

30 Abstract (229 words / up to 250 words)

31 The Pacific Arctic region is characterized by seasonal sea-ice, the spatial extent and
32 duration of which varies considerably. In this region, diatoms are the dominant phytoplankton
33 group during spring and summer. To facilitate survival during periods that are less favorable for
34 growth, many diatom species produce resting stages that settle to the seafloor and can serve as a
35 potential inoculum for subsequent blooms. Since diatom assemblage composition is closely
36 related to sea-ice dynamics, detailed studies of biophysical interactions are fundamental to
37 understanding the lower trophic levels of ecosystems in the Pacific Arctic. One way to explore
38 this relationship is by comparing the distribution and abundance of diatom resting stages with
39 patterns of sea-ice coverage. In this study, we quantified viable diatom resting stages in
40 sediments collected during summer and autumn 2018 and explored their relationship to sea-ice
41 extent during the previous winter and spring. Diatom assemblages were clearly dependent on the
42 variable timing of the sea-ice retreat and accompanying light conditions. In areas where sea-ice
43 retreated earlier, open-water species such as *Chaetoceros* spp. and *Thalassiosira* spp. were
44 abundant. In contrast, proportional abundances of *Attheya* spp. and pennate diatom species that
45 are commonly observed in sea-ice were higher in areas where diatoms experienced higher light
46 levels and longer day length in/under the sea-ice. This study demonstrates that sea-ice dynamics
47 are an important determinant of diatom species composition and distribution in the Pacific Arctic
48 region.

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50 Plain Language Summary (197 words / up to 200 words)

51 The Pacific Arctic region is characterized by seasonal sea-ice, and there is considerable
52 interannual variation in the timing and quality of ice presence. In this region, diatoms are the
53 dominant phytoplankton group during spring and summer. Under conditions unfavorable for
54 growth, such as low light or limiting nutrients, many diatom species produce resting stages that
55 are similar to “seeds” of plants. These resting stages settle to the seafloor and can reflect the
56 diatom assemblages in the overlying water column. Since diatom species distribution is closely
57 related to sea-ice dynamics, detailed studies of this relationship are fundamental to understanding
58 the basis of marine ecosystems in the Pacific Arctic region. In this study, we explored the
59 relationship by comparing the distribution of diatom resting stage assemblages with patterns of
60 sea-ice coverage. Diatom assemblages detected in sediments were dependent on the variable
61 timing of the sea-ice retreat and accompanying light conditions. In areas where sea-ice retreated
62 earlier, open-water species were abundant, while proportional abundances of ice-associated
63 diatoms were higher in areas where diatoms experience favorable light conditions in/under the
64 sea-ice. This study demonstrates that sea-ice dynamics are an important determinant of diatom
65 composition in the Pacific Arctic region.

66

67 **1 Introduction**

68 The Pacific Arctic region extends from the northern Bering Sea to the Chukchi and
69 Beaufort Seas. Within this region, the northern Bering and Chukchi Seas display among the
70 highest daily rates of productivity in the world (Springer et al., 1996). Phytoplankton are
71 responsible for high primary productivity in the euphotic layer and delivery of particulate
72 organic carbon (POC) to the benthos. The sinking POC flux measured in 2018 in the northern
73 Bering and Chukchi Seas was the among highest ever documented across global oceans (O'Daly
74 et al., 2020). This POC supports patchy distributions of high benthic biomass known as “benthic
75 hotspots” (Grebmeier et al., 1988, 2006). In contrast, primary productivity in the southwestern
76 Beaufort Sea is low (Frost & Lowry, 1984).

77 In the Arctic Ocean, ice algae production occurs in and under the sea ice, and is followed
78 by phytoplankton blooms during the summer retreat of sea-ice (Horner, 1984; Horner &
79 Schrader, 1982). Mean daily water column integrated primary productivity in the southwestern
80 Beaufort Sea is about half of that of the Chukchi Sea, even during peak periods in June and July
81 (Hill et al., 2018). Overall, annual primary production is much higher in the Chukchi shelf than
82 on the Beaufort shelf (Grebmeier et al., 2006).

83 The Pacific Arctic region is characterized by the presence of seasonal sea-ice, which
84 varies considerably in extent and duration from year to year. The extent of sea-ice has been
85 shown to influence regional phytoplankton assemblages (Neeley et al., 2018), but this
86 relationship is not fully understood. Sea-ice decline has been reported in the region (Frey et al.,
87 2018; Grebmeier et al., 2015; Markus et al., 2009), and Arrigo et al. (2008) used satellite
88 observations to show that this decline was associated with increasing annual primary production.
89 However, changes in phytoplankton assemblages and particularly in ice-associated assemblages,
90 cannot be evaluated by satellite observations only, necessitating field-based studies to examine
91 the structure of these communities in more detail.

92 Phytoplankton assemblages during spring and summer blooms in the Pacific Arctic
93 region are dominated by diatoms (von Quillfeldt, 2000; Sergeeva et al., 2010), which drive
94 sinking POC flux (Lalande et al., 2020). Some diatom genera, *Chaetoceros* spp. and
95 *Thalassiosira* spp., are known to form dense blooms in this region (von Quillfeldt, 2000;
96 Sergeeva et al., 2010). In particular, *C. socialis* s.l. can constitute more than 90% of
97 phytoplankton assemblages during blooms in the northern Bering and Chukchi Seas (Sergeeva et

98 al., 2010). The centric diatoms *Attheya* spp. are reported to be present in the sea-ice of the Arctic
99 (Campbell et al., 2018; Melnikov et al., 2002; von Quillfeldt et al., 2003; Szymanski &
100 Gradinger, 2016; Werner et al., 2007) and can comprise over 60% of diatom abundance in the
101 sea-ice during spring (Campbell et al., 2018). Pennate diatoms are also known to constitute a
102 large proportion of the sea-ice algal community (von Quillfeldt et al., 2003; Szymanski &
103 Gradinger, 2016).

104 Many diatom species form resting stages under unfavorable growth conditions such as
105 nutrient limitation (Durbin, 1978; Garrison, 1984; McQuoid & Hobson, 1996; Smetacek, 1985),
106 Fe limitation (Sugie & Kuma, 2008) and low light conditions (McQuoid & Hobson, 1996). High
107 cell concentrations in water column assemblages can also induce formation of resting stages
108 (Pelusi et al., 2020). Resting stages that sink to and accumulate in bottom sediments can
109 germinate and resume growth in response to favorable light levels (Hollibaugh et al., 1981). The
110 ability to form resting stages is thus an important life cycle strategy for survival under low
111 temperature and light conditions during winter in seasonal sea-ice areas (Tsukazaki et al., 2013,
112 2018).

113 The distribution of diatom resting stage assemblages in sediments is thought to reflect
114 the extent and magnitude of past blooms (Itakura et al., 1997; Pitcher, 1990) and can be used to
115 investigate determinants of community structure and bloom dynamics. For example, in the
116 northern Bering Sea, analysis of the diatom resting stages in sediments showed that diatom
117 assemblages in early spring were dependent upon the timing of the sea-ice retreat (TSR): ice-
118 associated diatoms were abundant in 2017 when the sea-ice remained until early April, but open-
119 water diatoms dominated in 2018 when the TSR was approximately two weeks earlier than the
120 previous year (Fukai et al., 2019).

121 In this study, we enumerated viable diatom resting stages in sediments collected in a
122 broad area across the Pacific Arctic region, from the northern Bering Sea to the Chukchi Sea and
123 the southwestern Beaufort Sea. We describe the features of diatom resting stage assemblages
124 over these regions, and discuss two hypotheses: 1) the concentrations of diatom resting stage
125 assemblages are correlated with primary production in the water column, and 2) the extent and
126 duration of sea-ice during the previous winter and spring determines community structure of
127 diatom resting stage assemblages. In addition, we discuss how observed variations in diatom

128 assemblages may impact organisms at higher trophic levels that rely on diatoms as an important
129 food source.

130

131 **2 Materials and Methods**

132 2.1 Sea-ice, primary production and daylight hours

133 To evaluate the sea-ice extent in each region, the Advanced Microwave Scanning
134 Radiometer 2 (AMSR2) standard sea-ice concentration (SIC) product was obtained from the
135 Japan Aerospace Exploration Agency (JAXA) web portal (<https://gportal.jaxa.jp/gpr/>) at a 10-
136 km resolution. The TSR was defined as the last day when the SIC fell below 20% prior to the
137 observed annual sea-ice minimum across the study region during summer. Here, we used the SIC
138 data after calculating a 5-day moving average.

139 To obtain a continuous primary production time-series, we used Level-3 standard mapped
140 image (9-km resolution) of Aqua-MODIS data downloaded as spectral remote sensing
141 reflectance (R_{rs}) and daily photosynthetically available radiation (PAR) from the Goddard Space
142 Flight Centre/Distributed Active Archive Centre, NASA. The absorption coefficient for 443 nm
143 ($a_{ph}(443)$) and euphotic zone depth (Z_{eu}) were computed from $R_{rs}(\lambda)$ using Quasi-Analytical
144 Algorithm (QAA) version 5 (Lee et al., 2007, 2009) and daylength (DL) for the study area
145 calculated according to Brock (1981). We then computed the daily euphotic-depth-integrated
146 primary production (PP_{eu}) using $a_{ph}(443)$, Z_{eu} , PAR, and DL as inputs to an absorption-based
147 productivity model (ABPM, (Hirawake et al., 2012)). Missing values in $a_{ph}(443)$ and Z_{eu} due to
148 cloud cover were interpolated using their annual medians and hence PP_{eu} was derived for the
149 cloud-covered pixels. From these values we calculated cumulative PP_{eu} (IP_{eu}) from TSR to the
150 date of the *in situ* sediment sampling was conducted for each shipboard observation site.

151 2.2 Sampling

152 Sediment sampling was conducted in the shallow Pacific Arctic region at stations ranging
153 from 24–194 m bottom depths (the northern Bering Sea, Chukchi Sea and the southwestern
154 Beaufort Sea; Fig. 1, Table 1) from 2–12 July 2018 aboard T/S *Oshoro-Maru* of Hokkaido
155 University, and from 9–23 August 2018 and 30 October to 15 November 2018 aboard the U.S.
156 Coast Guard icebreaker *Healy* (*HLY 1801* and *HLY 1803*, respectively) (Fig. 2 (a)). Sediment
157 samples were collected using a multiple corer (*Oshoro-Maru* cruise), a Van Veen Grab sampler,

158 or a HAPS core sampler (*Healy* cruises) at each station. A portion of the 0–1 cm of each
159 sediment core was extruded and stored in darkness at 5°C for *Oshoro-Maru* samples, and for
160 *Healy* samples, a portion of the 0–3 cm layer was collected from the grab or the core and stored
161 in air-tight amber jars at 1–4°C. The sediment samples were stored for more than one month in
162 order to eliminate vegetative cells. Since the main driver of diatom resting stage formation is
163 considered to be nitrogen depletion (McQuoid & Hobson, 1996), most resting stages are thought
164 to form in the water column rather than near the benthos, as nutrient concentrations are higher
165 near the seafloor.

166 2.3 Quantification of diatom resting stages

167 The abundance of viable resting stages of diatoms in the sediment samples was analyzed
168 using the most probable number (MPN) method (Imai et al., 1984, 1990). Homogenized wet
169 sediment samples were suspended in Whatman GF/F filtered sterile seawater at a concentration
170 of 0.1 g mL⁻¹ (=10⁰ dilution), and the subsequent serial tenfold dilutions (10⁻¹ to 10⁻⁶) were made
171 with modified SWM-3 medium (Table 2) (Chen et al., 1969; Itoh & Imai, 1987). Then 1 mL
172 aliquots of diluted suspensions were inoculated into five replicate wells of disposable tissue
173 culture plates (48 wells). Incubation was carried out at a temperature of 5°C and under white
174 fluorescent light of 50 or 116 μmol photons m⁻² s⁻¹ with a 14 h light:10 h dark photocycle for 10
175 days. The appearance of vegetative cells of planktonic diatoms in each well was examined using
176 an inverted optical microscope. The most probable number (MPN for a series of 5 tenfold
177 dilutions) of diatoms of each species in the sediment sample (MPN cells g⁻¹ wet sediment) was
178 then calculated according to the statistical table by Throndsen (1978). Since we observed wells
179 with 10⁻²–10⁻⁶ dilutions, the detectable cell numbers by the MPN method were from 1.8 × 10² to
180 2.4 × 10⁷ MPN cells g⁻¹ for each species. Note that we used the dataset of Fukai et al. (2019) for
181 the *Oshoro-Maru* expedition.

182 2.4 Statistical analyses

183 The diatom resting stage communities were distinguished by cluster analysis. To
184 reduce the bias for abundant species, the cell concentration data (X: MPN cells g⁻¹ wet sediment)
185 for each species were transformed to $\sqrt[4]{X}$ prior to cluster analysis (Quinn & Keough, 2002).
186 Dissimilarities between samples were examined using the Bray-Curtis index based on the
187 differences in the species composition. To group the samples, the dissimilarity indices were

188 coupled using hierarchical agglomerative clustering with a complete linkage method (an
189 unweighted pair group method using the arithmetic mean). A Mann-Whitney *U*-test was
190 conducted to evaluate environmental factors (the TSR, IP_{eu} , and the growth period of ice-
191 associated assemblages (GP)) between the distinguished groups. The GP was defined as the
192 integrated daylength during the periods with SIC > 20% after the daylight exceeded 10 hours, as
193 Gilstad and Sakshaug (1990) indicated that ice-associated assemblages could increase their
194 growth rate when daylight hours exceeded 10 h.

195 We defined the open-water assemblages as the community with centric diatoms,
196 excluding *Attheya* spp., and the ice-associated assemblages as the community with pennate
197 diatoms and *Attheya* spp., as *Attheya* spp. and pennate diatoms are often reported to be present in
198 the sea-ice (e.g. Campbell et al., 2018; Melnikov et al., 2002; von Quillfeldt et al., 2003;
199 Szymanski & Gradinger, 2016; Werner et al., 2007). Based on this definition, we analyzed the
200 relationships of ice-associated assemblages with the TSR and the GP using Spearman's rank
201 correlation coefficient.

202 All statistical analyses were conducted using R software (version 3.6.1, R Development
203 Core Team, 2019).

204

205 **3 Results**

206 3.1 Sea-ice and primary production

207 The TSR was different among regions (Table 1). The sea-ice retreated from south to
208 north in the northern Bering and the Chukchi Seas, and from west to east in the southwestern
209 Beaufort Sea (Fig. 1).

210 The IP_{eu} had a regional feature in which high values were observed in the
211 southern Chukchi Sea and low values in the southwestern Beaufort Sea (Table 1, Fig. 2 (b)).

212 3.2 Diatom concentrations and species composition

213 The viable diatom resting stages determined by the MPN method ranged over four orders
214 of magnitude, from 1.2×10^3 to 6.1×10^7 MPN cells g^{-1} wet sediment (Fig. 3). Highest
215 concentrations were found to the south of St. Lawrence Island (3.4×10^6 – 6.1×10^7 MPN cells g^{-1}
216 wet sediment). In the Chirikov Basin, which extends northwards from St. Lawrence Island to
217 the Bering Strait (DBO2-1, DBO2-4, OS14, OS19, OS20, OS22), diatom concentrations were

218 relatively high (2.8×10^5 – 3.0×10^6 MPN cells g^{-1} wet sediments). Diatom concentrations near
219 Utqiagvik (DBO5-10) were also relatively high (1.2×10^6 MPN cells g^{-1} wet sediments). In
220 contrast, cell concentrations were lower in samples from the coastal region of the southwestern
221 Beaufort Sea (DBO6-5, PRW-7, PRB-4, PRB-7, KTO-5, MCK-1, MCK-2, MCK-3, MCK-4)
222 (1.2×10^3 – 7.8×10^3 MPN cells g^{-1} wet sediments). Nineteen genera and twenty species were
223 observed over the study region - 12 genera and 14 species of centric diatoms and 7 genera and 6
224 species of pennate diatoms. Centric diatoms were dominant at almost all stations, although
225 dominant species varied geographically; proportional abundance of *Chaetoceros* spp. and
226 *Thalassiosira* spp. were found in samples collected from the northern Bering Sea and Chukchi
227 Sea, whereas *Attheya* spp. were highest in the southwestern Beaufort Sea (Fig. 4). Pennate
228 diatoms comprised over 50% of the diatom assemblages at some stations (DBO4-4, MCK-1,
229 MCK-2, MCK-3), with highest proportional abundance found in samples from the southwestern
230 Beaufort coastal region (Fig. 4). Total cell concentration in sediments were positively correlated
231 with the cell concentrations of *Chaetoceros* spp. and *Thalassiosira* spp. (Spearman, $\rho = 0.97$, $p <$
232 0.05) (Fig. 5).

233 In order to test for seasonal effects, diatom assemblages were compared over time in
234 stations in the northern Bering Sea and Southern Chukchi Sea, which included locations from
235 each sampling period (OS14, 19, 20, 22, 30 by *Oshoro-Marui*, DBO2-1, 2-4, 3-6, 3-8 in *HLY*
236 *1801*, and DBO 3-1, 3-5, 3-7 in *HLY 1803*). There were no significant differences in species or
237 genera among these samples (one-way ANOVA, $p > 0.05$), with the exception of *Attheya* spp.
238 and *C. debilis* (one-way ANOVA, $p < 0.05$).

239

240 3.3 Diatom assemblages by cluster analysis

241 Cluster analysis based on concentrations of diatom resting stages classified the diatom
242 assemblages into two groups (A, B) and four outgroups at 52% and 64% dissimilarity levels.
243 Group A was distributed from the northern Bering Sea to the Chukchi Sea near Utqiagvik (Fig. 6
244 (a)). Cell concentrations in group A were very high (7.9×10^4 – 1.1×10^7 MPN cells g^{-1} wet
245 sediments, avg = 1.2×10^6 MPN cells g^{-1} wet sediment), and samples in this group with
246 dominated by *Chaetoceros* spp. and *Thalassiosira* spp. (35% and 51%, respectively) (Fig. 6 (b)).
247 Group B included stations from the southwestern Beaufort Sea, where cell concentrations ranged

248 from 3.2×10^3 to 2.1×10^5 MPN cells g^{-1} wet sediment (avg = 5.8×10^4 MPN cells g^{-1} wet
249 sediment) and *Attheya* spp. were dominant (47%) (Fig. 6 (b)). All stations from the easternmost
250 transect in the study region (MCK) were classified as outgroups (Fig. 6 (a)).

251 3.4 Relationships with environmental factors

252 Environmental factors differed between samples comprising diatom groups A and B. The
253 TSR was significantly later at the group B locations compared to group A (*U*-test, $p < 0.05$) (Fig.
254 7 (a)), and the GP was significantly longer at group B locations than group A (*U*-test, $p < 0.05$)
255 (Fig. 7 (b)). The switching between the two diatom groups occurred around 200 Julian day of the
256 TSR and approximately 2500 hours of the GP (Fig. 7 (a), (b), Fig. 8). By contrast, the IP_{eu} and
257 the sampling depth were not significantly different between groups (*U*-test, $p > 0.05$) (Fig. 7 (c),
258 (d)).

259 In addition, the TSR and the GP were significantly positively correlated with the
260 proportion of pennate diatoms and *Attheya* spp., which are defined as the ice-associated
261 assemblages ($\rho = 0.63$ and 0.29 , respectively, $p < 0.05$) (Fig. 8).

262

263 4 Discussion

264 Examination of the distribution and abundance of diatom resting stages in Pacific Arctic
265 sediments demonstrated a strong correlation with the timing of sea ice retreat and the growth
266 period of ice-associated assemblages. Details regarding spatial community dynamics and
267 relationships between diatom assemblages and TSR, GP, and environmental parameters are
268 discussed below.

269 4.1 Distribution of diatom resting stages and the relationships with primary production in 270 the Pacific Arctic Region

271 Cell concentrations of diatom resting stages exhibited geographic variability that roughly
272 corresponded with levels of primary production previously reported in the region. However,
273 primary production values estimated by satellite remote sensing in this study did not have any
274 statistically significant relationships with diatom resting stage assemblages.

275 This study found high concentrations of diatom resting stages in the northern Bering Sea
276 and the Chukchi Sea (avg = 3.1×10^6 MPN cells g^{-1} wet sediments), but low concentrations (avg
277 = 6.2×10^4 MPN cells g^{-1} wet sediments) in the southwestern Beaufort Sea. This is consistent

278 with prior studies of primary productivity that documented high annual water-column integrated
279 primary production in the northern Bering and Chukchi Seas and low productivity in the western
280 Beaufort Sea (Grebmeier et al., 2006; Hill et al., 2018). However, we did not find a significant
281 relationship between diatom resting stage assemblages and primary production values estimated
282 by satellite. O’Daly et al. (2020) presented similar findings; that higher rates of primary
283 productivity were correlated with higher rates of POC flux, including viable diatoms, but that
284 there is variability in the export efficiency. Thus, while the hypothesis that diatom resting stage
285 concentrations reflect primary productivity in the water column (Imai et al., 1990; Itakura et al.,
286 1997; Pitcher, 1990) holds up over broad features of the Pacific Arctic region, additional data are
287 needed to justify this using resting stage assemblages as a strict proxy for productivity.

288 Another cause of high concentrations of resting stages observed in the northern Bering
289 and Chukchi Seas is related to the high sinking flux in this region (O’Daly et al., 2020). Lalande
290 et al. (2020) used a time series of sediment trap observations at single station in the Chukchi Sea
291 to show that diatoms make up a high proportion of POC flux. The characteristically high sinking
292 flux in the northern Bering and Chukchi Seas could drive high concentrations of sediment diatom
293 assemblages reported here, though diatom losses by zooplankton grazing also need to be
294 considered (Campbell et al., 2009; Sherr et al., 2009).

295 We considered the impact that variable sampling times may have had upon the
296 assemblages observed in this study. The sediments were obtained over several different time
297 periods (2–12 July 2018, 9–23 August 2018, and 30 October to 15 November 2018), and it is
298 possible that the community structure changed from summer to fall. However, there were no
299 significant differences in species or genera except for *Attheya* spp. and *C. debilis* between
300 samples at replicated stations in the northern Bering Sea and Southern Chukchi Sea, where
301 sampling was conducted over multiple time periods. Dissimilarity among almost all the samples
302 was less than 40%, and they were also grouped in the cluster analysis. Water temperature is
303 known to influence resting stage survival time, with colder water increasing survival time length
304 (McQuoid & Hobson, 1996). Hargraves and French (1983) reported that *Chaetoceros diadema*,
305 *Detonula confervacea*, *Leptocylindrus danicus* and *Thalassiosira nordenskiöldii*, which
306 sometimes appear in the Pacific Arctic sediments, survived for 291, 220, 400, and 220 days,
307 respectively, at temperatures between 5–6°C. These periods are sufficiently longer than the
308 difference in sampling periods (the longest difference is 136 days). Given that temperatures at

309 the seafloor of the Pacific Arctic are lower than 5–6°C, we do not believe that the survival time
310 of resting stages impacted our results. For these reasons, differences over sampling periods
311 appeared to be almost negligible in this study.

312 4.2 The relationship of diatom resting stage assemblages with the TSR and the GP

313 Prior investigators have shown that the magnitude and composition of diatom
314 assemblages in the Arctic spring bloom are influenced by the presence of the sea-ice and the
315 timing of the sea-ice retreat (Fujiwara et al., 2016; Fukai et al., 2019; Neeley et al., 2018). In this
316 study, the distribution of diatom resting stage assemblages were clearly related to spatial
317 differences in the TSR. In locations where the ice retreat was early, such as the northern Bering
318 and the Chukchi Seas, *Chaetoceros* spp. including *C. socialis* s.l. and *Thalassiosira* spp. were
319 dominant in sediments (*C. socialis* s.l.: 0.36–93.1%, *Chaetoceros* spp.: 0.76–93.6%,
320 *Thalassiosira* spp.: 2.0–96.4%). Because they are known to form dense spring blooms in these
321 regions (von Quillfeldt, 2000; Sergeeva et al., 2010), these data suggest that diatom resting
322 stages were formed and settled to the seafloor after spring blooms of *Chaetoceros* spp. and
323 *Thalassiosira* spp. in the northern Bering Sea and the Chukchi Sea. In addition, the positive
324 correlation between *Chaetoceros* spp. and *Thalassiosira* spp. cell concentrations with total cell
325 concentrations indicates that where the TSR was early and the open-water period was long, large
326 diatom blooms of *Chaetoceros* spp. and *Thalassiosira* spp. produced high quantities of resting
327 stage cells (Fukai et al., 2019).

328 The TSR had also an effect on the diatom community composition, especially the
329 proportion of ice-associated diatoms in diatom assemblages. In the southwestern Beaufort Sea,
330 where the TSR was late, diatom assemblages were dominated by ice-associated species (Groups
331 B and outgroups in the transect MCK), again demonstrating that sea-ice is a driver of benthic
332 community structure among the exported diatoms. In addition, the prevalence of ice-associated
333 species was positively correlated with the TSR, suggesting that the proportion of ice algae in
334 diatom assemblages is higher when sea-ice persists. This is likely due in part to their ability to
335 sustain growth under low light levels ($< 1 \mu\text{mol photon s}^{-1} \text{ m}^{-2}$) (Cota & Smith, 1991; Mock &
336 Gradinger, 1999); notably, Tsukazaki et al. (2018) demonstrated that the centric genus *Attheya*
337 spp. could survive in dark for more than six months, and thus can withstand low light conditions
338 in the Arctic. It is possible that this study underestimated the concentrations of pennate diatoms

339 in sediments compared with *Attheya* spp. and other centric diatoms, as few marine pennate
340 diatoms are known to form resting stages, while many centric diatoms do (McQuoid & Hobson,
341 1996), and the fate of the pennate diatoms in sediment is largely unknown. Despite this potential
342 bias, these data indicate that the proportion of ice-associated species was higher where the TSR
343 occurred later. Interestingly, a spatial change from the assemblage dominated by open-water
344 species to that with high proportion of ice-associated diatoms occurred at stations where the TSR
345 was around the 200th Julian day (mid-July). This indicates a potential threshold between
346 dominant diatom groups based on the TSR parameter.

347 For ice-associated assemblages in the surface sediments, the length of the growth period
348 during which algae receive sufficient light before the TSR is important (Fukai et al., 2019). The
349 proportional abundance of ice-associated diatoms was significantly higher when GP was longer,
350 suggesting that photoperiod during sea-ice presence is another important driver of diatom
351 community structure (Cota & Home, 1989; Gosselin et al., 1990; Smith et al., 1988). In addition,
352 a GP boundary of 2500 hours may be an important parameter for the distribution of ice-
353 associated assemblages due to corresponding changes that were observed. Future efforts to
354 evaluate and predict diatom assemblages should consider both the TSR and the GP.

355 4.3 Connecting diatom distribution to higher trophic levels

356 The diatom assemblages had clear relationships with the TSR and the GP. In the northern
357 Bering Sea, the early timing of the sea-ice retreat and subsequent changes in diatom assemblages
358 in the water column and the sediment was reported in 2018 (Fukai et al., 2019, 2020). This
359 indicates that the recent drastic reduction of sea-ice in the Pacific Arctic region may induce a
360 shift in diatom assemblages from relative dominance of ice-associated species to open-water
361 species.

362 The distribution and composition of diatom species in this study were associated with the
363 zooplankton feeding environment in the Pacific Arctic region. As diatoms comprise the largest
364 portion of the mesozooplankton diet, especially in spring (Campbell et al., 2016), changes in
365 diatom species composition will perturb prey environments of higher trophic-level organisms.
366 The spatial trend of diatom resting stage concentrations exhibited a similar gradient to
367 zooplankton $\delta^{13}\text{C}$ values showed by Pomerleau et al. (2014), which reported values that were
368 more enriched in the western Bering Strait and less enriched on the Beaufort shelf. Nakatsuka et
369 al. (1992) reported an increase in $\delta^{13}\text{C}$ of POC during a diatom bloom in a mesocosm experiment

370 in Saanich Inlet, Canada. Additionally, they showed that the main factor influencing the variation
371 of $\delta^{13}\text{C}$ of POC during a phytoplankton bloom in a mesocosm experiment was the specific
372 production rate of POC, which can be proportional to the specific growth rate of phytoplankton,
373 rather than carbon dioxide system or community composition (Nakatsuka et al., 1992).
374 Typically, fast-growing diatoms (e.g. *Chaetoceros* spp. and *Thalassiosira* spp.) and zooplankton
375 that feed on these diatoms are enriched with ^{13}C (Fry & Wainright, 1991). The inflow of nutrient
376 rich Anadyr waters from the western Bering Strait is known to fuel huge blooms of *Chaetoceros*
377 spp. and *Thalassiosira* spp. (Danielson et al., 2017; Sergeeva et al., 2010), explaining the high
378 concentrations of these species in sediments of the northern Bering and the Chukchi Seas
379 reported here. In addition, regions of high diatom resting stage concentrations roughly
380 corresponded to benthic hotspots, which include waters to the south of St. Lawrence Island, the
381 Chirikov Basin, the southeastern Chukchi Sea and the northeastern Chukchi Sea (Grebmeier et
382 al., 2015). In these regions with mean depths from 43 to 65 m, benthic primary production can be
383 lower than pelagic production due to light limitation, and accumulation of microalgae in
384 sediments are the main food source for benthic communities (Grebmeier et al., 2015). In
385 particular, diatoms are valuable taxa because they are rich in polyunsaturated fatty acids
386 (PUFAs) (Brown et al., 1997). Furthermore, Wang et al. (2016) analyzed the blubber fatty acid
387 composition and stable carbon isotope ratios of ice seals, who feed on pelagic and benthic fishes,
388 in the northern Bering and the southern Chukchi Seas to show that ice algae production
389 contributed up to 80% of ice seal diets through trophic transfer. Therefore, changes in diatom
390 assemblages caused by sea-ice dynamics will directly influence zooplankton and benthos
391 production, with indirect effects upon higher trophic levels.

392

393 **5 Conclusions**

394 This study demonstrated that the distribution and community composition of diatom
395 resting stages in the Pacific Arctic region were significantly influenced by the presence of sea-ice
396 and the light environment. Diatom resting stages appear to follow broad spatial patterns of
397 primary productivity across the region, suggesting the potential use of diatom resting stages as
398 one of the proxies for productivity, despite the fact that there was not a significant relationship
399 between diatom assemblages and primary productivity estimated by satellite observations. The
400 TSR and the GP were important drivers of diatom assemblages, and significantly influenced the

401 composition of diatoms in sediments. In particular, diatom assemblages changed spatially from
402 composition dominated by open-water species to a high proportion of ice-associated diatoms in
403 the region where the TSR occurred after mid-July (around the 200th Julian day) and the GP was
404 over 2500 hours. This result may indicate that a shift to earlier TSR under future climate
405 conditions could induce not only delayed bloom timing (Hirawake & Hunt, 2020; Kikuchi et al.,
406 2020) but also a change in the composition of diatom assemblages forming the spring bloom.
407 The distribution of diatom resting stages is a valuable approach for investigating the diatom
408 community, particularly on the Arctic shelves where it is logistically challenging to characterize
409 the rapid seasonal succession in community composition that occurs across this remote and
410 dynamic geographic region. Moreover, this approach provides species-level resolution lacking in
411 satellite observations, providing a more robust assessment of the ecosystem implications of
412 community changes. On the ecosystem level, it is interesting that the distribution of diatom
413 resting stages corresponded spatially with benthic hot spots and the feeding environment of
414 zooplankton. Based on this research, it is clear that future changes in sea-ice extent and duration
415 will impact diatom communities, and that resulting fluctuations in primary productivity and
416 community structure will affect other components of Arctic marine ecosystems.

417

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644

645 **Figure and Table legends**

646 **Figure 1.** Sediment sampling locations in the northern Bering Sea, Chukchi Sea, and Beaufort
 647 Sea in 2018. Color contours indicate the timing of the sea-ice retreat (rainbow contour) and the
 648 bottom depth (blue contour). Abbreviations indicate the transect names during Healy cruises
 649 1801 and 1803. *SLI*: St. Lawrence Island

650 **Figure 2.** Horizontal values of the observation date (a) and the daily cumulative euphotic-depth-
 651 integrated primary production from the TSR to the observation date (IP_{eu}) (b).

652 **Figure 3.** Horizontal distribution of diatom resting stages in the north Bering, Chukchi and
 653 Beaufort Seas in 2018. Squares indicate benthic hot spots indicated by Grebmeier et al. (2015).

654 **Figure 4.** Cell concentrations and species composition of diatom resting stages in the northern
 655 Bering, Chukchi and Beaufort Seas in 2018.

656 **Figure 5.** The relationship between the abundances of *Chaetoceros* spp. and *Thalassiosira* spp.
 657 and total cell concentrations in MPN.

658 **Figure 6.** (a) Spatial distribution of diatom resting stage communities by group. (b) Species
 659 composition and cell concentrations in each group.

660 **Figure 7.** Comparison of environmental factors between diatom resting stage groups. (a) the
 661 timing of the sea-ice retreat (TSR). (b) the growth period of ice-associated assemblages (GP). (c)
 662 the daily cumulative euphotic-depth-integrated primary production from the TSR to the
 663 observation date (IP_{eu}). (d) the bottom depth of sampling station.

664 **Figure 8.** Relationships between the proportion of the ice-associated species (*Attheya* spp. and
 665 pennate diatoms) in MPN and the TSR (a), and the GP (b). Each color indicate the diatom groups
 666 (pink: group A, green: group B, and gray: out groups).

667 **Table1.** Locations of sediment sampling stations in the Bering, Chukchi, and Beaufort Seas from
 668 July to November in 2018. In sample type column, “core” and “Van Veen” indicate that the
 669 samples were collected by multiple corer and Van Veen grab sampler, respectively. The timing
 670 of sea ice retreat (TSR) indicates the last date when the sea ice concentration falls below 20%,
 671 prior to observed annual sea ice minimum across the study region during summer. IP_{eu} indicates
 672 daily integrated values of primary production from TSR to the date of the *in situ* sediment
 673 sampling was conducted. The growth period of the ice-associated assemblages (GP) indicates the
 674 integrated daylength during the periods with SIC > 20% after the daylight hours exceed 10 hours.

675 **Table 2.** Components of the modified SWM-3 medium. Solvent is natural filtered sea water.
 676 Medium pH is 7.7–7.8.

Table 1. Locations of sediment sampling stations in the Bering, Chukchi, and Beaufort Seas from July to November in 2018. In sample type column, “core” and “Van Veen” indicate that the samples were collected by multiple corer and Van Veen grab sampler, respectively. The timing of sea ice retreat (TSR) indicates the last day when the SIC fell below 20% prior to the observed annual sea-ice minimum across the study region during summer. IP_{eu} indicates daily integrated values of primary production from TSR to the date of the *in situ* sediment sampling was conducted. The growth period of the ice-associated assemblages (GP) indicates the integrated daylength during the periods with SIC > 20% after the daylight hours exceed 10 hours.

Cruise	Station	Date (Julian day)	Latitude (°N)	Longitude (°W)	Bottom depth (m)	Sample Type	TSR (Julian day)	IP_{eu} (mg C m ⁻²)	GP (hours)
Oshoro-maru	OS4	2018/7/2 (183)	63.15	173.83	75	Core	2018/3/22 (81)	80253.9	103.2
	OS6	2018/7/3 (184)	62.88	172.16	55	Core	2018/3/23 (82)	56305.7	158.4
	OS8	2018/7/3 (184)	62.49	170.00	37	Core	2018/3/25 (84)	77752.8	234.2
	OS14	2018/7/5 (186)	64.51	170.87	46	Core	2018/4/17 (107)	66311.6	414.7
	OS19	2018/7/6 (187)	64.51	166.51	28	Core	2018/5/1 (121)	39181.0	755.1
	OS20	2018/7/6 (187)	65.08	168.00	46	Core	2018/4/20 (110)	32002.1	581.4
	OS22	2018/7/7 (188)	65.07	169.70	51	Core	2018/4/17 (107)	106377.2	469.0
	OS30	2018/7/11 (192)	66.73	168.96	42	Core	2018/5/17 (137)	59460.1	1033.1
HLY 1801	DBO2-1	2018/8/9 (221)	64.67	169.93	48	Van Veen	2018/4/16 (106)	104868.8	427.2
	DBO2-4	2018/8/9 (221)	64.96	169.90	49	Van Veen	2018/4/17 (107)	143193.9	469.0
	DBO3-6	2018/8/10 (222)	67.90	168.25	59	Core	2018/5/20 (140)	95858.0	935.9
	DBO3-7	2018/8/11 (223)	67.79	168.60	51	Core	2018/5/20 (140)	104109.1	935.1
	IC-3	2018/8/13 (225)	71.60	165.30	43	Van Veen	2018/6/26 (177)	32304.9	1829.9
	IC-8	2018/8/14 (226)	70.97	163.56	46	Van Veen	2018/6/30 (181)	48394.7	1391.3
	DBO4-2	2018/8/15 (227)	71.22	161.29	50	Core	2018/7/14 (195)	17824.0	1891.3
	DBO4-4	2018/8/15 (227)	71.48	161.50	49	Core	2018/7/15 (196)	15406.3	2377.8
	DBO4-5	2018/8/15 (227)	71.61	161.62	47	Core	2018/7/14 (195)	19747.3	2477.9
	DBO5-9	2018/8/17 (229)	71.58	157.82	66	Van Veen	2018/7/22 (203)	2915.4	2667.8
	DBO5-10	2018/8/17 (229)	71.63	157.90	64	Core	2018/7/22 (203)	2813.1	2669.9
LB-11	2018/8/22 (234)	70.06	167.66	50	Van Veen	2018/5/13 (133)	95614.2	973.8	
LB-9	2018/8/23 (235)	69.88	166.82	47	Van Veen	2018/5/12 (132)	105276.1	877.1	
LB-7	2018/8/23 (235)	69.68	166.09	42	Van Veen	2018/5/11 (131)	96511.2	800.6	

HLY 1803	DBO6-1	2018/10/30 (303)	71.16	152.26	32	Van Veen	2018/7/29 (210)	42213.8	2824.9
	DBO6-3	2018/10/30 (303)	71.25	152.17	48	Van Veen	2018/7/29 (210)	55326.5	2829.3
	DBO6-5	2018/10/30 (303)	71.34	152.10	71	Van Veen	2018/8/5 (217)	63070.1	2899.2
	DBO6-7	2018/10/31 (304)	71.42	152.04	194	Van Veen	2018/8/5 (217)	52153.7	2902.0
	PRB-1	2018/11/2 (306)	70.69	148.44	26	Van Veen	2018/8/26 (238)	—	3347.2
	PRB-2	2018/11/2 (306)	70.77	148.33	35	Van Veen	2018/9/3 (246)	17622.4	3465.0
	PRB-4	2018/11/2 (306)	70.90	148.14	45	Van Veen	2018/9/4 (247)	16083.4	3405.6
	PRB-7	2018/11/2 (306)	71.02	147.98	58	Van Veen	2018/9/6 (249)	19897.2	3490.4
	MCK-1	2018/11/4 (308)	69.82	139.61	38	Van Veen	2018/8/3 (215)	40557.1	2240.4
	MCK-2	2018/11/4 (308)	69.90	139.49	44	Van Veen	2018/8/3 (215)	47209.6	2111.3
	MCK-3	2018/11/4 (308)	69.94	139.39	55	Van Veen	2018/8/3 (215)	47343.2	2113.9
	MCK-4	2018/11/4 (308)	69.97	139.30	60	Van Veen	2018/8/3 (215)	46782.5	2113.9
	KTO-2	2018/11/5 (309)	70.28	143.93	38	Van Veen	2018/8/18 (230)	30870.8	3191.8
	KTO-3	2018/11/5 (309)	70.37	143.79	48	Van Veen	2018/8/21 (233)	14101.3	3247.5
	KTO-5	2018/11/5 (309)	70.56	143.61	110	Van Veen	2018/8/19 (231)	13958.0	3221.7
	PRW-1	2018/11/7 (311)	70.68	148.91	24	Van Veen	2018/8/28 (240)	115525.9	3369.1
	PRW-4	2018/11/7 (311)	70.82	148.84	33	Van Veen	2018/8/28 (240)	76570.9	3373.2
	PRW-7	2018/11/7 (311)	70.95	148.78	38	Van Veen	2018/9/3 (246)	26140.8	3474.0
	DBO5-1	2018/11/14 (318)	71.25	157.13	47	Van Veen	2018/7/22 (203)	79117.8	2661.3
	DBO5-3	2018/11/14 (318)	71.33	157.31	91	Van Veen	2018/7/21 (202)	59407.8	2637.3
	DBO5-5	2018/11/14 (318)	71.41	157.49	128	Van Veen	2018/7/21 (202)	44093.7	2639.4
	DBO5-7	2018/11/14 (318)	71.50	157.66	85	Van Veen	2018/7/22 (203)	35765.2	2667.8
	DBO5-9	2018/11/14 (318)	71.58	157.83	66	Van Veen	2018/7/22 (203)	35857.3	2667.8
	DBO3-1	2018/11/15 (319)	68.31	166.92	35	Van Veen	2018/5/5 (125)	196800.9	812.4
	DBO3-5	2018/11/15 (319)	68.01	167.88	54	Van Veen	2018/5/6 (126)	212878.6	828.9
	DBO3-8	2018/11/15 (319)	67.67	168.95	50	Van Veen	2018/5/21 (141)	179194.6	1124.6

Table 2. Components of the modified SWM-3 medium (left column) and detail components of P1-metals and S-3 Vitamin (right column). Solvent of the medium is natural filtered sea water. Medium pH is 7.8.

Component	Concentrations in final medium / Amounts per litter	Component	Concentrations / Amounts
NaNO ₃	2.0 mM	P-1 metal in 10 mL	
NaH ₂ PO ₄ · 2H ₂ O	0.1 mM	H ₃ BO ₃	1.0 mM
Na ₂ SiO ₃ · 9H ₂ O	0.2 mM	MnCl ₂ · 4H ₂ O	3.5×10 ⁻² mM
Na ₂ EDTA	30.0 mM	ZnCl ₂	4.0×10 ⁻³ mM
Fe-EDTA	2.0 μM	CoCl ₂ · 6H ₂ O	1.0×10 ⁻⁴ mM
Na ₂ SeO ₃	2.0 μM	CuCl ₂ · 2H ₂ O	1.0×10 ⁻⁶ mM
Na ₂ MoO ₄ · 2H ₂ O	100 μM	S-3 Vitamin in 2mL	
TRIS	500 mg	B ₁ -HCl	0.5 mg
P1-metals	10.0 mL	Ca-Pantothenate	0.1 mg
S-3 Vitamins	2.0 mL	Nicotinic acid	0.1 mg
		<i>P</i> -Aminobenzoic acid	10.0 μg
		Biotin	1.0 μg
		Inositol	5.0 mg
		Folic acid	2.0 μg
		Thymine	3.0 mg
		Vitamin B ₁₂	1.0 μg

Figure 1.

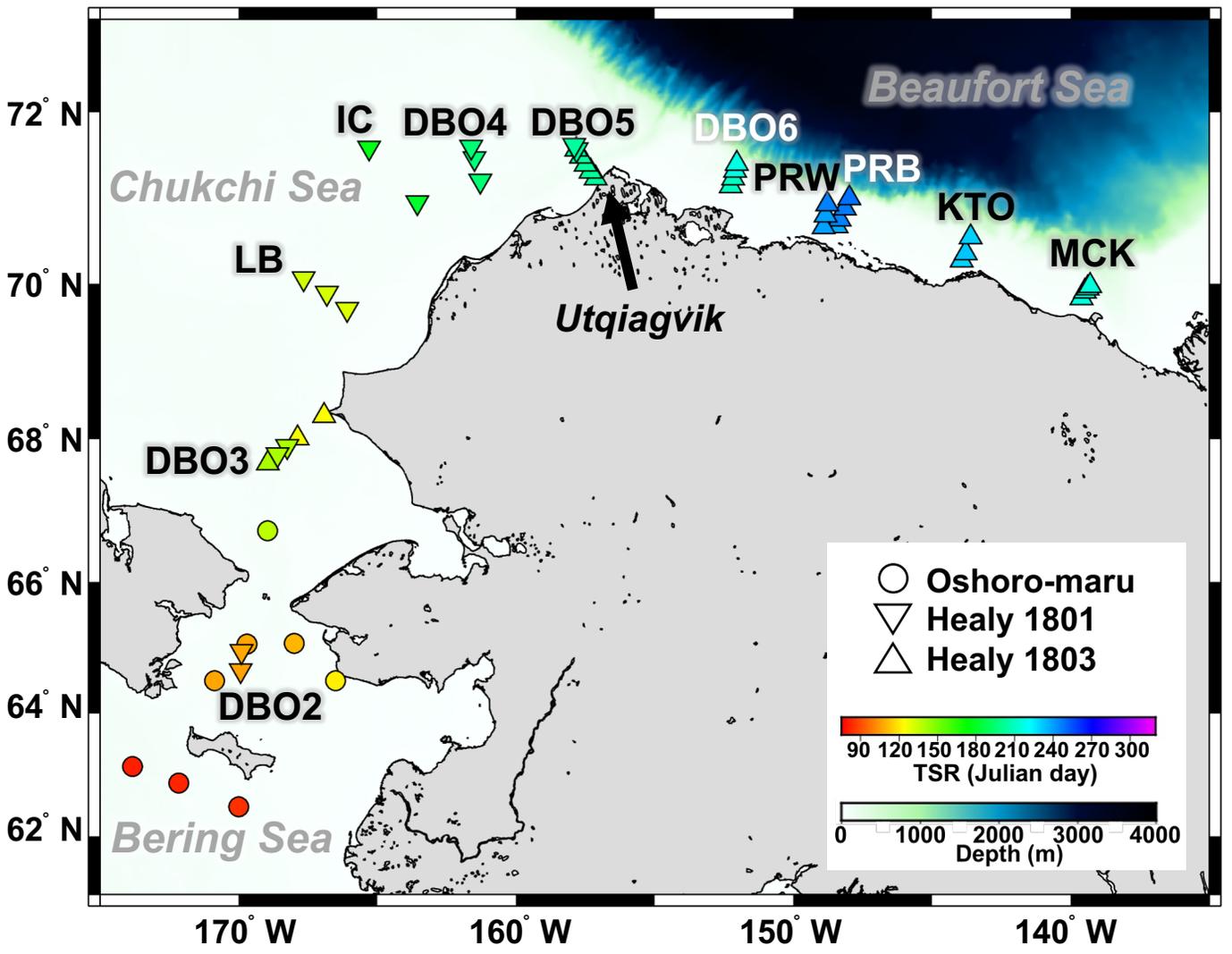


Figure 2.

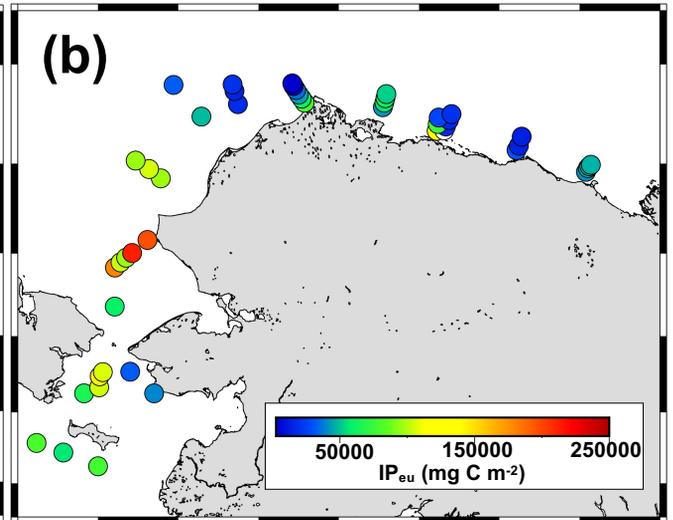
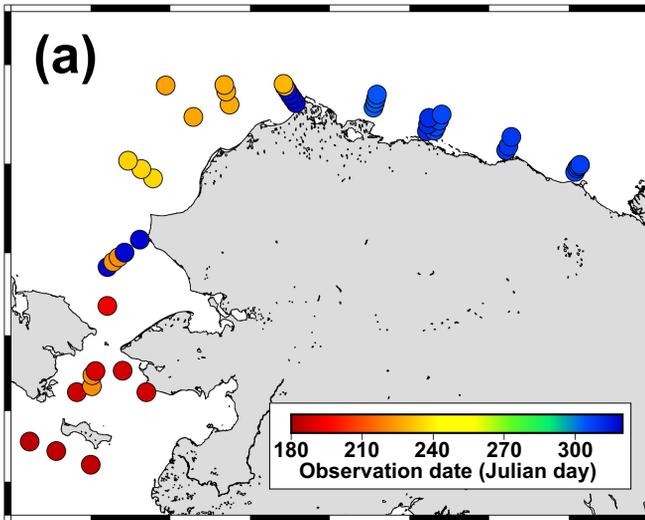


Figure 3.

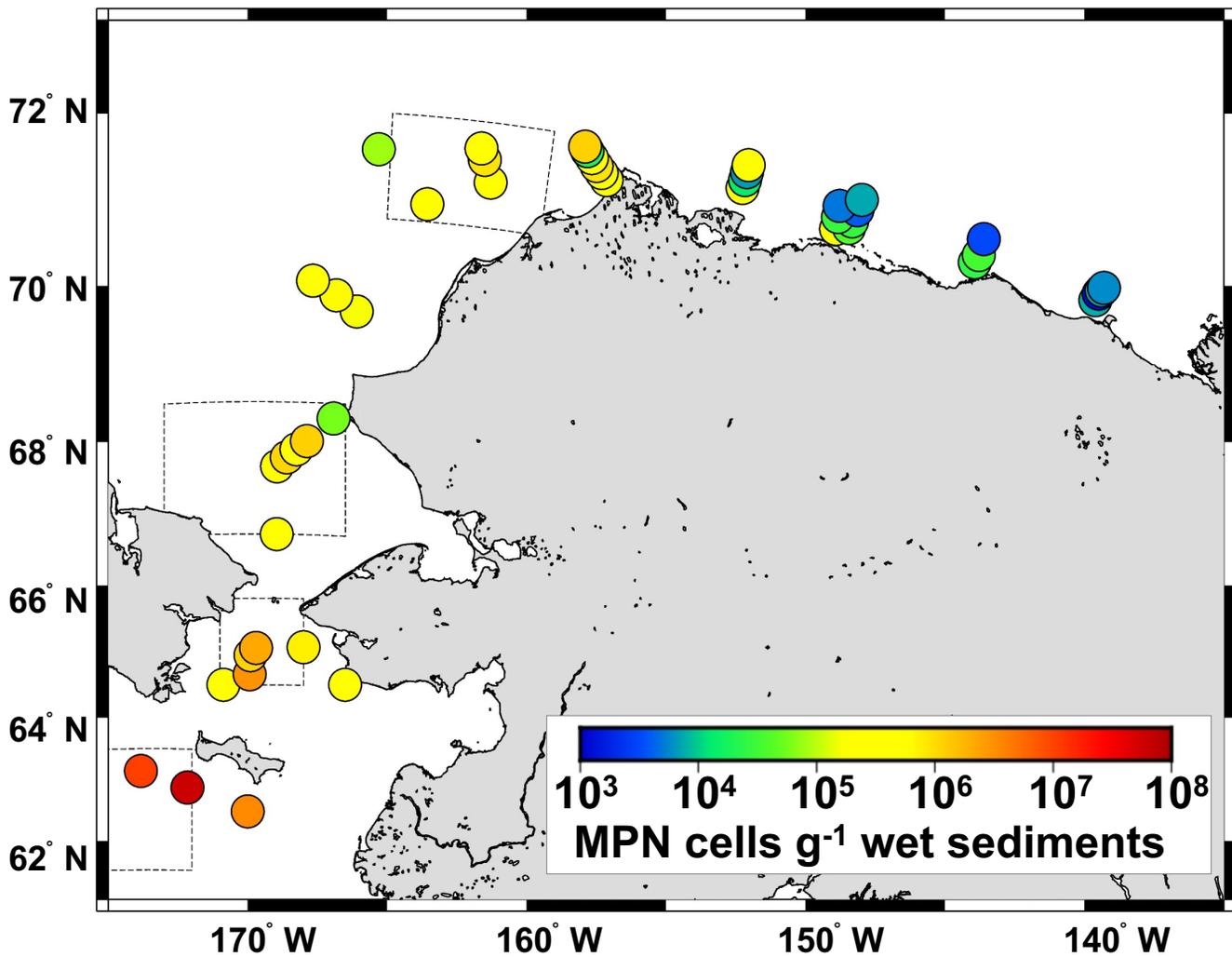


Figure 4.

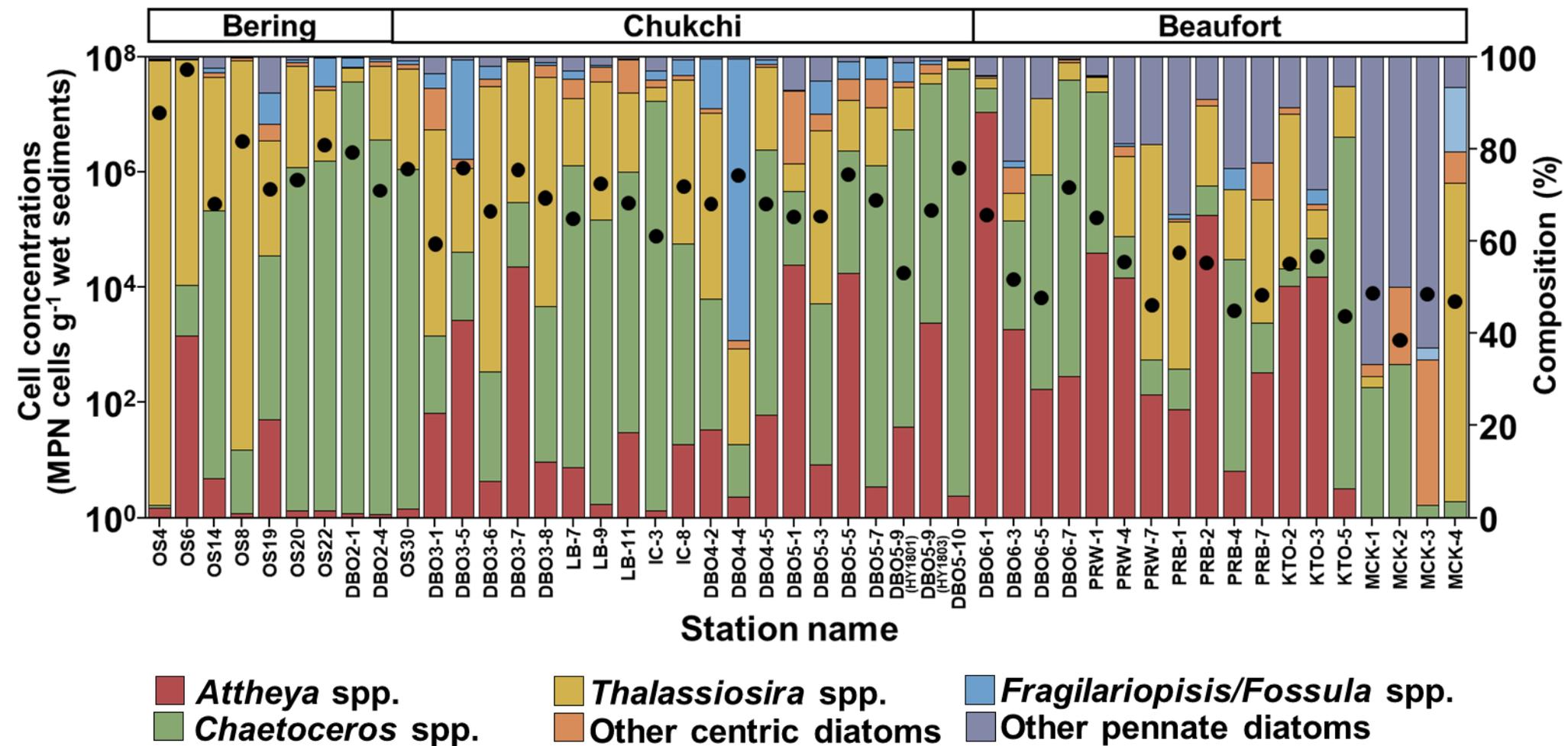


Figure 5.

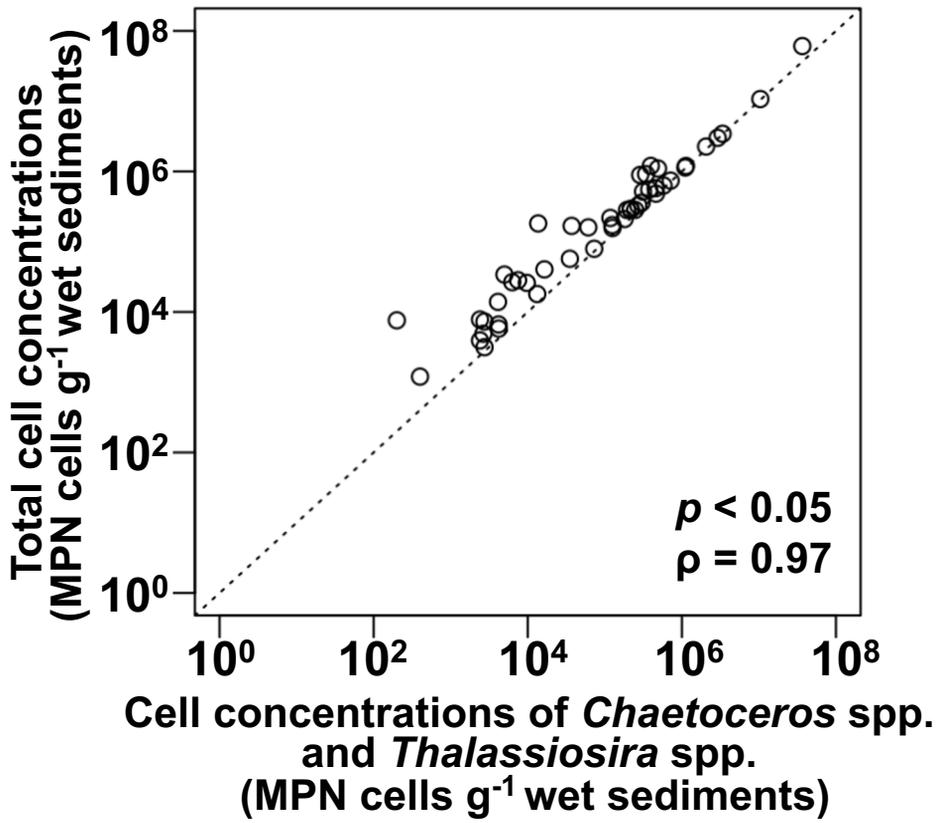
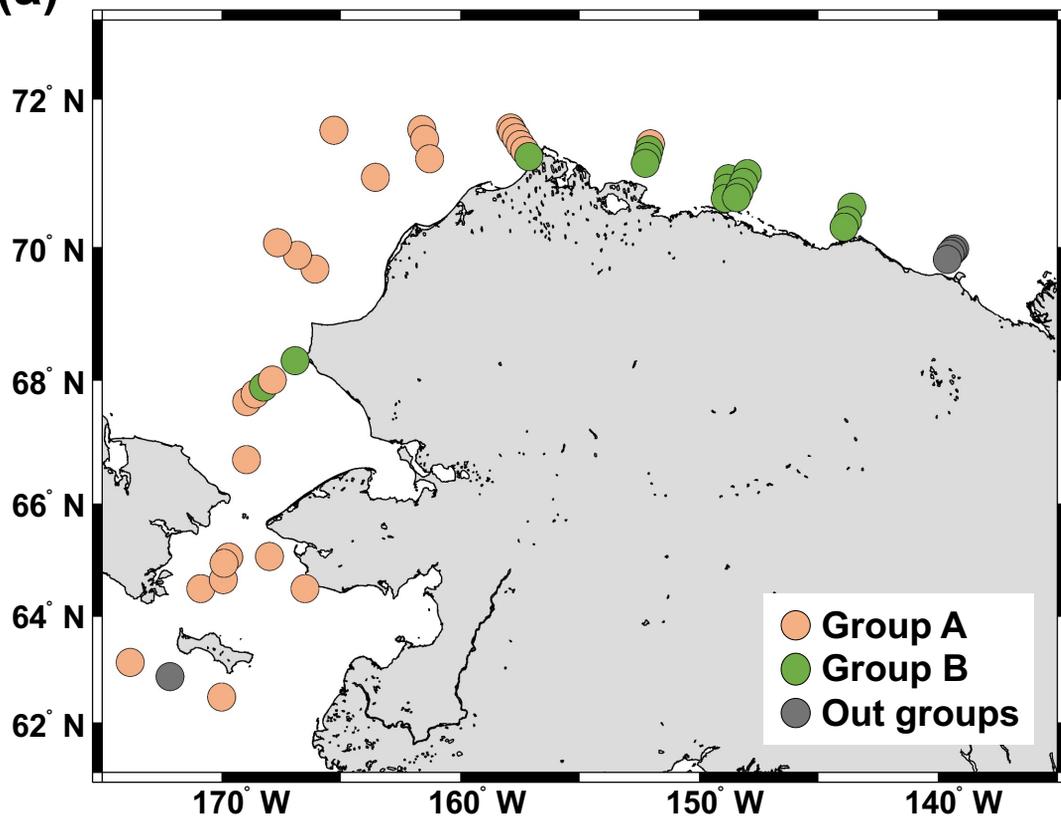


Figure 6.

(a)



(b)

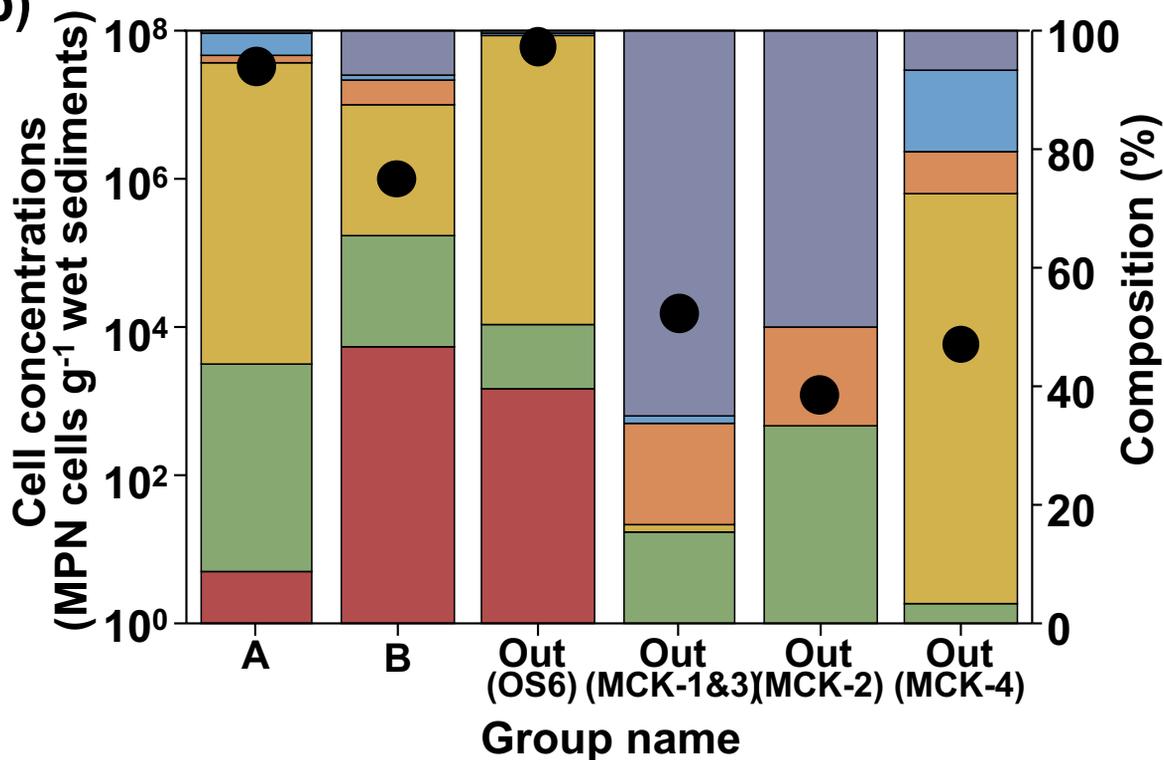


Figure 7.

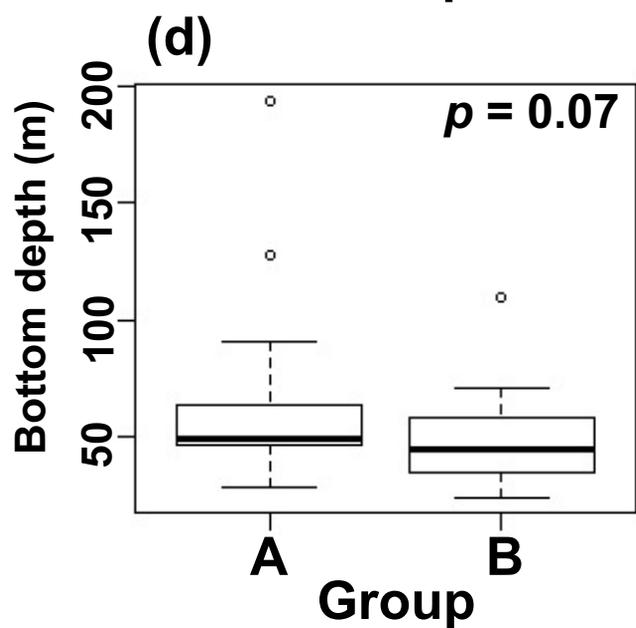
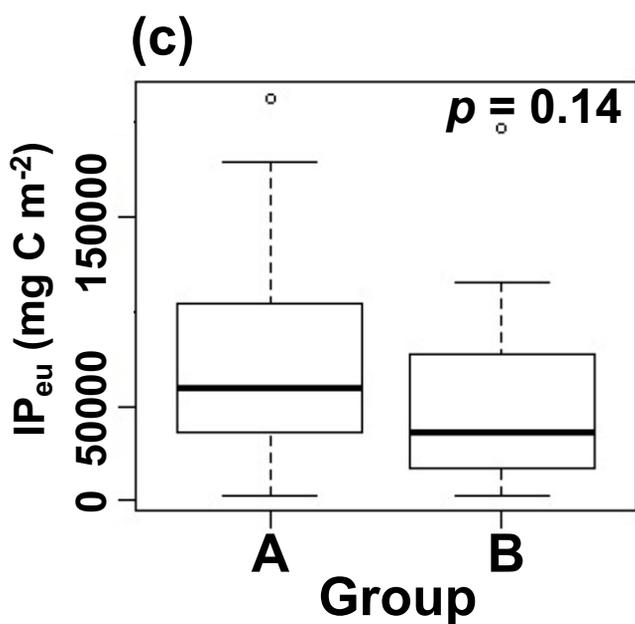
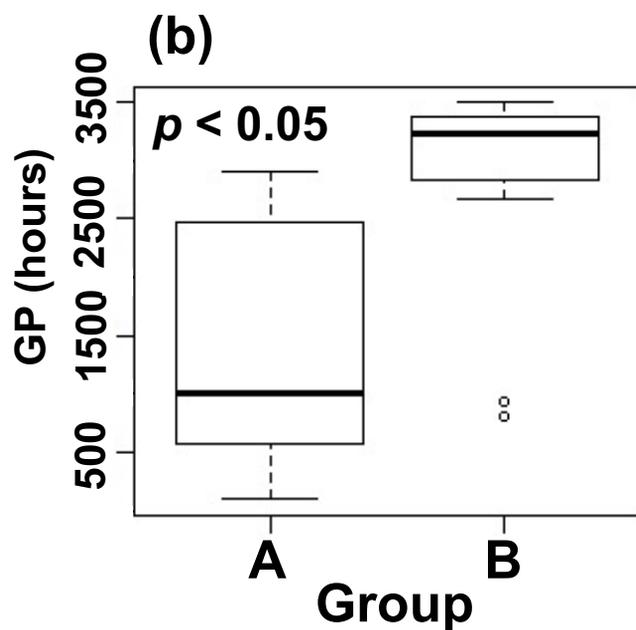
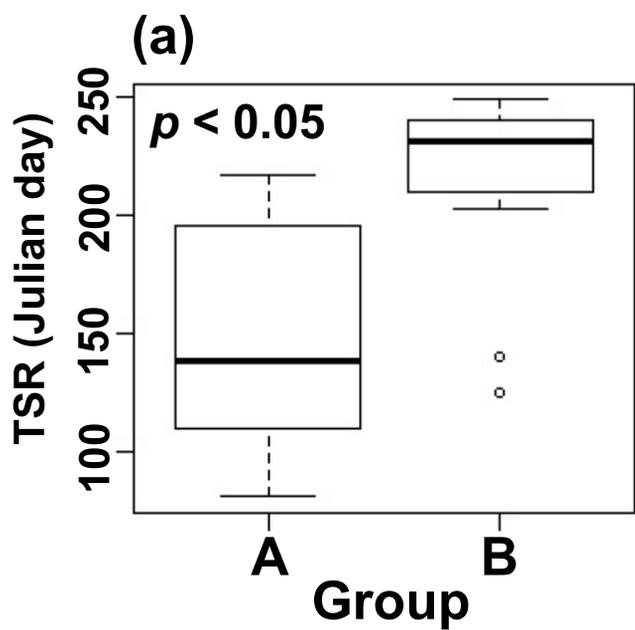
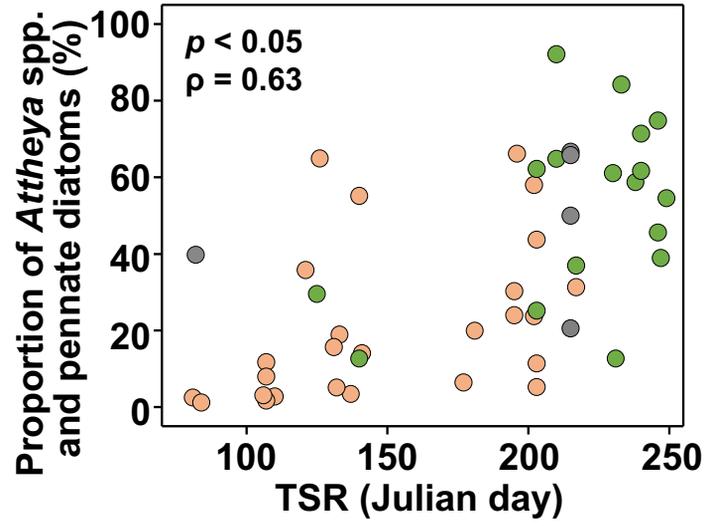


Figure 8.

(a)



(b)

