-
544 porated into a new version of a workflow if they provide some needed functionality. To
545 ensure backward compatibility, such new workflow versions must therefore also be as-
546 signed a new DOI so that any specific workflow version can be tracked and re-used when
547 needed.

548 **4.2 Towards community modeling**

549 ***4.2.1 Short-term benefits of using workflows***

550 This paper introduces a modular model configuration workflow that separates model-
551 agnostic and model-specific configuration steps. The two main benefits of approaching
552 environmental modeling from this angle are clear: configuring multiple modeling exper-
553 iments becomes much more efficient, and results are reproducible, because all model con-
554 figuration decisions can be traced. These benefits address two problems that currently
555 affect Earth System modeling. First, creating a typical model configuration is both dif-
556 ficult and time consuming, and it is possible that model configuration tasks do not re-
557 ceive the attention they deserve. Code may not be checked as thoroughly as may be nec-
558 essary because bugs may not be readily apparent, and any time spent on model config-
559 uration is consequently not spent on writing journal articles or meeting report deadlines.
560 Configuring models can be more efficient if model configuration code is freely and openly
561 shared. This enables time that is currently spent on creating model configurations to in-
562 stead be spent on in-depth analysis, improving the model representation of real-world
563 processes, and fixing any bugs that may be found in the configuration code or the model
564 source code. If bugs are found, tracing the experiments that are affected by these bugs
565 is possible, and it will be clear which studies need to be corrected. Openly shared model
566 configuration code therefore has the potential to increase the robustness of model sim-
567 ulations and accelerate advances in modeling capabilities. Second, by publishing work-
568 flow code alongside a manuscript, the provenance of scientific results remains traceable
569 (see e.g. Hutton et al., 2016; Melsen et al., 2017). This can increase confidence in model
570 results. It also enables more effective follow-up studies because all decisions that under-
571 pin the original study can be found in the public domain.

572 ***4.2.2 Long-term vision for community workflows***

573 We see workflows such as the one presented in this paper as the first step towards
574 a community-wide modeling framework. Figure 7 illustrates an example of such a frame-
575 work using the workflow code presented in this paper as examples of each framework layer
576 (see also Miles, 2014, pp 57-58). In addition to a division between model-specific and model-
577 agnostic tasks, we envision a framework that distinguishes between data-specific and data-
578 agnostic preprocessing steps. Processing layers would be separated by standardization
579 layers that prescribe the output format for the preceding processing layer and consequently
580 the input format for the following processing layer. Community-wide agreement on the
581 formats used in standardization layers will promote efficient interoperability of different
582 data-specific processing modules, possibly as part of broader work on international hy-

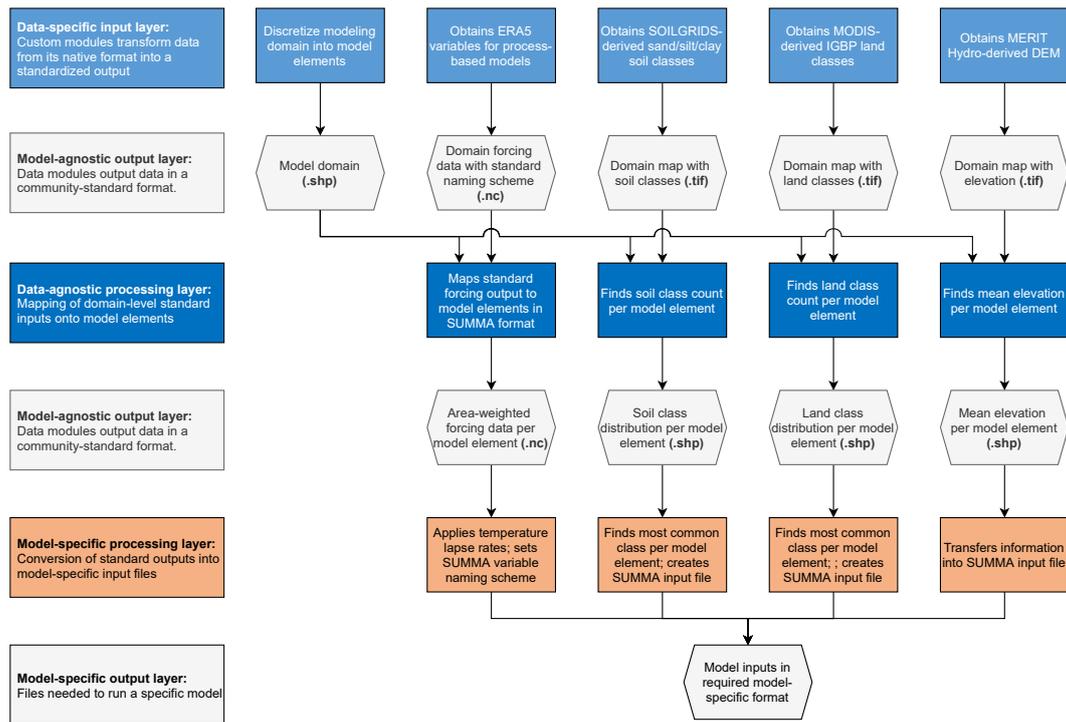


Figure 7. Schematic overview of a generalized community modeling framework, populated with examples from our SUMMA setup configuration workflow. Key to this modular approach is community-wide agreement on the formats used in each model-agnostic standardization layer. Such standards enable a modular approach to model-configuration, where existing modules can be seamlessly replaced, as long as they are designed to read and output data in the agreed-upon formats.

583 hydrologic standards (e.g., HY_Features, Blodgett & Dornblut, 2018). Using our workflow
 584 as an example, we have created data-specific processing modules for ERA5 meteorological
 585 data, SOILGRIDS-derived soil classes, MODIS-derived land classes, and a MERIT
 586 Hydro-derived DEM. These modules generate data in standardized formats (in this case,
 587 netCDF4 forcing data and GeoTIFF spatial maps) that in turn feed into the data-agnostic
 588 remapping layer. This layer generates further model-agnostic data in netCDF4 and Shape-
 589 file formats that are then transformed into SUMMA’s inputs through a model-specific
 590 processing layer.

591 Our currently defined model-agnostic tasks are of course still implicitly SUMMA-
 592 centric (i.e., we have completed those tasks because they generate the data that SUMMA
 593 requires), though in principle the outputs can immediately be used by other models. The
 594 modular nature of our workflow makes adding new datasets and processing steps as straight-
 595 forward as writing new data-specific and data-agnostic routines and inserting them in
 596 a further unchanged workflow. Changing to a different model requires writing a new model-
 597 specific interface layer, but existing data processing scripts can remain untouched (again,
 598 assuming that the new model has data needs that can be met by already existing data
 599 processing scripts). This means that the workflow can be tailored to a specific model or
 600 experiment in a fraction of the time needed to create the model configuration from scratch.
 601 The modified workflow can then be published alongside the new modeling results to keep
 602 those results traceable.

603 It is of course possible that our attempt to separate model tasks into model-agnostic
 604 and model-specific parts is not equally applicable to every different model that is cur-
 605 rently in use. In such cases, we hope that providing a tangible example of how model
 606 configuration code can be organized and shared in a structured way will nevertheless in-
 607 spire others to create their own workflows. Modifying our workflow or adapting it for
 608 different purposes in such ways is the second step we anticipate as needed to move to-
 609 wards a community modeling paradigm. By creating new or modifying existing work-
 610 flows for new experiments and models, the required structure of a generalized model setup
 611 workflow may become apparent. As a third and final step, this generalized workflow can
 612 be formalized into a community-driven modeling framework that enhances efficiency and
 613 transparency in Earth System modeling.

614 To initiate the process of creating a community-driven modeling framework, our
 615 workflow is available as open-source code: <https://github.com/CH-Earth/CWARHM> (last
 616 access: 2022-07-27). We have chosen a permissive license (GNU GPLv3) that allows oth-
 617 ers to freely use and modify our code under the conditions that the modified code base
 618 is published under the same license, with attribution of its source and a list of changes.
 619 We envision a gradual process in which our repository is modified by others (either piece-
 620 meal or by incorporating our entire code base in a new repository as, for example, a Git
 621 submodule), increasingly more data-specific and models-specific processing capabilities
 622 are made public, and appropriate formats for standard file formats become apparent. De-
 623 ciding if and how to integrate these different elements into a single modeling framework
 624 is a decision the community will need to make in due course.

625 *4.2.3 Where do workflows stand in the existing reproducibility landscape* 626 *in hydrologic modeling?*

627 We approach the workflow problem from a catchment modeling perspective within
 628 the wider Earth System modeling community (see the definitions of different commu-
 629 nities in Archfield et al., 2015). Calls for more efficient, transparent, and shareable model
 630 configuration approaches are not new in the catchment modeling community (see e.g.
 631 Blair et al., 2019; Famiglietti et al., 2011; Hutton et al., 2016; Tarboton et al., 2009; Weiler
 632 & Beven, 2015) and considerable progress along these lines has been made. For exam-
 633 ple, Sen Gupta et al. (2015) standardize model inputs and outputs to efficiently couple
 634 a snow accumulation and melt routine with an existing open source modeling framework;
 635 Ecohydrolib (Miles & Band, 2015; Miles, 2014; Miles et al., 2022) is a Python API that
 636 automatically preprocesses ecohydrologic parameter fields and forms the basis of a model
 637 configuration workflow for the RHESSys model; Bandaragoda et al. (2019) develop a gen-
 638 eral interface for building and coupling multiple models, using the Landlab toolkit (Hobley
 639 et al., 2017; Barnhart et al., 2020); Gan et al. (2020) integrate a web-based hydrologic
 640 model service with a data sharing system to promote reproducible workflows; HydroDS
 641 (Gichamo et al., 2020; Dash & Tarboton, 2022) is a web-based service that can be used
 642 to prepare input data for modeling; Bennett et al. (2018, 2020) create a tool to estimate
 643 hourly forcing input for physics-based models from commonly available daily data; Bavay
 644 et al. (2022) describe a tool that can be used to effectively create a Graphical User In-
 645 terface for a given model; Essawy et al. (2016) provide an example of how containeriza-
 646 tion (storing a full computational environment into a software container) enhances re-
 647 producibility; and Kurtzer et al. (2017, 2021) develop a means of saving and transfer-
 648 ring software and computing environments on and between High Performance Comput-
 649 ing clusters. Put together, most if not all elements for fully reproducible, easy-to-use,
 650 computational hydrology already exist. So far, however, uptake of these tools is regret-
 651 tably not widespread.

652 We speculate that uptake of existing tools is somewhat low for multiple reasons.
 653 First, these tools are typically provided as self-contained packages where some form of
 654 interface exists between the user and the source code. Such packages tend to be easy to

655 use for their intended purpose but take time to understand and do not necessarily pro-
656 vide much flexibility to deviate from their intended purpose. Layering additional func-
657 tions on top of an existing package or modifying a package's source code is certainly pos-
658 sible, but can be outside the comfort zone of many users. Second, several model-configuration
659 tools are provided as web-based services. This can be appealing because, for example,
660 data can be pre-downloaded to speed up model configuration and model simulations can
661 be easily shared. The advantage of such approaches is that they can be combined with
662 some form of server-side data transformations (e.g., subsetting or averaging), which min-
663 imizes data transfers. Storing the inputs for and outputs of large-domain simulations can,
664 however, be cumbersome, and keeping pre-downloaded data up-to-date and sufficient for
665 all user needs takes sustained, long-term effort. A further complication is that it is re-
666 grettably common that such web-based services require some form of manual interac-
667 tion with the webpage, limiting opportunities to automate data acquisition tasks. Third,
668 the lack of community agreement on standard data formats means that developers of new
669 tools typically decide to have their tool output data in a format relevant to their own
670 application, which may not be a format that is widely used by others. It is cumbersome
671 for developers to have their tools ingest multiple different data formats and such func-
672 tionality is therefore somewhat rare. Community-wide agreement on a set of standard
673 data formats, such as proposed in Figure 7, will make it easier for developers to know
674 which data formats their tools must be able to ingest and produce to guarantee seam-
675 less interaction with other existing tools.

676 In short, some of the existing tools may be overdesigned or unsuitable for where
677 the majority of the community currently stands. Such tools are typically designed by
678 a small group of people, using a proof of concept or test case that is directly applicable
679 to the developers' own work. Developers can make educated guesses about how their tool
680 can be made more general beyond their proof of concept, as we had to do here. Actu-
681 ally extending these proof of concepts typically relies on the original developers having
682 both the motivation and opportunity to implement functionality for others (e.g., incor-
683 porating new data sets or including model-specific layers for other models) or on new
684 developers being willing to first understand the existing package or web-service and then
685 modifying it.

686 Our approach to provide a tangible example of how to structure model configura-
687 tion tasks is different. First, our use of scripts that allow a user to immediately access
688 the workflow code is likely much more similar to how many models are currently con-
689 figured than if we had wrapped our workflow code in some form of user interface (such
690 as a Python package, R library, or web interface). This lowers the barrier to trying our
691 approach. Second, our use of standardization layers that require intermediate files to be
692 in commonly used data formats (GeoTIFF, netCDF, ESRI shapefiles) makes it easy to
693 adapt small parts of our workflow without needing to change any upstream or downstream
694 configuration tasks. Third, there are clear and immediate benefits of adopting a work-
695 flow approach of the type proposed in this paper that are unrelated to how widely (or
696 not) this approach is adopted: creating new configurations for the models used in such
697 workflows will be more efficient and the resulting science will stand on a firmer founda-
698 tion than closed-source results. Should our approach become more widely adopted, then
699 the path to a community modeling framework builds itself: as more examples of model
700 configuration workflows become available, our preliminary sketch of a community mod-
701 eling framework in Figure 7 can be refined or redrawn. The best approach to design, build,
702 and maintain such a community framework can be decided in due course, and appropri-
703 ate funding may be sought when needed. Advancing the paradigm of community mod-
704 eling requires active participation of the community. By providing an example of a com-
705 munity modeling workflow, we hope to encourage uptake, modification and adaptation
706 of such community approaches.

707 4.3 Future work

708 We outlined three steps to move toward a culture of community modeling in the
709 Earth sciences in Section 1:

- 710 1. For a given model, model configuration code should be publicly available and di-
711 vided into model-agnostic and model-specific steps;
- 712 2. The configuration workflows of multiple different models, ideally using different
713 data sets, should be integrated into a proof-of-concept of a generalized model con-
714 figuration workflow;
- 715 3. A community-wide collaborative effort should refine the proof-of-concept into a
716 flexible model configuration framework.

717 This manuscript provides an example of the first step in this list, by showing how
718 configuration code for a single model can be implemented in a more general framework.
719 Ongoing work focuses on the second step, by integrating multiple different models such
720 as MESH (Pietroniro et al., 2007) and HYPE (Lindström et al., 2010; Arheimer et al.,
721 2020) into our workflow by adding the necessary processing code for these models. New
722 processing code naturally involves writing new model-specific routines that convert ex-
723 isting pre-processed data into the specific formats each new model needs. Inclusion of
724 additional models also necessitates certain new model-agnostic processing routines. For
725 example, whereas SUMMA works on the assumption that a single computational element
726 has a single (possibly dominant) land cover type (but allows spatially flexible configu-
727 rations so that each different land cover type can be assigned its own computational el-
728 ement), MESH lets the user specify a histogram of land cover types within each grid cell.
729 Our current implementation of model-agnostic land cover remapping therefore still fol-
730 lows the implicit assumption that the required processing output is a single land cover
731 class per model element. A new routine is needed that returns the histogram of land classes
732 per model element that MESH requires. Examples such as these show that a modular
733 approach to a generalized community modeling framework as described in Section 4.2.2
734 and Figure 7, where new processing modules can be inserted without requiring changes
735 to existing upstream and downstream routines, is a likely path forward on the road to
736 community modeling.

737 5 Conclusions

738 This paper describes a code base that provides a general and extensible solution
739 to configure hydrologic models. Specifically, the paper provides a tool that can be used
740 to create reproducible configurations of the Structure for Unifying Multiple Modeling
741 Alternatives (SUMMA, a process-based hydrologic model) and mizuRoute (a vector-based
742 routing model). We consider this the implementation of a single model in a general frame-
743 work that separates model-agnostic and model-specific configuration tasks. Such a sep-
744 aration of tasks makes inclusion of new models in this framework relatively straightfor-
745 ward because most of the data pre-processing code can remain unchanged and only model-
746 specific code for the new model needs to be added.

747 The critical component of this framework is what we refer to as “standardization
748 layers”, which prescribe the details of the file formats that must come out of the preced-
749 ing processing layer and form the input of the following processing layer. By standard-
750 izing inputs and outputs, the code that forms the processing layers only needs to con-
751 cern itself with these prescribed formats. Changing specific processing modules to, for
752 example, pre-process a different data set, perform a different way of mapping data onto
753 model elements, or prepare input files for a different model, can therefore happen in iso-
754 lation from the remainder of the workflow as long as the new processing code accepts
755 and returns data in the prescribed formats. We show examples of this approach with global

756 and multi-decadal continental SUMMA and mizuRoute simulations, and with a local SUMMA
 757 configuration that uses a more complex spatial discretization than the global and con-
 758 tinental simulations use.

759 Future work will involve adding model-specific code for multiple additional mod-
 760 els and any needed data-specific preprocessing modules. We have termed this initiative
 761 “Community Workflows to Advance Reproducibility in Hydrologic Modeling” (CWARHM;
 762 “swarm”) and we encourage others to be part of this model-agnostic workflow initiative.
 763 The configuration code for the SUMMA and mizuRoute setup shown in this manuscript
 764 is available on GitHub: <https://github.com/CH-Earth/CWARHM> (last access: 2022-07-
 765 27).

766 **Appendix A Workflow description**

767 This section describes in detail our example of a model setup workflow that follows
 768 the design principles outlined in Section 2. The workflow code, model code, software re-
 769 quirements, and data are fully open-source to follow the FAIR principles. The workflow
 770 is written in Python and Bash, using input data with global coverage, a spatially dis-
 771 tributed, physics-based hydrologic modeling framework designed to isolate individual mod-
 772 eling decisions (Clark et al., 2015a, 2015b; Clark, Zolfaghari, et al., 2021), and a network
 773 routing model (Mizukami et al., 2016, 2021) that connects the individual hydrologic model
 774 elements through a river network. This example workflow can be used to generate a ba-
 775 sic SUMMA and mizuRoute setup anywhere on the globe and is designed such that the
 776 model-agnostic parts of the code can easily feed into other modeling chains.

777 Part of the code in this repository is adapted from or inspired by work performed
 778 at the National Centre for Atmospheric Research and the University of Washington.

779 **A1 Models**

780 This section provides a brief overview of SUMMA (Clark et al., 2015a, 2015b; Clark,
 781 Zolfaghari, et al., 2021) and mizuRoute (Mizukami et al., 2016, 2021) to the extent rel-
 782 evant to understand our workflow. We refer the reader to the original papers that de-
 783 scribe each model for further details. We selected both models for their flexible nature,
 784 computational capacity to model very large domains, and availability of local expertise.
 785 Both models are written in Fortran, and their source code needs to be compiled before
 786 the models can be used.

787 ***A11 Structure for Unifying Multiple Modeling Alternatives (SUMMA)***

788 SUMMA is a process-based modeling framework designed to isolate specific mod-
 789 eling decisions and evaluate competing alternatives for each decision, with the ability to
 790 do so across multiple spatial and temporal configurations. SUMMA solves a general set
 791 of mass and energy conservation equations (Clark et al., 2015a; Clark, Zolfaghari, et al.,
 792 2021) and includes multiple alternative flux parametrizations (Clark et al., 2015b). It
 793 separates the equations that describe the model physics from the numerical methods used
 794 to solve these equations, allowing the use of state-of-the-art numerical solving techniques
 795 (Clark, Zolfaghari, et al., 2021). SUMMA is available as Free and Open Source Software
 796 (FOSS) and under active development (see <https://www.github.com/CH-Earth/summa>).

797 SUMMA organizes model elements into Grouped Response Units (GRUs) that can
 798 each be further subdivided into multiple Hydrologic Response Units (HRUs). This en-
 799 ables flexible spatial discretization of modeling domains. For example, point-scale stud-
 800 ies are possible by defining the domain as a single GRU that contains exactly one HRU
 801 (GRU area can be an arbitrary value because all fluxes and states are calculated per unit
 802 area; see e.g. Clark et al., 2015b). It is equally possible to mimic grid-based model se-

tups such as commonly used in land-surface modeling schemes by defining each GRU to be equivalent to a grid cell and optionally using the HRUs to account for sub-grid variability (e.g. mimicking the tiled grid approach of traditional VIC and MESH setups; Liang et al., 1994; Pietroniro et al., 2007). Finally, GRUs can represent the (sub-)catchments of a given river system with HRUs being areas of similar hydrologic behavior within each GRU. Such model configurations can use GRUs and HRUs of irregular shape, which has several advantages over grid-based setups (see e.g. Gharari et al., 2020). Most importantly, such spatial configurations can accurately follow the actual topography of the modeling domain, and this makes model results easier to visualize and interpret. SUMMA is configured with irregularly shaped computational elements in the test cases presented in this paper.

A12 mizuRoute

mizuRoute is a vector-based river routing model specifically designed for large-domain applications such as modeling of hydrologic processes across a continental domain. It organizes the routing domain into Hydrologic Response Units (HRUs; i.e., catchments) and stream segments that meander through the HRUs and provide connections between them (Mizukami et al., 2016, 2021). It can process inputs from hydrologic models with both grid- and vector-based setups and provides different options for channel routing: Kinematic Wave Tracking (KWT) and Impulse Response Function (IRF). For a given stream segment, the IRF method constructs a set of unique Unit Hydrographs (UH) for each upstream segment which is used to route runoff from each upstream reach independently. In other words, the routed runoff in a given stream segment is a simple sum of the UH runoff generated in all upstream segments. The KWT method instead tracks channel runoff as kinematic waves moving through the stream network with their own celerity. mizuRoute is available as FOSS and under active development (see <https://github.com/ESCOMP/mizuRoute>), with a particular focus on improving its representation of lakes and reservoirs (Gharari et al., 2022; Vanderkelen et al., 2022).

A13 Note on definitions

SUMMA distinguishes between Grouped Response Units (GRUs) and Hydrological Response Units (HRUs). SUMMA’s main modeling element is the GRU, which can be sub-divided into an arbitrary number of HRUs. SUMMA can handle GRUs and HRUs of any shape (e.g., points, grid cells, catchments) and these terms therefore refer to model elements of arbitrary shape and size. In this workflow, we use mizuRoute to route runoff between SUMMA’s GRUs. Potentially confusingly, mizuRoute refers to all routing basins as HRUs only and does not use the term GRU. As a result, what SUMMA calls GRUs are referred to as HRUs by mizuRoute. For consistency with both sets of documentation, we use their own terminology for model elements where possible. Figure A1 shows a graphical example of the differences in terminology.

A2 Workflow description

This section briefly describes each step shown in the workflow diagram (Figure 1 in the main manuscript, with further technical details in Figures A2, A3 and A4). Figures are generated using the test case configured for the Bow River catchment located in Alberta, Canada (see Figure A1 for an overview of this domain). This test case covers a geographically small area (approximately 2200 km²) and uses a more complex model setup (SUMMA GRUs subdivided into multiple HRUs) than the continental test case (where SUMMA GRUs contain exactly one HRU each), making it the best choice to visualize model setup procedures. Italicized phrases in this section indicate folders, scripts, or variables as found in the GitHub repository. To start, a user would download or clone the complete GitHub repository. The following sections provide more detail about the

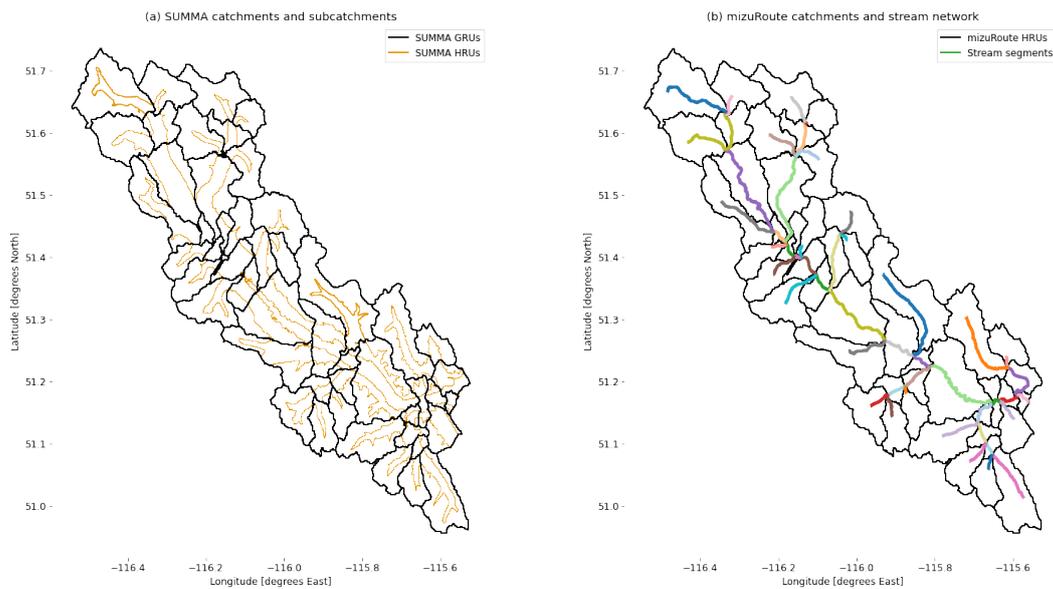


Figure A1. Catchment of the Bow River at Banff (Alberta, Canada) discretized into (a) SUMMA and (b) mizuRoute model elements, showing associated terminology. SUMMA HRUs in (a) represent different elevation bands within each SUMMA GRU. A SUMMA GRU always contains at least one SUMMA HRU. There is no upper limit to the number of HRUs a single SUMMA GRU can be divided into. A single SUMMA HRU is never part of more than one SUMMA GRU. In our example, SUMMA GRUs are identical to mizuRoute HRUs. mizuRoute stream segments are shown in different colors to emphasize that in this case each mizuRoute HRU maps 1:1 onto a single stream segment.

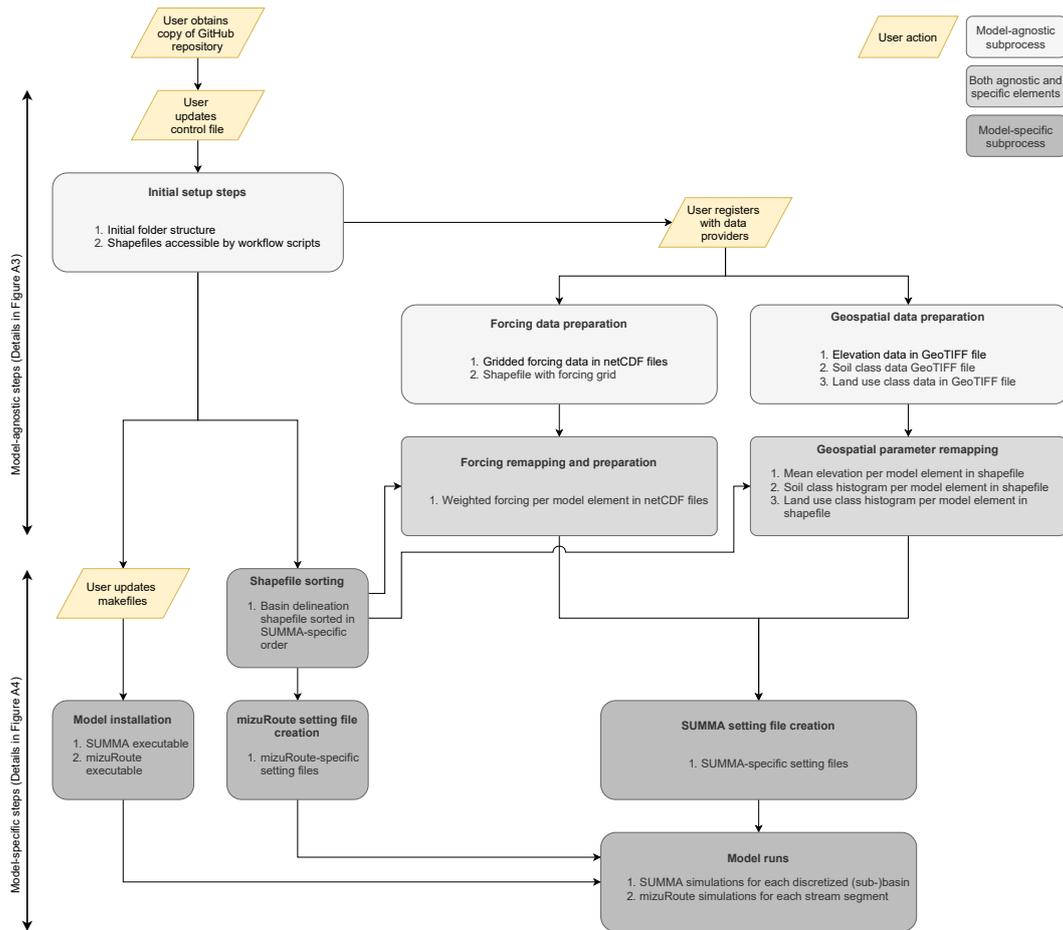


Figure A2. High-level overview of model configuration steps, using SUMMA (a process-based hydrologic model) and mizuRoute (a routing model) as example models. Configuration tasks are separated into model-agnostic and model-specific tasks (details in Figure A3 and Figure A4 respectively). Each rounded box specifies the outcomes of that configuration task as a numbered list.

852 scripts found within the GitHub repository. Although our workflow requires only limited
 853 user interaction to generate a model configuration for a new domain, we do make
 854 certain assumptions about this model configuration which users should be aware of. These
 855 assumptions are specified in each subsection.

856 ***A21 Workflow setup and folder structure***

857 This section describes the steps “User updates control file” and the steps contained
 858 in the box “Initial setup” (Figure A3).

859 ***A21.1 Control files*** Control files are the main way for a user to interact with the
 860 workflow. They contain high-level information such as file paths, file names, variable names,
 861 and specification of the spatial and temporal extent of the modeling domain (see also
 862 Sen Gupta et al., 2015) A new control file needs to be created by the user for each new
 863 domain. As an example, the control file for the *Bow.at.Banff* test case is included as part
 864 of the Github repository, in the folder *./CWARHM/0.control_files*. The READMEs of

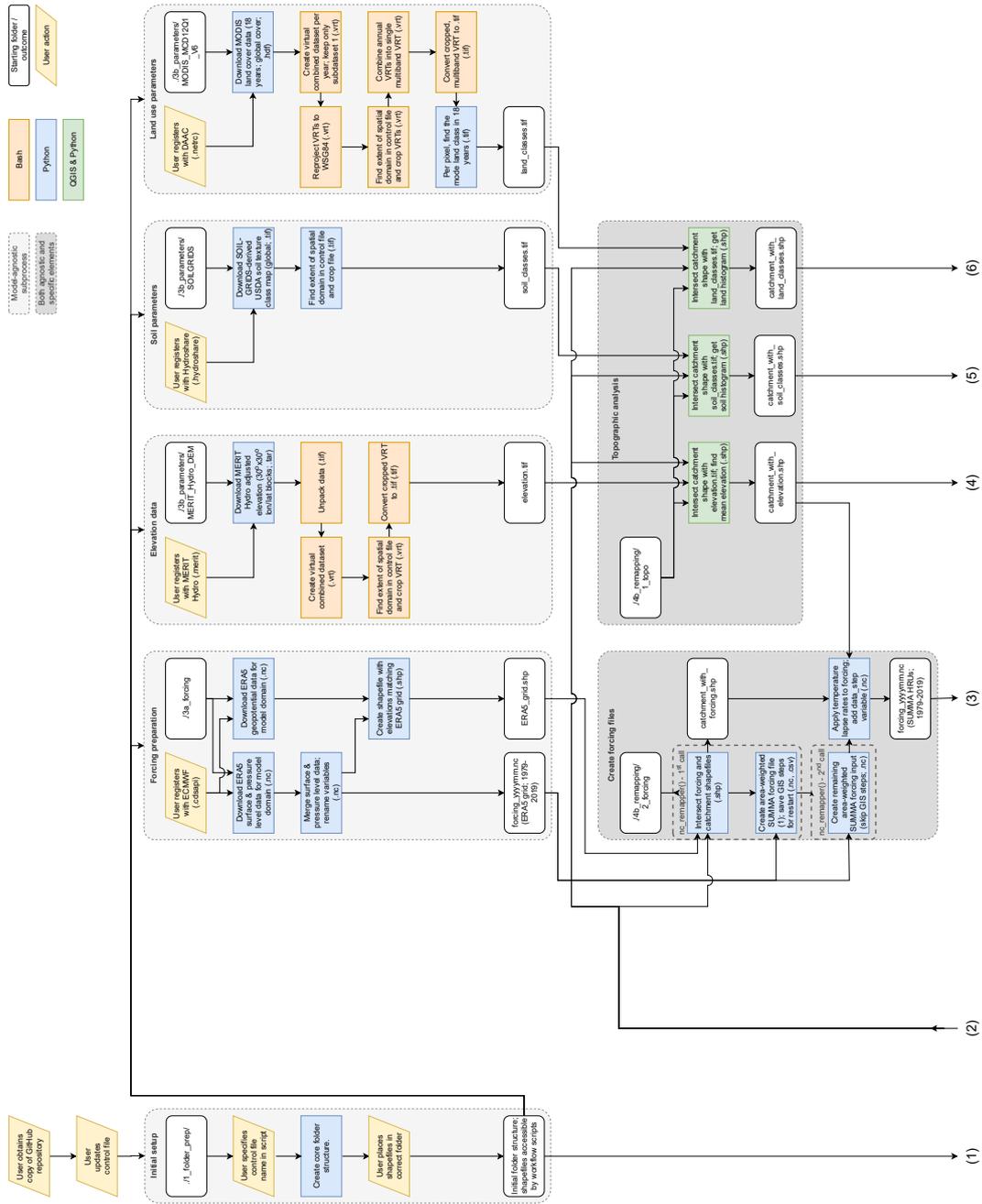


Figure A3. Model-agnostic configuration steps. Each rectangular block corresponds to a specific model setup task and is accompanied by a specific script with Python or Bash code, stored in a GitHub repository. Rounded rectangles indicate starting points of specific sub-tasks (mainly showing which folder in the repository contains certain parts of the workflow) and the outcomes of each sub-process. Parallelograms indicate actions the user must perform. Numbers show connections with the model-specific configuration tasks in Figure A4.

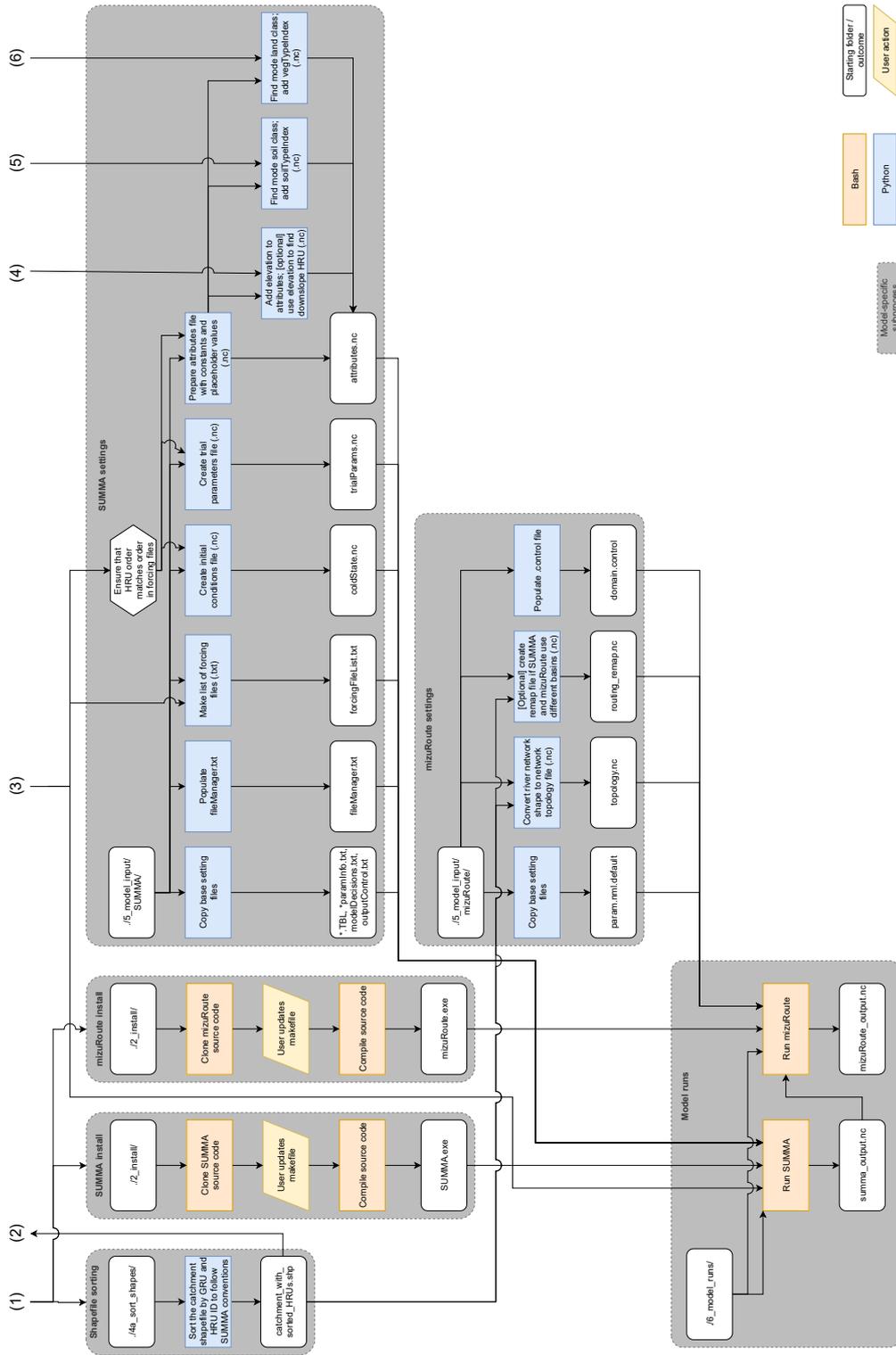


Figure A4. Model-specific configuration steps. Each rectangular block corresponds to a specific model setup task and is accompanied by a specific script with Python or Bash code, stored in a GitHub repository. Rounded rectangles indicate starting points of specific sub-tasks (mainly showing which folder in the repository contains certain parts of the workflow) and the outcomes of each sub-process. Parallelograms indicate actions the user must perform. The hexagon indicates an aspect of SUMMA’s input requirements (i.e., not an action or script) and is shown to clarify why creating the forcing files is on the critical path towards creating the other necessary model configuration files. Numbers show connections with the model-agnostic configuration tasks in Figure A3.

865 each sub-folder on the GitHub repository contain a list of the settings in the control file
866 on which the scripts in that sub-folder rely.

867 *A21.2 Folder preparation* The workflow separates generated data from the code
868 used to generate the data. The script in the folder `./CWARHM/1_folder_prep` generates
869 a basic data folder structure in a location of the user's choosing (see Figure 3b). This
870 basic folder structure generates a main data folder with a subdirectory for the current
871 domain. In this domain folder, it further generates a dedicated folder where the user can
872 place their shapefiles that delineate the SUMMA catchments (hydrologic model GRUs
873 and HRUs), mizuRoute catchments (routing model HRUs), and mizuRoute river net-
874 work. This is the only script in the workflow that needs to be manually modified if a setup
875 for a new domain is generated. A user will need to modify the variable `sourceFile` so that
876 it points to the control file for the current domain. In our example, this is set to `con-
877 trol_Bow_at_Banff.txt`. The script then copies the contents of this control file into a new
878 file called `control_active.txt`, which is the file every other workflow script will search for.
879 The variable `sourceFile` needs to be updated when a control file for a new domain is used.
880 Note that the contents of the file `control_active.txt` determine which folders and files the
881 other workflow scripts operate on.

882 *A21.3 Domain shapefiles* With a basic folder structure in place, the user can now
883 move their prepared shapefiles into the newly generated folders (assuming the control
884 file uses 'default' values for these shapefile paths). Briefly, the shapefiles should contain:
885 geometries that delineate the hydrologic model GRUs and HRUs, the routing model HRUs,
886 and the routing model river network in a regular latitude/longitude projection (in other
887 words, in the Coordinate Reference System defined by EPSG:4326; <https://epsg.io/4326> [last access, 2021-10-11]). Each shapefile needs to specify certain properties of the
888 model domain, such as identifiers for each GRU, HRU, and stream segment; HRU area
889 and centroid location, stream segment slope and length; and the stream segment ID into
890 which a given HRU drains.

892 Detailed requirements for the shapefiles are provided in the README in `./CWARHM/1_folder_prep`.
893 Example shapefiles for the `Bow_at_Banff` test case are part of the repository and can be
894 found in the subfolders of `./CWARHM/0_example`.

895 ***A22 Model-agnostic workflow elements***

896 This section provides details about the model-agnostic elements of the workflow
897 (shown in light grey in Figure A3). For convenience, this section is organized to follow
898 the four model-agnostic sub processes: pre-processing of forcing, elevation, soil, and land
899 use data.

900 *A22.1 Pre-processing of forcing data* Our chosen forcing product is the ERA5
901 reanalysis data set (Copernicus Climate Change Service (C3S), 2017; Hersbach et al.,
902 2020) provided by the European Centre for Medium-Range Weather Forecasts (ECMWF).
903 ERA5 data are available as hourly data for the period 1979 to present minus 5 days, at
904 a 31 km spatial grid that covers the Earth's surface or at a re-gridded 0.25° x 0.25° lat-
905 itude/longitude resolution. ERA5 data preparation includes two-way interactions between
906 atmosphere, land surface and ocean surface components. The ERA5 model setup includes
907 different atmospheric layers and ERA5 data are available at 137 different pressure lev-
908 els (i.e., heights above the surface), as well as at the surface. The lowest atmospheric level
909 is L137, at geopotential and geometric altitude 10 m (i.e., 10 m above the land surface).
910 To limit the influence of ECMWF's land model on our required forcing variables (sim-
911 ulating the land surface response is SUMMA's role after all), we obtain air temperature,
912 wind speed, and specific humidity at the lowest pressure level (Hersbach et al., 2017) in-
913 stead of at the land surface. Precipitation, downward shortwave radiation, downward

914 longwave radiation, and air pressure are unaffected by the land model coupling and can
 915 be downloaded at the surface level (Hersbach et al., 2018).

916 Surface and pressure level data are stored in two different data archives and are
 917 accessed in different ways. Download scripts for each separate archive are found in folder
 918 `./CWARHM/3a_forcing/1a_download_forcing`. These scripts access the C3S Climate Data
 919 Store (CDS) using the user’s credentials (instructions on how to obtain and store cre-
 920 dentials can be found in the README in the download folder) and download the nec-
 921 essary data in monthly blocks of hourly data at a regular $0.25^\circ \times 0.25^\circ$ latitude/longitude
 922 resolution. The spatial and temporal extents of the domain are taken from the control
 923 file. As per the ERA5 documentation, ERA5 data should be seen as point data, even
 924 though standard visualization approaches typically show this kind of data as an inter-
 925 polated grid. In our example workflow, we make the simple assumption that each ERA5
 926 point contains forcing data that are representative for the grid of size $0.25^\circ \times 0.25^\circ$ of which
 927 the grid point is the centroid. The workflow code automatically finds which ERA5 grid
 928 points to download based on the catchment bounding box specified in the control file (Fig-
 929 ure A5). Once downloaded, the code in `./CWARHM/3a_forcing/2_merge_forcing` can be
 930 used to merge the surface and pressure level downloads into a single netCDF file, which
 931 is used for further processing. During this merging process, the ERA5 variable names
 932 are also changed to more descriptive ones.

933 Gridded forcing data does not map directly onto irregular model elements such as
 934 HRUs. Code in `./CWARHM/3a_forcing/3_create_shapefile` generates a shapefile for the
 935 forcing data that outlines the forcing grid (dotted red lines in Figure A5), which is later
 936 used to find the relative contribution of each forcing grid cell to the forcing of each HRU.
 937 The elevation of each ERA5 grid point is added to this shapefile. Elevation data is later
 938 used to apply temperature lapse rates based on the difference in elevation of the ERA5
 939 data and mean HRU elevations. As per the ERA5 documentation, the elevation of each
 940 ERA5 data point is found by dividing the geopotential [$\text{m}^2 \text{s}^{-2}$] of each point (downloaded
 941 through scripts in `./CWARHM/3a_forcing/1b_download_geopotential`) by the gravitational
 942 acceleration [m s^{-2}].

943 Key assumptions in this part of the workflow are (1) that the user has access to
 944 the Copernicus Data Store. Instructions on how to obtain access are given in the README
 945 in folder `./CWARHM/3a_forcing`. (2) We consider that using forcing data that are the
 946 result of interaction between the atmospheric and land surface model components is un-
 947 desirable and hence somewhat limit this interaction by downloading certain variables at
 948 the lowest pressure level instead. (3) ERA5 data points are assumed to be representa-
 949 tive of grids of size $0.25^\circ \times 0.25^\circ$. (4) Gravitational acceleration is assumed to be con-
 950 stant at $g = 9.80665 \text{ [m s}^{-2}\text{]}$ (Tiesinga et al., 2019), although in reality this value would
 951 vary depending on latitude and altitude. (5) ERA5 variable names are changed to more
 952 descriptive ones that are also the names SUMMA expects these variables to have.

953 *A22.2 Pre-processing of geospatial parameter fields* Three different types of geospa-
 954 tial data are required for our example model setup. A Digital Elevation Model (DEM)
 955 provides the elevation of each HRU and is both a SUMMA input and required to apply
 956 temperature lapse rates as a preprocessing step. Maps of soil classes and vegetation classes
 957 are needed to utilize parameter lookup tables. These tables specify values for multiple
 958 parameters for a variety of soil and land classes. By knowing the soil or land class for
 959 a given HRU, SUMMA uses the predefined parameter values for those classes.

960 *Digital Elevation Model* We use the hydrologically adjusted elevations that are
 961 part of the MERIT Hydro dataset (Yamazaki et al., 2019) to determine HRU elevations.
 962 The MERIT Hydro hydrography maps cover the area between 90° North and 60° South
 963 at a spatial resolution of 3 arc-seconds. They are derived from the MERIT DEM (Yamazaki
 964 et al., 2017), which itself is the result of extensive error correction of the SRTM3 (Farr

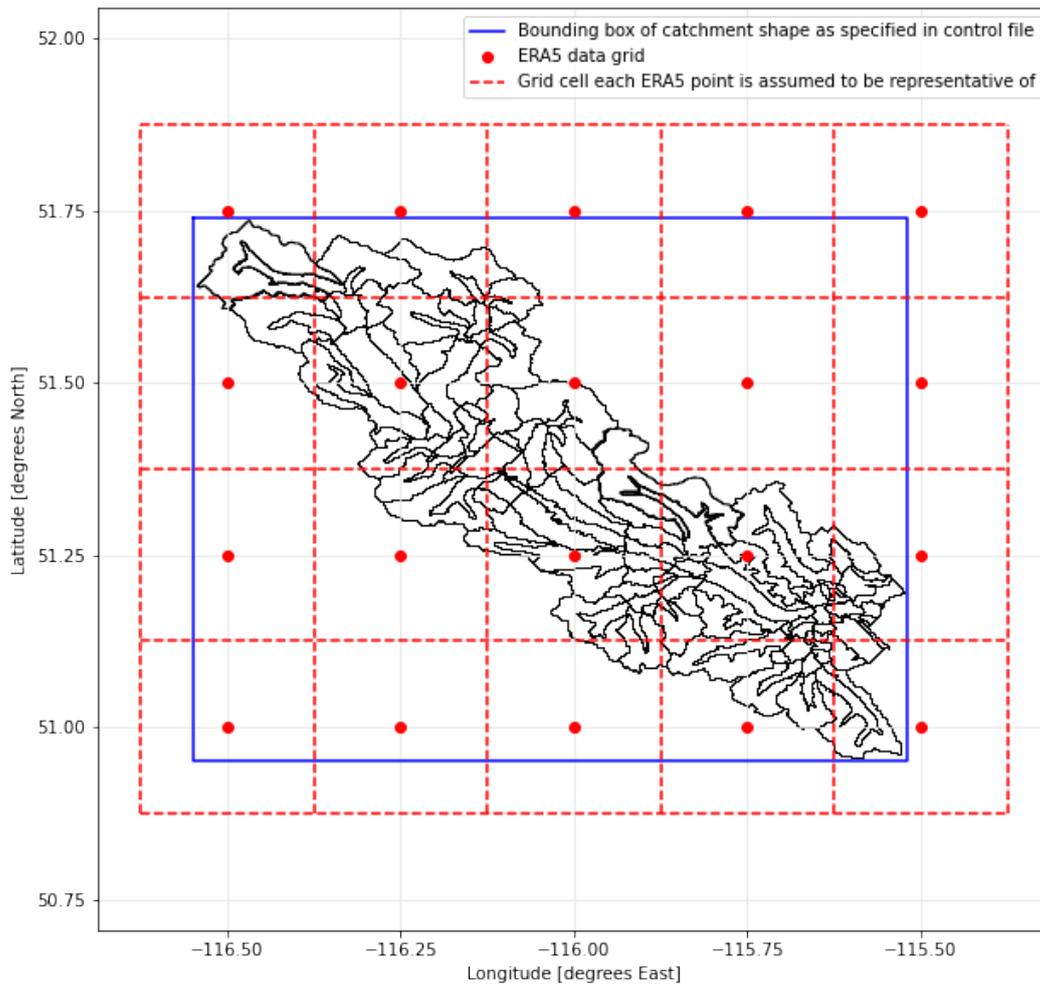


Figure A5. Overview of ERA5 data points, catchment and bounding box and how ERA5 data is assumed to overlap the catchment for the Bow at Banff test case.

965 et al., 2007) and AW3D-30m (Tadono et al., 2016) DEMs. Scripts can be found in the
 966 subdirectories of `./CWARHM/3b_parameters/MERIT_Hydro_DEM`.

967 MERIT Hydro data are provided as compressed data packages that cover $30^\circ \times 30^\circ$
 968 areas. Based on the spatial extent of the domain, as given in the control file, the required
 969 30° areas are downloaded in compressed format. Data are then uncompressed so that
 970 the individual GeoTIFF files are accessible. These files are first combined into a Virtual
 971 Dataset (VRT), from which the exact modeling domain is extracted into a new VRT.
 972 The VRT with the extracted subdomain is then converted into a single GeoTIFF file that
 973 contains the DEM for the modeling domain. A key assumption is that the user has access
 974 to the MERIT Hydro data. Instructions on how to obtain access are given in the
 975 README in folder `./CWARHM/3b_parameters/MERIT_Hydro_DEM`.

976 *Vegetation classes* We use MODIS MCD12Q1_V6 data (Friedl and Sulla-Menashe,
 977 2019) to determine land cover classes at the HRU level. MODIS MCD12Q1 data are avail-
 978 able for the years 2001 to 2018 at a 500 m resolution. The data set contains land cover
 979 classes for multiple different land cover classification schemes. Each data layer is the re-
 980 sult of supervised classification of MODIS reflectance data (Friedl & Sulla-Menashe, 2019).
 981 Scripts can be found in the subdirectories of `./CWARHM/3b_parameters/MODIS_MCD12Q1_V6`.

982 MODIS MCD12Q1 data is provided as multiple Hierarchical Data Format (HDF)
 983 files that each cover a part of the planet’s surface at a given time. The source data files
 984 are in a sinusoidal projection and of irregular shape which makes it difficult to extract
 985 a specific region. Therefore, the workflow downloads all available individual HDF files
 986 for each year (i.e., global coverage). The individual files for each data year are combined
 987 into one Virtual Dataset (VRT) per year for easier processing. Only the data layer of
 988 interest, the International Geosphere Biosphere Programme (IGBP) land cover classi-
 989 fication, is included in the VRT. The VRT is reprojected from its original sinusoidal pro-
 990 jection into a regular latitude/longitude grid (EPSG:4326) from which the modeling do-
 991 main is extracted. The annual VRTs are then combined into a single multi-band VRT,
 992 which is then converted to a multi-band GeoTIFF file. The MODIS documentation ad-
 993 vises against using the data of an individual year due to data uncertainty (Sulla-Menashe
 994 & Friedl, 2018). Therefore, the mode land class between 2001 and 2018 is identified as
 995 the most likely class for each pixel and stored as a new GeoTIFF file.

996 Key assumptions are (1) that the user has access to NASA’s Earth Data website.
 997 Instructions on how to obtain access are given in the README in folder `./CWARHM/3b_parameters/MODIS_MCD12Q1_V6`.
 998 (2) Our example uses the IGBP land cover classification data, which is one of multiple
 999 options available.

1000 *Soil classes* Our example uses a global map of soil texture classes (Knoben, 2021)
 1001 derived from the SoilGrids 250m dataset (Hengl et al., 2017) to specify representative
 1002 soil classes at the HRU level. The SoilGrids data are provided at a 250 m resolution and
 1003 at seven standard depths (up to 2 m depth). Data are the result of a combination of ap-
 1004 proximately 150,000 observed soil profiles, 158 remote sensing-based soil covariates, and
 1005 multiple machine learning methods. SoilGrids maps of sand, silt, and clay percentages
 1006 were converted to a soil texture map for each depth using the soil texture class bound-
 1007 aries of Benham et al. (2009). For each 250 m map point, the mode soil class of the seven
 1008 soil layers was selected as a representative value for the soil column as a whole, result-
 1009 ing in a single global map of soil texture classes. The pre-processing code needed to cre-
 1010 ate this map (data download, data merge into a coherent map, conversion from percent-
 1011 ages to soil texture, finding the mode of each soil column) is accessible as part of the data
 1012 resource (Knoben, 2021). Scripts can be found in the subdirectories of `./CWARHM/3b_parameters/SOILGRIDS`.

1013 The global soil texture class map is provided at the same horizontal resolution as
 1014 the underlying SoilGrids data. The workflow first downloads a map with global cover-

1015 age. The spatial extent of the modeling domain is extracted based on the bounding box
1016 specified in the control file and stored as a new GeoTIFF file.

1017 Key assumptions are (1) that the user has access to Hydroshare. Instructions on
1018 how to obtain access are given in the README in folder `./CWARHM/3b_parameters/SOILGRIDS`
1019 *and* (2) the global soil map used assumes that mode soil class in each soil column can
1020 be considered as the representative soil class for the entire soil column and that the soil
1021 properties (such as saturated conductivity and pore volume) for the mode class are rep-
1022 resentative of the properties of the column. This approach ignores the existence of lay-
1023 ered soil profiles and the differences in water movement this can cause (e.g., Vanderborght
1024 et al., 2005). This also assumes that the most common class contains the layers that are
1025 most hydrologically active and relevant for modeling purposes.

1026 ***A23 Mapping of data to model elements***

1027 This section provides details about the mapping of preprocessed forcing data onto
1028 model elements (shown in the intermediate grey shade in Figure A3). This process can-
1029 not be called truly model-agnostic because whether it is needed depends on the model
1030 in question: some models are able to ingest the pre-processed data directly.

1031 *A23.1 Geospatial parameter fields* In our example, geospatial data in the form
1032 of GeoTIFF files containing the DEM, land classes, and vegetation classes cannot be in-
1033 gested by the hydrologic model directly. The data must be mapped onto the model el-
1034 ements (HRUs) as delineated in the catchment’s shapefile. These procedures use the open-
1035 source QGIS project (QGIS Development Team, 2021) to provide the necessary Python
1036 functions (`./CWARHM/4b_remapping/1_topo`). Key assumptions are (1) that MERIT
1037 Hydrologically Adjusted Elevation data need to be aggregated into mean elevation val-
1038 ues per model element, whereas (2) soil and vegetation classes need to be aggregated into
1039 histograms that summarize the distribution of values per model element.

1040 *A23.2 Forcing data* Figure A6 shows the original gridded air temperature val-
1041 ues on an arbitrary day and the HRU-averaged values on that same day that are obtained
1042 by mapping the gridded forcing data onto the model elements. For each model element,
1043 the relative overlap with each ERA5 grid cell determines the weight with which that forc-
1044 ing grid cell contributes to the HRU-averaged value. This procedure is applied to all seven
1045 forcing variables and all time steps to generate HRU-averaged forcing (`./CWARHM/4b_remapping/2_forcing`).

1046 We then apply a constant environmental lapse rate of $0.0065 \text{ K}\cdot\text{m}^{-1}$ (Wallace & Hobbs,
1047 2006, p. 421) to the HRU-averaged air temperature data to account for any differences
1048 between ERA5 data point elevation and mean HRU elevation (Figure A7). To avoid ex-
1049 cessive data access, the SUMMA-specific variable `data_step` (which specifies the tempo-
1050 ral resolution of the forcing data in [s]) is added to each forcing file at the same time as
1051 lapse rates are applied.

1052 Key assumptions are that (1) a temporally and spatially constant lapse rate can
1053 be used. This is common in gridded analysis but typically not locally accurate (Minder
1054 et al., 2010). Local lapse rates may be very different from this assumed value, especially
1055 in complex terrain and at seasonal or hourly time scales (Cullen & Marshall, 2011; Min-
1056 der et al., 2010) . Regionally and temporally variable lapse rates are a possible way to
1057 improve this part of the workflow (e.g., Dutra et al., 2020) but doing so is beyond the
1058 scope of this study. (2) The influence of slope and aspect on radiation fluxes is currently
1059 not accounted for in forcing data preparation.

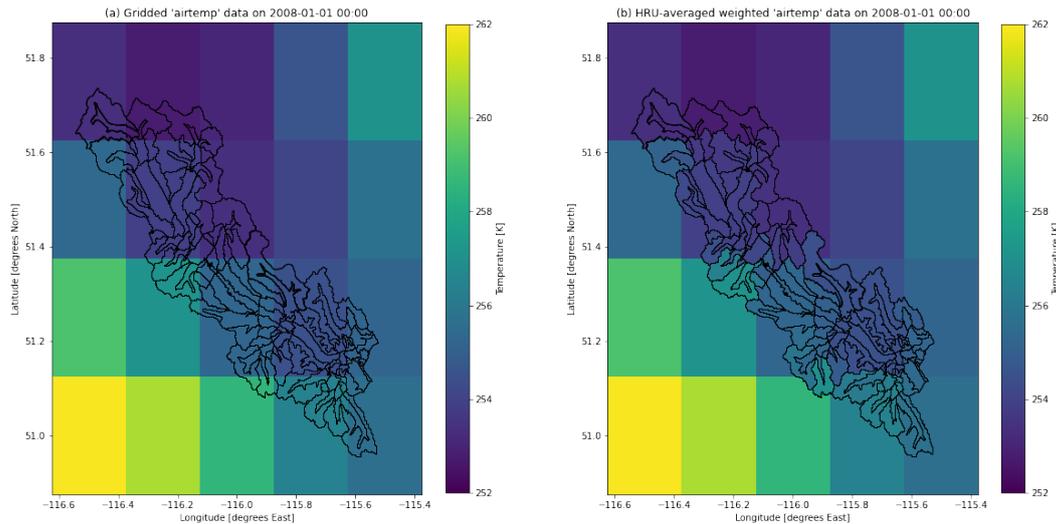


Figure A6. (a) Original gridded air temperature data as found in the ERA5 data. (b) HRU-averaged air temperature obtained as a weighted average of the relative contributions of each ERA5 grid cell to each HRU. Temperatures shown outside the catchment boundaries are the original gridded values.

1060

A24 Model-specific workflow elements

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This section provides details model-specific steps of the workflow (shown in dark grey in Figure 2). These steps form the interface between preprocessed data and models.

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A24.1 SUMMA and mizuRoute installation The source code for both SUMMA and mizuRoute can be obtained through GitHub (see Section A1). Scripts in `./CWARHM/2_install` provide code to download the latest version of both models to a local machine. Both models are written in Fortran and need to be compiled to create executables. The exact commands and settings needed will vary between different computational environments. The workflow contains examples of model compile code for a specific High Performance Computing environment.

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Key assumptions are as follows. (1) The user has determined the appropriate settings to compile both models on their own computational infrastructure and made the necessary changes to our provided example code. (2) Both scripts assume that the “develop” branch of each model is the version of interest. (3) A Linux or MacOS environment is recommended because compiling the SUMMA and mizuRoute source code requires a netCDF-Fortran library to be installed locally and this library is not supported on Windows yet. A basic alternative that avoids compiling the source code is to install pySUMMA and mizuRoute through Conda, but this provides pre-compiled executables only. Access to the source code is not possible and updates present on GitHub may not immediately appear in the pySUMMA Conda distribution.

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A24.2 Shapefile sorting to ensure expected order of model elements SUMMA makes certain assumptions about GRU and HRU order in its input files. These are: (1) GRUs and HRUs are in the same order if the forcing files and all SUMMA input files that contain information at the GRU and HRU level; and (2) HRUs inside a given GRU are found at subsequent indices in each NetCDF file. Note that these requirements do not specify anything about the values of the GRU and HRU IDs and only focus on the order in

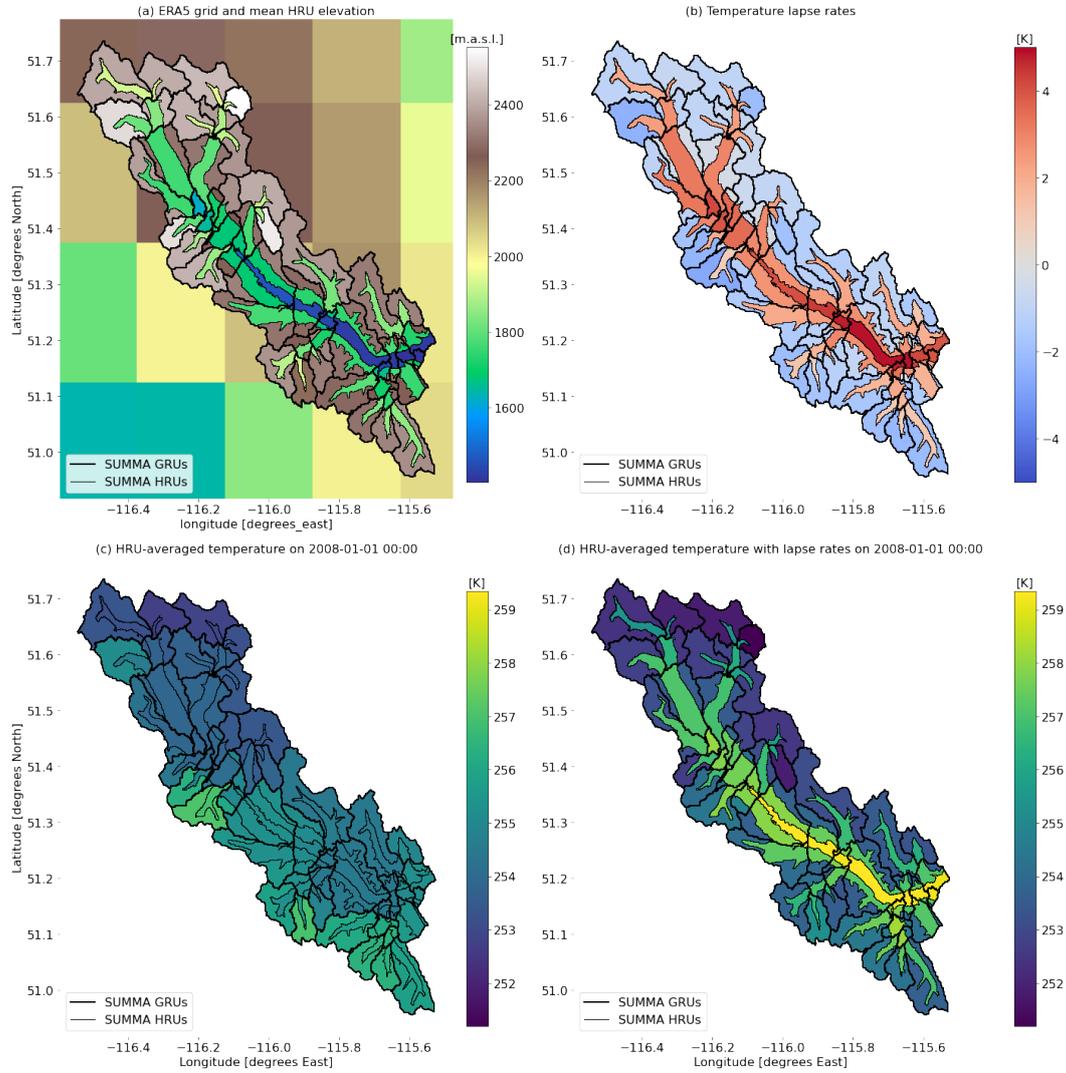


Figure A7. (a) HRU-averaged elevation derived from MERIT Hydro adjusted elevations data. ERA5 grid point elevation calculated from geopotential data and a spatially constant gravitational acceleration value, visualized as grid cells. (b) Temperature lapse values based on a constant lapse rate and a weighted difference between ERA5 grid point elevation and HRU mean elevation. (c) Air temperature data before lapse rates are applied. (d) Air temperature data after lapse rates are applied.

1087 which the IDs appear in files. The code in `./CWARHM/4a_sort_shape` sorts the shape-
 1088 file that contains the catchment delineation into GRUs and HRUs before this shapefile
 1089 is used by other scripts. This is more efficient than postponing this sorting until the SUMMA
 1090 input files are generated. A key assumption is that computational efficiency is an im-
 1091 portant consideration and therefore this model-specific requirement should be run be-
 1092 fore the (model-agnostic) remapping is performed.

1093 *A24.3 SUMMA input files* SUMMA requires several different configuration files:
 1094 (1) default parameter values at the GRU and HRU level; (2) *lookup tables* with prede-
 1095 fined soil and vegetation parameters for different soil and land classes; (3) a *model de-*
 1096 *isions* file that specifies which modeling decisions (e.g., the type of numerical solver)
 1097 and flux parametrizations to use; (4) an *output control* file that specifies which internal
 1098 model variables to write as model output, at which temporal resolution to do so and which,
 1099 if any, summary statistics to provide; (5) a *file manager* file that specifies the file paths
 1100 to all model inputs and outputs as well as the time period for the simulation; (6) a *forc-*
 1101 *ing file list* file that specifies the names of all meteorological forcing files to use; (7) a *trial*
 1102 *parameter* file that can be used to overrule any parameter value specified in the default
 1103 parameter files and in the lookup tables that can be helpful to quickly test different pa-
 1104 rameter values during e.g., calibration; (8) an *initial conditions* file that specifies the model
 1105 states at the beginning of the first time step; and (9) an *attribute* file that contains to-
 1106 pographic information such as elevation, soil type, and land use type at the HRU level.

1107 In our example setup, files with default parameter values, lookup tables, model de-
 1108 cisions, and requested outputs are provided as part of the repository. These files do not
 1109 require any information from the preprocessing steps for forcing data and geospatial pa-
 1110 rameter fields and can therefore simply be copied into the new SUMMA settings direc-
 1111 tory. The file manager and forcing file list are populated with information available in
 1112 the workflow control file. The workflow generates a trial parameter file that, for our test
 1113 cases, specifies a required value for only one parameter. This parameter controls the time
 1114 resolution of SUMMA’s simulations and is here specified as 900 seconds (i.e., four times
 1115 smaller than the 1-hourly forcing data resolution) to improve numerical convergence of
 1116 the model equations. The initial conditions file serves a dual purpose: it specifies the model
 1117 states at the start of the simulation and the vertical discretization of the soil domain into
 1118 discrete layers. In this example, SUMMA is initialized with eight soil layers of increas-
 1119 ing thickness (0.025 m for the top layer, 1.50 m for the bottom layer), without any snow
 1120 or ice present, with some soil and groundwater liquid water storage and at a constant
 1121 temperature of the soil, and canopy domains of 10°C. The attributes file is populated
 1122 with data from the user’s shapefiles (GRU and HRU IDs, HRU-to-GRU mapping, lon-
 1123 gitude and latitude, HRU area) and from the geospatial preprocessing steps. Figure A8
 1124 shows the original geospatial parameter fields that are the outcomes of our model-agnostic
 1125 preprocessing steps and how these are converted into model-specific values for SUMMA’s
 1126 attributes file. All scripts are available in the subdirectories of `./CWARHM/5_model_input/SUMMA`.

1127 Key assumptions are (1) that the HRU and GRU default parameter files, model
 1128 decisions and lookup table files are assumed to be sensible choices for the domain of in-
 1129 terest. In particular, the choice of ROSETTA lookup table for soil properties (NCAR
 1130 Research Applications Laboratory | RAL, 2021; U.S. Department of Agriculture: Agri-
 1131 cultural Research Service (USDA ARS), 2021) and the modified IGBP table for vege-
 1132 tation properties (NCAR Research Applications Laboratory | RAL, 2021) inform how
 1133 the geospatial data is preprocessed (i.e., which geospatial data sets are used and how they
 1134 are transformed). (2) Vertical discretization of domain is currently set at eight soil lay-
 1135 ers with increasing thickness with depth. (3) Initial conditions are dry and warm, and
 1136 there is no snow and ice present in the domain. (3) Model decisions relying on *contourLength*
 1137 and *tan_slope* attributes are not supported (currently this is the baseflow model decision
 1138 *qbaseTopmodel*, as well as certain radiation calculations that account for slope inclina-

1139 tion). Attribute variable *downHRUindex* is only used if decision *qbaseTopmodel* is ac-
 1140 tive and is therefore set to zero.

1141 *A24.4 mizuRoute input files* mizuRoute requires several configuration files: (1)
 1142 a *default parameter* file that has values for its different routing schemes; (2) a *network*
 1143 *topology* file that contains a description of the river network and its properties; (3) op-
 1144 tionally, a *remapping* file that shows how output from a hydrologic model should be mapped
 1145 onto mizuRoute’s routing network; and (4) a *mizuRoute.control* file that specifies the nec-
 1146 essary file paths and routing settings. In our example setup, a default routing param-
 1147 eter file is provided as part of the repository. This file does not require any information
 1148 from the preprocessing steps for forcing data and geospatial parameter fields and can there-
 1149 fore simply be copied into the new mizuRoute settings directory. The network topology
 1150 file contains a description of the routing basins and their associated stream segments.
 1151 It specifies which basins and segments exist, which segment each basin drains into and
 1152 physical properties of the domain such as drainage area, segment length and segment
 1153 slope. The optional remapping file only needs to be used in cases where the hydrologic
 1154 model operates on model elements that do not map directly onto mizuRoute’s routing
 1155 basins. In such a case the remapping file specifies the weight with which each hydrologic
 1156 catchment contributes flow to each routing basin. The *mizuRoute.control* file is popu-
 1157 lated with information available in the workflow control file. All scripts are available in
 1158 the subdirectories of *./CWARHM/5_model.input/mizuRoute*.

1159 Key assumptions are (1) that the provided routing parameter values are appropri-
 1160 ate for the domain and (2) Hillslope routing (i.e., routing between different SUMMA HRUs
 1161 inside a given SUMMA GRU) is performed by SUMMA. mizuRoute is configured to do
 1162 the river network routing between different SUMMA GRUs.

1163 *A24.5 Model runs* Model runs use the compiled SUMMA and mizuRoute ex-
 1164 ecutables to perform simulations using the inputs and settings defined in their respec-
 1165 tive configuration files (*./CWARHM/6_model.runs*). As part of the model run scripts,
 1166 model configuration files are copied into the simulations output directories. This ensures
 1167 traceability of the simulations by keeping a record of the settings used to generate the
 1168 simulations.

1169 ***A25 Post-processing***

1170 Post-processing of model results in this example is limited to the code needed to
 1171 generate the modeling domain figure in this manuscript (*./CWARHM/7_visualization*).
 1172 Further visualization code may be added over time, as such code is created for specific
 1173 experiments.

1174 **Appendix B Note on data accuracy**

1175 Our example workflow uses ERA5 forcing data, MERIT Hydro DEM, SOILGRIDS-
 1176 derived soil texture classes, and MODIS IGBP land classes for their global coverage. This
 1177 enables global applications of the workflow. Such global datasets are based on a com-
 1178 bination of observations and geospatial data processing methods to estimate data val-
 1179 ues for locations where no observations are available. These approaches may need to sac-
 1180 rifice local information content for global coverage and are not always able to utilize the
 1181 most accurate local data available.

1182 ERA5 is a reanalysis product from a data assimilating numerical weather predic-
 1183 tion model. ERA5 precipitation estimates compare favorably to other global products
 1184 at a daily resolution (Beck et al., 2019) but are typically not as accurate as local gauge
 1185 or radar-based observations, especially in regions with complex topography (e.g., Am-
 1186 jad et al., 2020; Q. Jiang et al., 2021; Tang et al., 2020; Xu et al., 2019). H. Jiang et al.

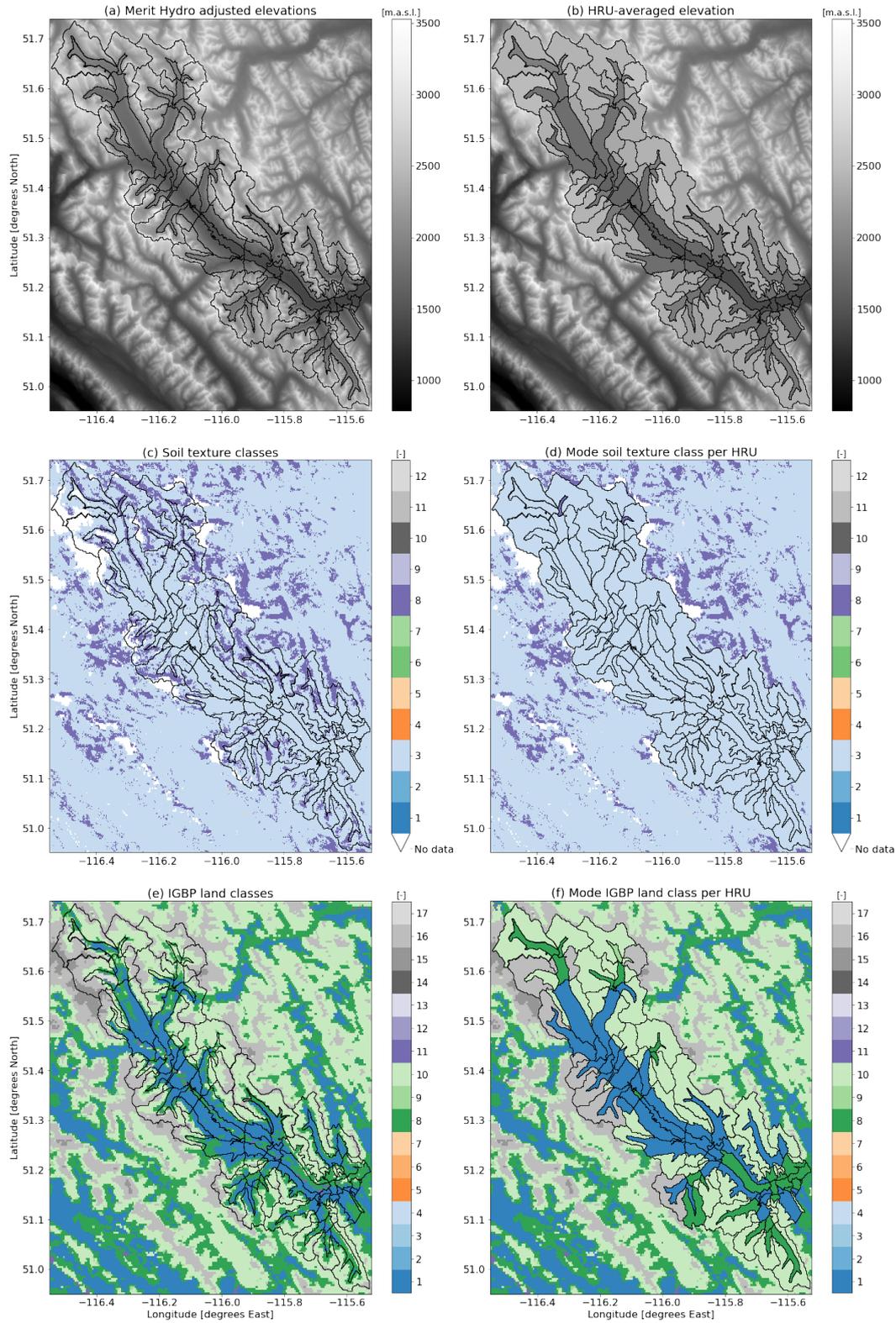


Figure A8. Mapping of geospatial parameter fields onto model elements. (a, b) MERIT Hydro adjusted elevations DEM source data and the mean elevation per HRU. (c, d) Soil texture classes derived from SOILGRIDS sand, silt and clay percentages and the most common class per HRU. (e, f) IGBP land classes from MODIS data and the most common class per HRU.

1187 (2020) show a similar reduced accuracy of ERA5 compared to station observations for
1188 direct and diffuse solar radiation estimates. Less is known about the accuracy of the re-
1189 maining ERA5 forcing variables used in our workflow, and it is possible that the rela-
1190 tively coarse resolution of ERA5 data means that these variables may not be as accu-
1191 rate as local products.

1192 The MERIT Hydro hydrologically adjusted elevation dataset (Yamazaki et al., 2019)
1193 is based on the MERIT DEM (Yamazaki et al., 2017), which itself is the result of ap-
1194 plying an error-removal algorithm to existing space-borne DEMs. It is available glob-
1195 ally at approximately 90 m spatial resolution. The MERIT Hydro data represent an ad-
1196 vance over earlier products such as HydroSheds (Lehner et al., 2008), especially at higher
1197 latitudes, but some uncertainty in the produced hydrography data remains in regions
1198 with low topographic variation, with endorheic basins, with seasonally varying connec-
1199 tivity, and with channel bifurcations. The MERIT Hydro hydrologically adjusted ele-
1200 vations are a modification of the MERIT DEM that satisfies the condition “downstream
1201 pixels are not higher than upstream pixels”. This procedure relies on a combination of
1202 correctly identifying endorheic basins, connections between sub-basins, and adjusting pixel
1203 elevations to create continuous flow paths. It is unknown to what extent this procedure
1204 affects the mean catchment elevation we derive from the hydrologically adjusted eleva-
1205 tion. It is plausible that mean catchment elevations derived from this data will be less
1206 accurate in regions with rapidly varying topography, where catchment slopes are steep
1207 compared to the MERIT Hydro resolution.

1208 The SoilGrids database uses observations of approximately 150,000 soil profiles, pseudo-
1209 observations that encode expert knowledge in a similar way to actual observed soil pro-
1210 files, and machine learning to provide global estimates of various soil properties at a 250
1211 m resolution. Ten-fold cross-validation of the resulting sand, silt, and clay percentage
1212 data used in our workflow shows that this approach explains approximately 75% of the
1213 variation in these soil properties. There is no systematic over or under prediction of these
1214 properties, but large differences between estimates and observations exist nonetheless
1215 in certain cases (Hengl et al., 2017).

1216 MODIS MCD12Q1_v6 data uses a combination of random forests, bias and error
1217 correction based on ancillary data, and a hidden Markov Model approach to convert pre-
1218 processed satellite reflectance imagery into land cover classification categories. Ten-fold
1219 cross-validation of the resulting classification indicates that the IGBP classes used in our
1220 workflow are accurate in approximately two-thirds of cases. Misclassifications tend to
1221 occur in regions that contain substantial land cover variability at scales smaller than the
1222 500 m MODIS resolution is provided at and along climatic gradients where the cover type
1223 changes gradually (Sulla-Menashe et al., 2019).

1224 We therefore recommend that users replace our chosen global data products with
1225 more appropriate local data if such data are available and the project scope lies within
1226 the data domain. Due to the modular nature of the workflow, this replacement requires
1227 only minimal changes to the model configuration code. In terms of Figure 7, incorpo-
1228 rating a different data set would require a new data-specific pre-processing module for
1229 which our existing workflow can serve as a guide. We emphasize that this workflow is
1230 intended to provide a baseline configuration upon which a user can improve. Our work-
1231 flow does not contain any elements that compare the resulting simulations to observa-
1232 tions to ascertain the quality of these simulations. A model setup generated through this
1233 workflow should thus not be assumed to be fit for a given purpose, unless shown to be
1234 so by the user’s own model evaluation procedures.

1235 **Open Research**

1236 The latest version of the workflow code presented in this study is available on [https://](https://github.com/CH-Earth/CWARHM)
 1237 github.com/CH-Earth/CWARHM with the specific version used to generate Figures 4, 5,
 1238 6, A1, A5-A8 via DOI, accessible under GNU GPL v3.0. **Note to reviewers: we in-**
 1239 **tend to mint a DOI once the peer review process is complete**

1240 The SUMMA (Clark et al., 2015a, 2015b; Clark, Zolfaghari, et al., 2021) versions
 1241 used for simulations in this paper can be identified by Git commit ID `edd328c8c2e7b81c3b222d4c7d2544769036fd4`
 1242 (global domain excluding North America) and Git commit ID `3d17543db618cb5b9c7600d6d0de658943056c93`
 1243 (North America domain and Bow at Banff domain). Source code accessible on [https://](https://github.com/CH-Earth/summa)
 1244 github.com/CH-Earth/summa under the GNU GPLv3 license.

1245 The mizuRoute (Mizukami et al., 2016, 2021) version used for simulations in this
 1246 paper can be identified by Git commit ID `137820620f624f84f8cdb1d4e9884b8222a3f3df`
 1247 (global domain excluding North America), Git commit ID `c2de53d242fc41b94c48119d23b78da1f35719ee`
 1248 (North America domain) and Git commit ID `d43066b56a7361f3d4a9c7b07264d7d52a9686f1`
 1249 (Bow at Banff domain). Source code accessible on <https://github.com/ESCOMP/mizuRoute>
 1250 under the GNU GPLv3 license.

1251 The single level ERA5 data (Hersbach et al., 2018) used as meteorological model
 1252 input data are available at the Copernicus Climate Change Service (C3S) Climate Data
 1253 Store (CDS) via <https://dx.doi.org/10.24381/cds.adbb2d47> under the *Licence to*
 1254 *use Copernicus Products* ([https://cds.climate.copernicus.eu/api/v2/terms/static/](https://cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products.pdf)
 1255 [licence-to-use-copernicus-products.pdf](https://cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products.pdf); last access 2021-11-04).

1256 The pressure level ERA5 data (Hersbach et al., 2017) used as meteorological model
 1257 input data are available at the Copernicus Climate Change Service (C3S) Climate Data
 1258 Store (CDS) via MARS request (no DOI) under *Licence to use Copernicus Products* ([https://](https://cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products.pdf)
 1259 [cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products](https://cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products.pdf)
 1260 [.pdf](https://cds.climate.copernicus.eu/api/v2/terms/static/licence-to-use-copernicus-products.pdf); last access 2021-11-04). Data downloaded on 2021-04-17 for the Bow at Banff test
 1261 case; between 2020-11-14 and 2020-12-23 for the North America test case; and on 2021-
 1262 06-20 for the global test case.

1263 The MERIT Hydro Hydrologically Adjusted Elevations (Yamazaki et al., 2019) used
 1264 as Digital Elevation Model to determine mean catchment elevations is available at [http://](http://hydro.iis.u-tokyo.ac.jp/~yamadai/MERIT_Hydro/)
 1265 hydro.iis.u-tokyo.ac.jp/~yamadai/MERIT_Hydro/ (last webpage access on 2021-11-
 1266 04) as version v1.0.1 (no DOI available; data downloaded on 2021-04-17 for the Bow at
 1267 Banff test case; on 2021-05-15 for the North America test case; between 2022-06-03 and
 1268 2022-07-02 for the global test case), accessible under CC-BY-NC 4.0 or ODbL 1.0.

1269 The MODIS MCD12Q1 V6 data (Friedl & Sulla-Menashe, 2019; Sulla-Menashe &
 1270 Friedl, 2018; Sulla-Menashe et al., 2019) used to find a representative IGBP land cover
 1271 class for each model element is available at the NASA EOSDIS Land Processes DAAC
 1272 via <https://dx.doi.org/10.5067/MODIS/MCD12Q1.006>, with no restrictions on reuse,
 1273 sale or redistribution.

1274 The Global USDA-NRCS soil texture class map (Knoben, 2021) derived from the
 1275 Soilgrids250m data set (Hengl et al., 2017) and used to find a representative USGS soil
 1276 type class for each model element is available as a Hydroshare resource via [https://dx](https://dx.doi.org/10.4211/hs.1361509511e44adfba814f6950c6e742)
 1277 [.doi.org/10.4211/hs.1361509511e44adfba814f6950c6e742](https://dx.doi.org/10.4211/hs.1361509511e44adfba814f6950c6e742), under ODbL v1.0.

1278 The shapefiles that contain the catchment delineations for all test cases are derived
 1279 from the MERIT Hydro basins data set (Lin et al., 2019), which is originally made avail-
 1280 able for research purposes on http://hydrology.princeton.edu/data/mpan/MERIT_Basins/.
 1281 The basin discretization and river network files for the Bow at Banff test case are a sub-
 1282 set of the original files, with the original basins further discretized into elevation bands.
 1283 The Bow at Banff shapefiles are provided as part of the workflow repository. For the global

1284 test case the original MERIT Hydro basin and hillslope files were merged into a single
 1285 shapefile per continent, as were the separate river network files. For the continental test
 1286 case the original MERIT Hydro basin and hillslope files were merged into a single shape-
 1287 file per continent, and updated to correct any invalid geometries in basin polygons and
 1288 to separate coastal hillslope polygons into two separate polygons if the original polygon
 1289 was intersected by a river segment. The separate river network files were merged into
 1290 a single file as well. The shapefiles that contain the catchment delineation and river net-
 1291 work for the global and continental test cases are available as a Hydroshare resource (Knoben
 1292 et al., 2022) via <https://dx.doi.org/10.4211/hs.46d980a71d2c4365aa290dc1bfdac823>,
 1293 under CC BY-NC-SA.

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