

Downstream Flood Inundation Assessment due to Dam Breach of Dudh Koshi Storage Hydroelectric Project using HEC-RAS 2D

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Key Points:

- Dam breach is catastrophic event in which peak breach discharge and flood arrival time is influenced by dam height.
- Overtopping failure mode is critical than piping mode of failure.
- Dam breach width is most sensitive parameter for overtopping and piping failure modes under local and global sensitivity analysis.

Abstract

Dam breach is rare event in which dam fails releasing impounded water to downstream regions. Dam breach has low probability of occurrence but carries high risk of destruction. Dudhkoshi Storage Hydroelectric Project concrete faced rock fill dam (CFRD) was studied for dam breach under overtopping and piping failure modes. Dam breach simulation and flood propagation study is vital for identifying and minimizing the risks associated with breach flood. Two scenarios namely base-case scenario with average value of dam breach parameters and worst-case scenario with value of dam breach parameters resulting in maximum output. Local and global sensitivity analysis are performed for four dam breach parameters (dam breach width, breach formation time, weir coefficient, trigger failure elevation). Sensitivity analysis is performed for two river profile. Sensitivity on peak discharge, peak velocity, arrival time and water surface elevation were evaluated. ArcGIS, HEC-RAS and OriginPro 2022b are used for dam breach analysis. Overtopping failure was found to be critical as compared to piping mode.

Plain Language Summary

The collapse of Dudh Koshi Hydroelectric Project dam will generate flood waves immediately destroying settlements near and beyond the dam site. The flood will travel along the river stream and sideways across river banks. The change in value of geometry and time associated with dam collapse changes the intensity of flood and arrival time of flood downstream. The depth of flood, arrival time of flood are mapped in terms of buildings, roads and local level affected downstream of dam.

1 Introduction

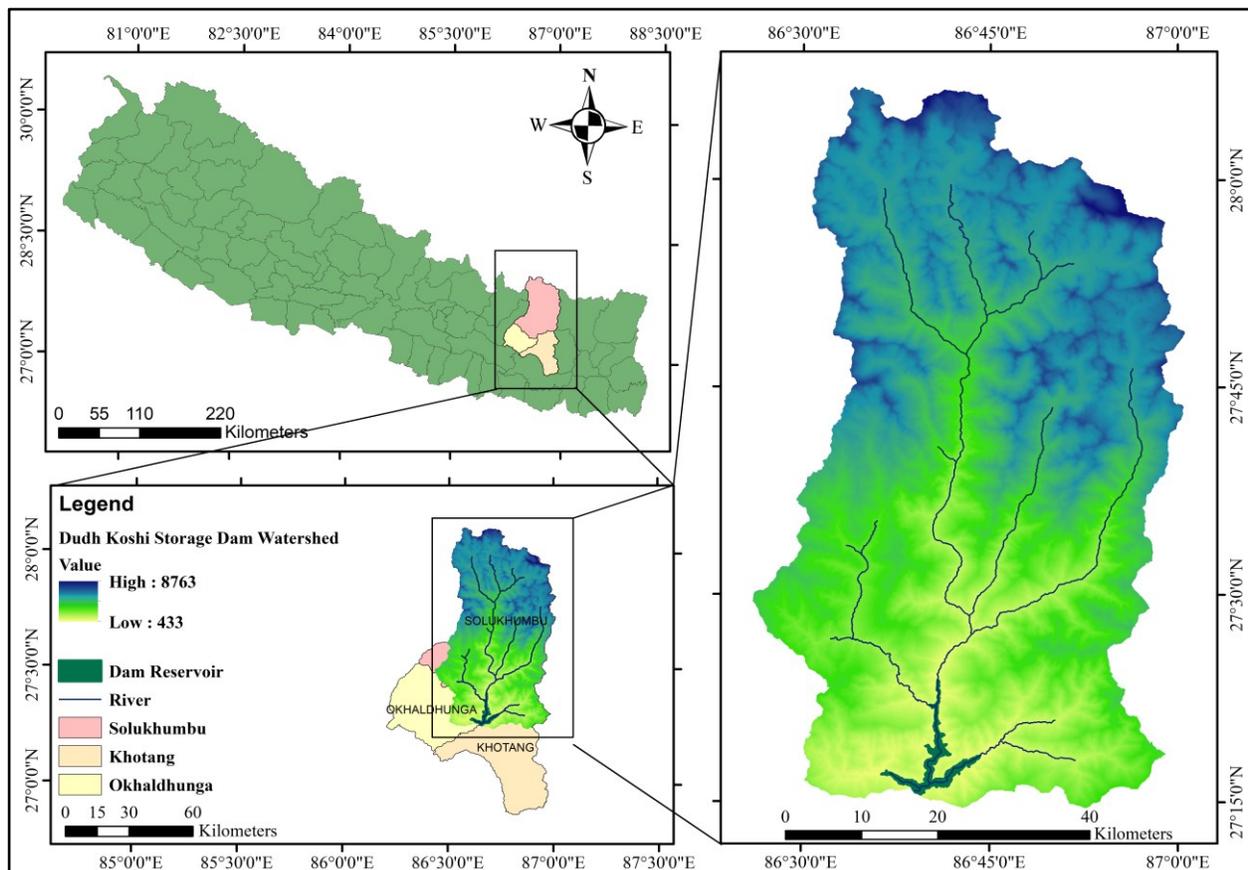
Dam breach is catastrophic failure which releases impounded water to immediate downstream resulting in loss of life and property. The causes of dam failure are earthquake, land slide, extreme precipitation, piping, equipment malfunctioning, structure damage, foundation failure and sabotage (Xiong, 2011; Brunner 2014). Vajont dam failed due to landslide (Barla & Paronuzzi, 2013), Kakhovka dam failed during Russia–Ukraine War (Vyshnevskyi et al., 2023)

39 and Shibuya dam failed due of underestimation of geotechnical parameter (Chrzanowski et al.,
 40 2008). Banqiao Dam and the Shi-mantan Dam failure claimed the lives of around 85,000 (Sachin.,
 41 2014). Concrete faced rock fill dam (CFRD) has been subjected to failure due to overtopping and
 42 seepage erosion (Wahl, 1998; Xu & Zhang, 2009; Zhang et al., 2016). Various dam failures are
 43 documented in literature. Gouhou (Zhang & Cheng, 2006), Zipingpu (Zou et al., 2013), Campos
 44 Novos (Nieto, 2021), Aguamilpa (Ma & Cao, 2007), Tianshengqiao-1 (Ma & Chi, 2016) details
 45 about mode and mechanisms of CFRD dam failure. CFRD failure occurs due to crack formation
 46 which develops percolation channel along dam section (Zhang et al., 2016; Ma & Chi, 2016). The
 47 impacts of dam failure can be controlled by using accurate flood hazard maps (Balaji & Kumar,
 48 2018). (Mudashiru et al., 2021) reviewed flood hazard mapping for physical-based, empirical and
 49 physical modelling. HEC-RAS is used for physical-based modelling.

50 (Eldeeb et al 2023) performed unsteady flow 2D dynamic routing and breach parameter
 51 sensitivity analysis for Grand Ethiopian renaissance dam (GERD). (Kiwanka et al.,2023)
 52 performed dam breach analysis of Kibimba dam under overtopping and piping mode of failure
 53 with probable maximum flood as input. The breach parameters and the peak flow discharges were
 54 calculated using the Froehlich, (1995) and Froehlich, (2008) regression equations. (Beza et al.,
 55 2023; Khosravi et al., 2019; Sharma et al., 2017) used HEC-RAS for flood routing, flood plain
 56 delineation and hazard mapping. (Karki et al., 2022, Gaagai et al., 2022) conducted sensitivity
 57 analysis on dam breach parameters. Dam breach width, breach formation time, weir coefficient
 58 piping coefficient, breach bottom elevation, side slope are considered for the dam breach study
 59 (Brunner, 2014). (Ramola et al., 2021) modelled catchment area as storage area, flood hazard area
 60 as 2D flow area and dam as SA/2D connection in HEC-RAS for Baur Dam in which overtopping
 61 failure was found to be more critical than piping failure. (Albu et al., 2020) stated that dam breach
 62 simulation can be validated through literature review. Dam breach model could perform without
 63 manning's n calibration. (Bharath et al., 2021; Hicks & Peacock, 2005). (Psomiadis et al.,2021;
 64 Phyou et al., 2023) compared overtopping and piping failure modes for dam breach analysis.
 65 (Delenne et al., 2012) stated that sensitivity analysis can be used for shallow water equation
 66 analysis in place of global sensitivity analysis with short computation time. Global sensitivity
 67 analysis is critical for non-linear distribution (Iooss et al., 2015; Bellos et al., 2020).

68 Dudh Koshi Storage project lies on moderate seismic risk zone along active fault line
 69 between Okhaldhunga and Khotang district of Nepal (Japan International Cooperation Agency
 70 (JICA), 2014). Dudh Koshi basin has total glacierized area of approximately 410 km² of which
 71 110 km² is debris covered (Shea et al., 2015). There is possibility of GLOF induced dam breach.
 72 High intensity rainfall on 5-13 July, 2004 had activated landslides and debris flow in the watershed
 73 of the Dudh Koshi River (Dhital, 2006). Storage project provides power system flexibility.
 74 Dudhkoshi, Adhikhola, Sunkoshi 3, Upper Mustang, Bharbhung storage projects are under
 75 different stages of study and development (NEA Annual Report, 2023). Storage HPP impound
 76 large volume of water in steep topography and fragile geology with potential seismic risk. Dam
 77 breach analysis should be performed for risk management. The objectives of this paper are:

- 78 (1) To determine dam breach outflow hydrograph for overtopping and piping failure at
 79 dam site and river sections downstream of dam.
- 80 (2) To delineate dam breach flood hazard map for overtopping and piping failure.
- 81 (3) To perform sensitivity analysis of dam breach parameters to breach discharge, water
 82 surface elevation, velocity and arrival time.

83 **2 Study Area and Data**84 **2.1 Study Area**Figure 1: *Study Area Map*

85 The proposed Dudh Koshi storage hydroelectric project (DKSHEP) is a storage type
 86 project. The study area of DKSHEP is shown in Figure 1. The dam is located in the Dudh Koshi
 87 river approximately in the latitude of $27^{\circ} 15' 47''$ and longitude of $86^{\circ} 38' 17''$ which is about 2km
 88 downstream from the confluence of Thotne river and Dudh Koshi. DKSHEP dam is of concrete
 89 faced rockfill dam (CFRD) with crest length of 620m, crest width of 16m and height of 210m. The
 90 full supply level (FSL) is at 636 masl while the dam crest is at 640 masl. The catchment area of
 91 dam is 3851.89 km² while the capacity of reservoir is 1491.92 Mm³. Gated spillway and labyrinth
 92 spillway are present on left embankment of dam (Updated Feasibility Report of Dudh Koshi
 93 Storage Hydroelectric Project, 2019).

94 **2.2 Data Acquisition**

95 The reliability of dam breach modelling results depends upon DEM data. ALOS PALSAR,
 96 ASTER GDEM, Sentinel and AW3D30 DEM data were evaluated (Okolie and Smith, 2022).
 97 AW3D30 showed most accurate representation of river profile and adjusted to dam geometrical
 98 characteristic among DEM's considered. Rainfall data were analyzed using Thiessen polygon
 99 method to find mean annual rainfall. Shakya method (Shakya, 2002) was used to distribute the
 100 10000-year rainfall into 24-hour time domain which was multiplied with unit hydrograph ordinate
 101 obtained from Taylor's and Schwartz method (Taylor and Schwarz, 1952) to define the inflow

102 hydrograph for HEC-RAS analysis. 10,000-year flood hydrograph with peak discharge of 12638
 103 m³/s was used as inflow for HEC-RAS dam breach unsteady flow analysis. The shapefile for
 104 buildings and roads in study area is extracted from Geofabrik Open street map while population
 105 data is obtained from National Statistics Office, Nepal Census 2021. The source of data used for
 106 the research work is listed in Table 1.

107 Table 1

108 *Data Collection*

Data	Source
Digital Elevation Model (DEM)	30m DEM from Japan Aerospace Exploration Agency (JAXA) Advanced Land Observing Satellite World 3D (AW3D30) https://www.eorc.jaxa.jp/ALOS/en/dataset/aw3d30
Dam geometry	Dudh Koshi Storage Hydroelectric Project: Final upgraded feasibility study rev. 01 executive summary, 2019
Precipitation	Department of Hydrology and Meteorology (DHM), Nepal: 1202, 1203, 1204, 1206, 1207, 1219, 1222, 1224, 1324 EVK2CNR: Everest Pyramid, Pheriche and Namche http://geonetwork.evk2cnr.org/
Dam location	Contour of dam crest level, length of dam and dam geographic coordinates
Catchment area	Arc GIS
Buildings, Roads Shapefile	Geofabrik Open Street Map data
Land use	Sentinel 2
Population	National Statistics Office, Population Census 2021

109 **3 Materials and Methods**

110 3.1 Methodology

111 The methodology used for dam breach analysis of Dudh Koshi Hydroelectric Project
 112 (DKSHEP) dam is shown in Figure 2. The result of dam breach analysis is fully dependent upon
 113 selection of breach parameter. Federal agency guidelines from USACE 1980; USACE 2007;
 114 FERC; NWS (Brunner, 2014), regression equation based on dam failure dataset (Froehlich, 1995a;
 115 Froehlich, 2008; MacDonald and Langdridge-Monopolis 1984; Von Thun and Gillete, 1990; Xu
 116 and Zhang, 2009), simplified breach model, physically based breached model are used for
 117 estimation of dam breach parameters. The dam breach parameters are selected on the basis of
 118 USACE, 2007 federal guidelines as Froehlich equation and other empirical equation are derived
 119 for dam of height upto 92m (Brunner, 2014).

120 The catchment area of Dudh Koshi Storage hydroelectric project (DKSHEP) dam was
 121 delineated in ArcGIS and modelled as storage area in HEC-RAS. The flood hazard area was
 122 modelled as 2D flow area. Dam geometry was modelled as SA/2D connection in HEC- RAS RAS
 123 mapper (Brunner, 2014). Downstream outlet was fixed at Koshi barrage while backwater effect
 124 was observed upto Likhu - Sunkoshi confluence. Labyrinth spillway was modelled for overtopping
 125 failure while gated spillway is assumed to be closed. The piping failure case was modelled without
 126 spillway for model simplicity and stability. Cell size of 40 m* 40m with break line of 40 m spacing
 127 to align cells along river profile was used for simulation.

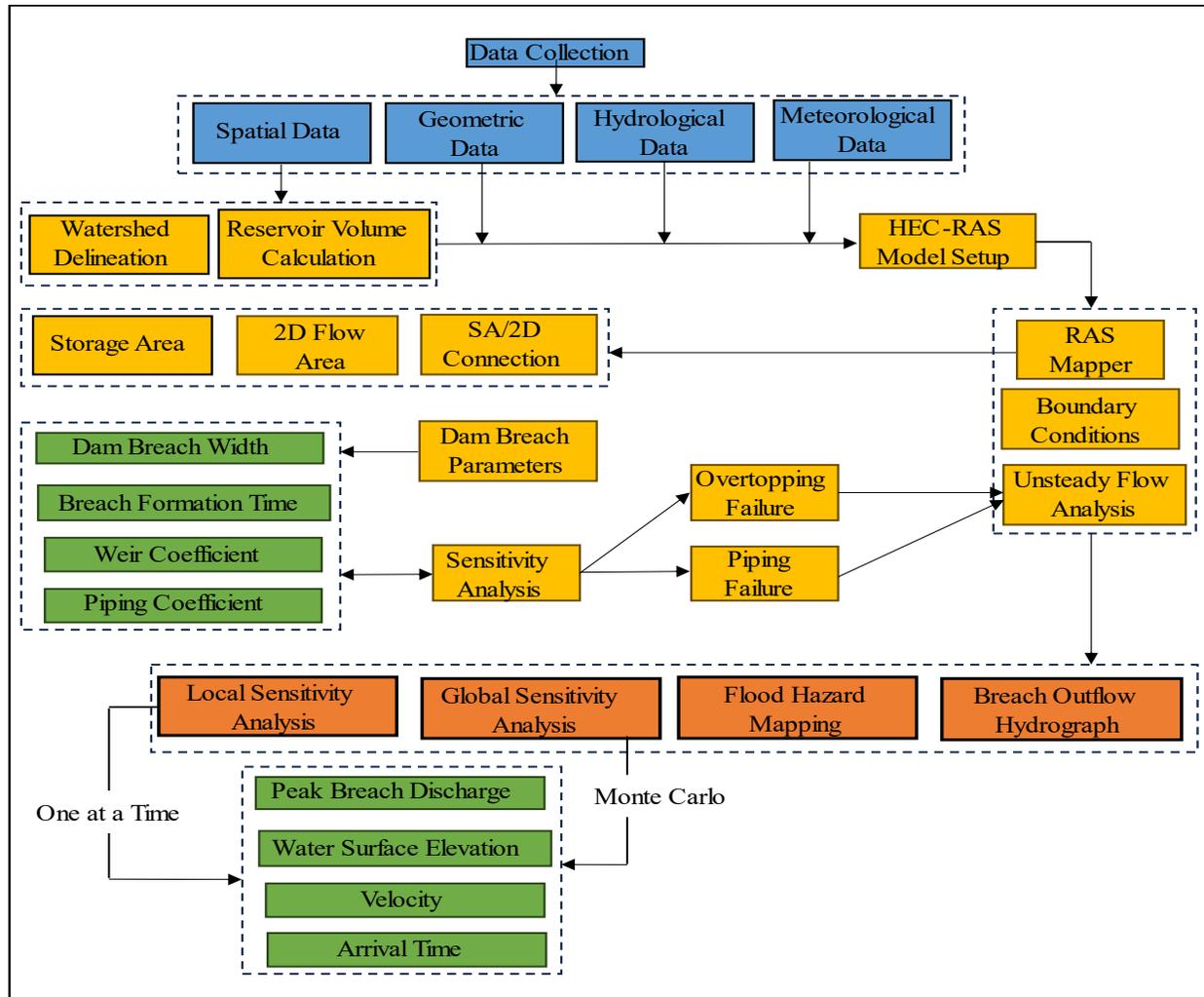


Figure 2: *Flowchart of methodology used for dam breach analysis*

128 Overtopping failure mode was simulated with fixed time step of 3 seconds while courant
 129 condition was used for piping failure. Shallow Water Equation (SWE) was used for accurate
 130 representation of velocity as compared to diffusion wave equation (Brunner, 2014). Pilot model
 131 run showed diffusion peak velocity three times of velocity obtained from SWE for overtopping
 132 failure. Five dam breach parameters were considered for sensitivity analysis. Dam breach width,
 133 breach formation time, weir coefficient, trigger failure elevation was used for overtopping failure
 134 while piping coefficient was used instead of trigger failure elevation for piping failure. The shallow
 135 water equation used for dam breach analysis is shown in Equation 1), Equation 2) and Equation
 136 3). The full supply level is considered as initial water level during HEC-RAS analysis.
 137 Overtopping failure only occurs when the water level rises above dam crest whereas piping failure
 138 occurs through pipe channel formation in dam section (Chen et al., 2019).

139

140

141

142 **Continuity Equation**

$$\left(\frac{\partial H}{\partial t}\right) + \frac{\partial(hu)}{\partial x} + \frac{\partial(hv)}{\partial y} = 0 \quad \text{Equation 1}$$

143 **Momentum Equation in X - Direction**

$$\left(\frac{\partial u}{\partial t}\right) + u\left(\frac{\partial u}{\partial x}\right) + v\left(\frac{\partial u}{\partial y}\right) + g\left(\frac{\partial H}{\partial x}\right) + u\left(\frac{gn^2|u|}{R^{4/3}}\right) = 0 \quad \text{Equation 2}$$

144 **Momentum Equation in Y - Direction**

$$\left(\frac{\partial v}{\partial t}\right) + u\left(\frac{\partial v}{\partial x}\right) + v\left(\frac{\partial v}{\partial y}\right) + g\left(\frac{\partial H}{\partial y}\right) + v\left(\frac{gn^2|v|}{R^{4/3}}\right) = 0 \quad \text{Equation 3}$$

145 Where, H is water surface elevation (m), h is water depth, u and v are depth averaged
 146 velocities in x and y direction (m/s), g is acceleration due to gravity (m/s²), n is manning's
 147 coefficient and R is wetted perimeter (m).

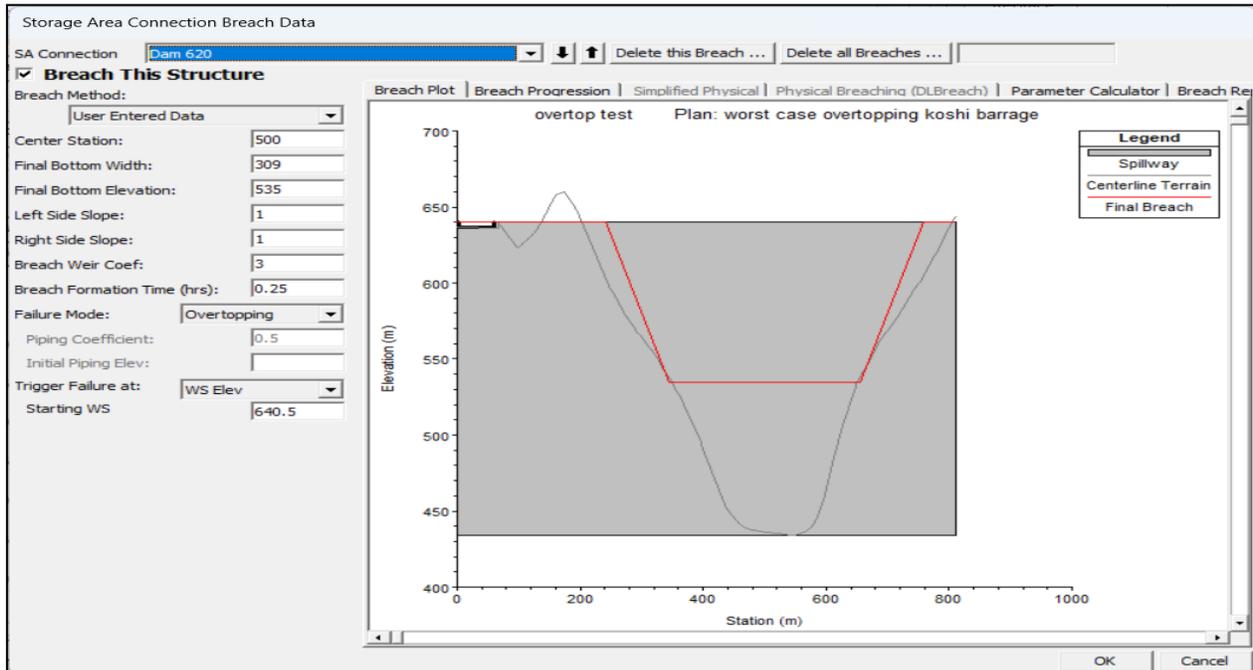


Figure 3: *Worst Case Dam Breach Cross Section for Overtopping Failure*

148 The worst-case scenario with worst combination of dam breach parameter was provided
 149 for dam breach analysis flood hazard mapping. The HEC-RAS dam breach data interface, dam
 150 cross section and labyrinth spillway geometry is shown in Figure 3 with input value for
 151 overtopping failure worst case scenario. Sensitivity Analysis (SA) is a method for studying model
 152 reliability and robustness. It is used to identify the influential parameters and quantify their impact
 153 on model outcomes (Saltelli et al., 2004). Monte Carlo filtering is used for local calibration of data
 154 set in sensitivity analysis (Saltelli, 2002). Different types, methods and procedures for sensitivity
 155 analysis are found in the literature (Saltelli et al., 2021; Ghanem et al., 2017; Iooss et al., 2015; D.G.
 156 Cacuci & Ionescu-Bujor, 2005; Frey & Patil, 2002). Local sensitivity analysis (LSA) determines

157 the local influence of input factor variation on the model response (Zhou & Lin, 2008). OAT
 158 methods are adequate for linearly varying models while non-linear models with high parameter
 159 uncertainty must be analyzed using GSA (Saltelli et al., 2019). (Iooss et al., 2015) presents different
 160 methods for global sensitivity analysis. Pseudo global sensitivity with standard deviation-based
 161 Monte Carlo simulation was used for the research work (Karki et al., 2022). 3*3*3*3 matrix of
 162 four dam breach parameter with three cases will be used to create 81 permutation plans.

163 4 Results

164 4.1. Breach Flood Hydrograph

165 The dam breach analysis was conducted for both overtopping and piping failure modes.
 166 Headwater stage hydrograph and tailwater stage hydrograph for overtopping failure are shown in
 167 Figure 4 and Figure 5 while breach discharge hydrograph and velocity hydrograph for overtopping
 168 failure at dam location are shown in Figure 6 and Figure 7 respectively.

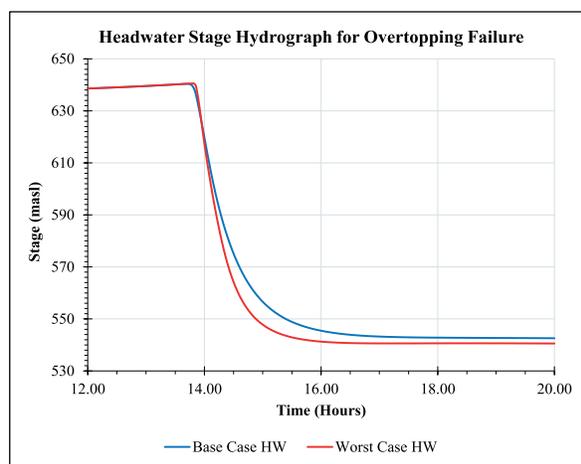


Figure 4: *Headwater Stage Hydrograph for overtopping failure*

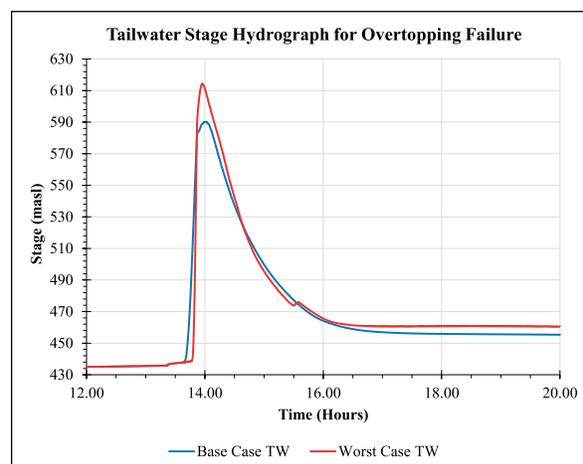


Figure 5: *Tailwater Stage Hydrograph for overtopping failure*

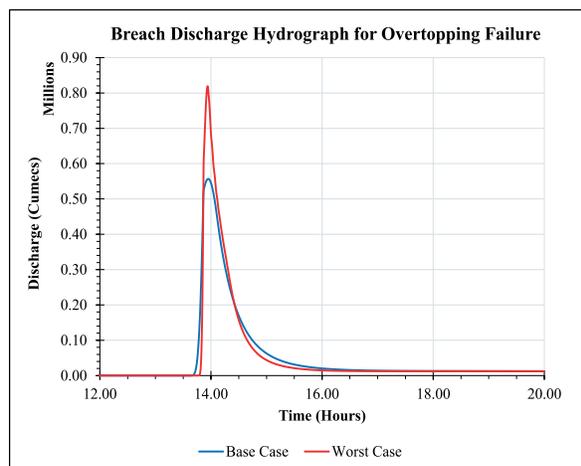


Figure 6: *Breach discharge Hydrograph for overtopping failure*

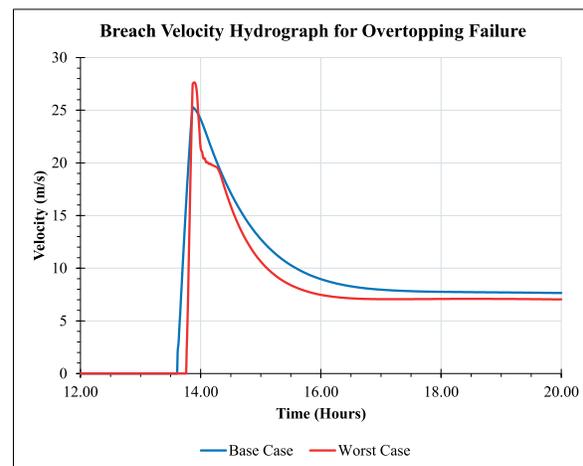


Figure 7: *Breach velocity Hydrograph for overtopping failure*

169 The flooded area from overtopping failure is only slightly greater than that of piping failure
 170 while discharge and velocity for worst case is greater than that for base case scenario as seen in
 171 Table 2.

172 Table 2

173 *Dam Breach flood Hydrograph*

Description	Overtopping Failure		Piping Failure	
	Base Case	Worst Case	Base Case	Worst Case
Flooding Area	72.27 km ²	72.31 km ²	67.94 km ²	69.62 km ²
Peak Discharge	556710.69 m ³ /s	817456.80 m ³ /s	491115.50 m ³ /s	753351.60 m ³ /s
Time of Peak Discharge	13:57:30 PM	13:56:30 PM	00:24:30 AM	00:11:30 AM
Peak Velocity	25.29 m/s	27.63 m/s	27.63 m/s	29.90 m/s
Time of Peak Velocity	13:52:00 PM	13:53:30 PM	00:15:00 AM	00:06:00 AM

174 *Note.* Base case represents average values of breach parameters while worst case represented worst
 175 combination of breach parameters. Time of Peak discharge and velocity is represented from start
 176 of simulation at 00:00:00 rather than start of breach.

177 4.2. Breach Flood Routing

178 The discharge was routed along R1 and R2 profile for base case and worst-case scenario
 179 under overtopping and piping failure case. R1 profile represents river profile from dam location to
 180 Sunkoshi bridge outlet located 85km downstream of dam while R2 profile represents river profile
 181 from Dudhkoshi-Sunkoshi confluence to Likhu-Sunkoshi confluence.

182 Table 3

183 *Flood Routing for Overtopping Failure*

Scenario	River Profile	Overtopping Failure					
		Peak Discharge [m ³ /s]			Arrival Time [hours]		
	R1	0.25 km	30 km	Outlet	0.25 km	30 km	Outlet
	R2	1 km	10 km		1 km	10 km	
Base Case	R1	554440.56	114739.37	76899.48	13:57:30	15:08:00	18:17:30
	R2	25539.50	12627.43	125.26	16:49:30	16:32:00	16:37:00
Worst Case	R1	810742.25	122779.41	78648.09	13:56:00	14:59:30	18:11:00
	R2	27790.88	13941.19	219.67	16:40:00	16:46:00	16:33:00

184

185 Table 4

186 *Flood Routing for Piping Failure*

Scenario	River Profile	Piping Failure					
		Peak Discharge [m ³ /s]			Arrival Time [hours]		
	R1	0.25 km	30 km	Outlet	0.25 km	30 km	Outlet
	R2	1 km	10 km		1 km	10 km	
Base Case	R1	488484.22	104858.06	68847.26	0:24:30	1:34:30	4:43:00
	R2	23207.53	11508.02	887.76	3:10:00	3:01:00	3:00:30
Worst Case	R1	748480.56	113088.15	70680.77	0:12:00	1:17:00	4:26:00
	R2	25606.30	12910.41	11.25	2:47:30	2:42:00	2:47:30

187 *Note:* The flood routing for R1 profile is done from dam location to Sunkoshi bridge outlet while
 188 for R2 profile the routing is done from Dudhkoshi – Sunkoshi confluence upto Likhu – Sunkoshi
 189 confluence. The distance value represents river section for R1 and R2 profile respectively. The
 190 arrival time is calculated from start of simulation.

191 The peak discharge for flood routing is shown in Table 3 for overtopping failure and Table
 192 4 for piping failure. The breach occurs immediately after simulation for piping failure as trigger
 193 elevation is set at initial water level. Overtopping failure only occurs when the water level rises
 194 from full supply level to crest level and finally to overtopping trigger elevation with labyrinth
 195 spillway operational. The overtopping occurs nearly 13.5 hours after start of simulation. There is
 196 sudden drop in peak at 30km downstream of dam this is due to dispersion of water in Dudhkoshi
 197 - Sunkoshi confluence and backwater flow towards Likhu-Sunkoshi confluence. The peak
 198 discharge flood routing showed that overtopping failure discharge has higher peak and longer
 199 arrival time as compared to piping failure. The worst and base case scenario for both failure modes
 200 showed varying behavior in terms of discharge at the start of breach while on downstream end the
 201 flood showed similar nature for both scenarios.

202 4.3. Flood Inundation and Hazard Mapping

203 Dam breach flood hazard mapping was performed in terms of depth, velocity, arrival time
 204 and water surface elevation with Koshi barrage as outlet. (Mudashiru, 2021) reviewed the use of
 205 HEC-RAS for flood hazard mapping. The number of local levels affected, number of buildings
 206 and length of road network for overtopping and piping failure modes are shown in Table
 207 5. Population at risk (PAR) due to overtopping dam breach failure is 1,34,211 while PAR due to
 208 piping failure of Dudh Koshi Storage Hydroelectric Project dam is at 1,21,437.

Table 5
 Dam breach flood Hydrograph

Parameters	Overtopping Failure	Piping Failure
Local Level	12 municipality	12 municipality
	20 rural municipality	21 rural municipality
	Koshi Tappu Wildlife Reserve in 3 districts	Koshi Tappu Wildlife Reserve in 3 districts
Buildings	28,032	25,343
Roads	812.35 km	776.87 km
PAR	1,34,211	1,21,437

209 The locality near dam site will be completely destroyed by dam breach in matter of seconds
 210 as peak discharge associated with breach is in the range of 0.75 to 0.87 million cubic meter for
 211 piping and overtopping failures. The depth of flood reduces along downstream river profile. Water
 212 depth is high along narrow river reach while it reduces sideways about the floodplain. The sudden
 213 change in depth profile 30km downstream of dam location is due to dispersion of flow along
 214 Dudhkoshi - Sunkoshi confluence. The velocity is critical near dam location due to high head
 215 associated with overtopping failure.

216 The inundation mapping shows that Dudh Koshi storage hydroelectric project (DKSHEP)
 217 dam breach flood will travel along the river profile until the Dudh Koshi - Sunkoshi confluence,
 218 The breach flood will then disperse along Sunkoshi River. Part of flow will move downstream

219 towards Sunkoshi powerhouse tailrace location while another part will backflow upstream towards
 220 Likhu – Sunkoshi confluence. The downstream flow will again dissipate along Arun and Tamor
 221 confluence at Tribeni before finally moving to Koshi barrage through Chatara. The flood
 222 inundation plain due to dam breach under worst case scenario of overtopping failure is shown in
 223 Figure 8 and Figure 9 for R1 and R2 profile respectively.

224 The flood hazard mapping of depth, velocity, arrival time and water surface elevation is
 225 shown in Table 6.

Table 6
 Flood Inundation and Hazard Mapping

Description	Overtopping Failure	Piping Failure	River Profile
Peak Discharge	866229.40 m ³ /s	753341.30 m ³ /s	
Flooding Area	686.29 km ²	670.62 km ²	
Depth	0 m - 181 m	0 m - 177 m	R1
	0 m - 80 m	0 m - 78 m	R2
Velocity	0 m/s - 45 m/s	0 m/s - 41 m/s	R1
	0 m/s - 24 m/s	0 m/s - 22 m/s	R2
WSE	75 m - 640.5 m	74 m - 620 m	R1
	400 m - 431 m	400 m - 424 m	R2
Arrival Time	13 hrs - 32 hrs	0 hrs - 20 hrs	R1
	15 hrs - 17 hrs	1 hrs - 3 hrs	R2

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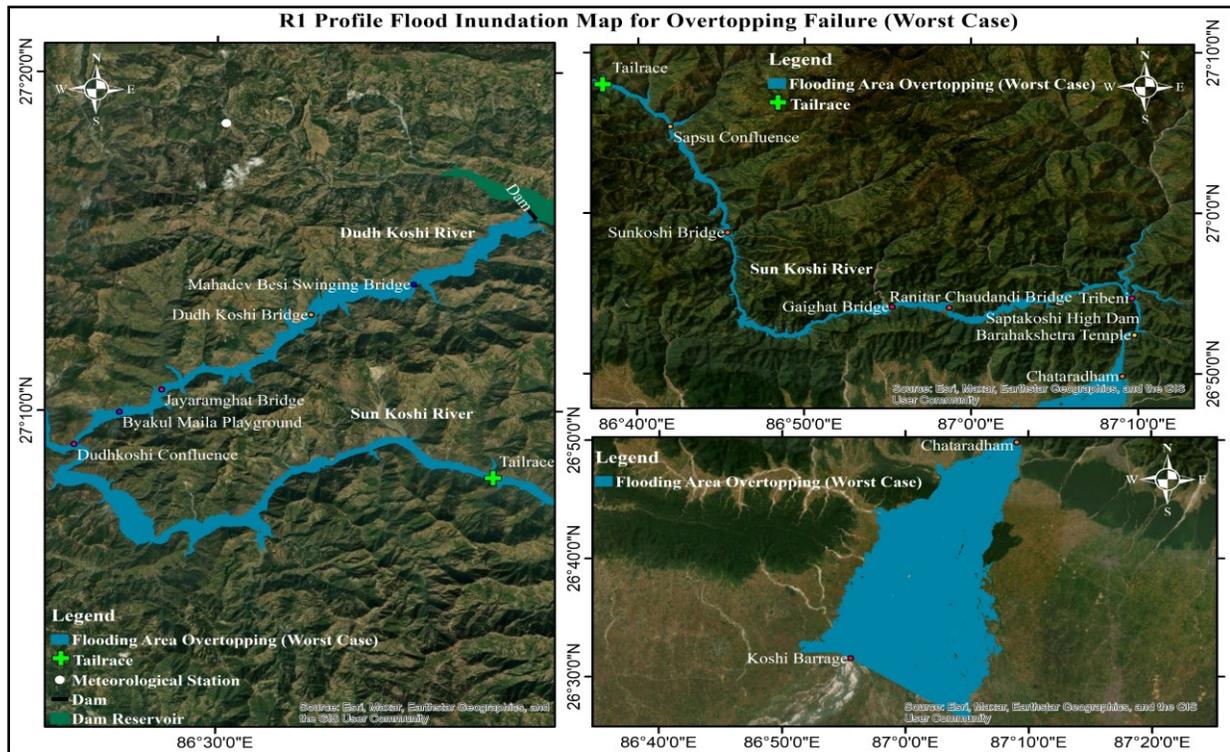


Figure 8: Overtopping Flood Inundation Mapping for R1 Profile

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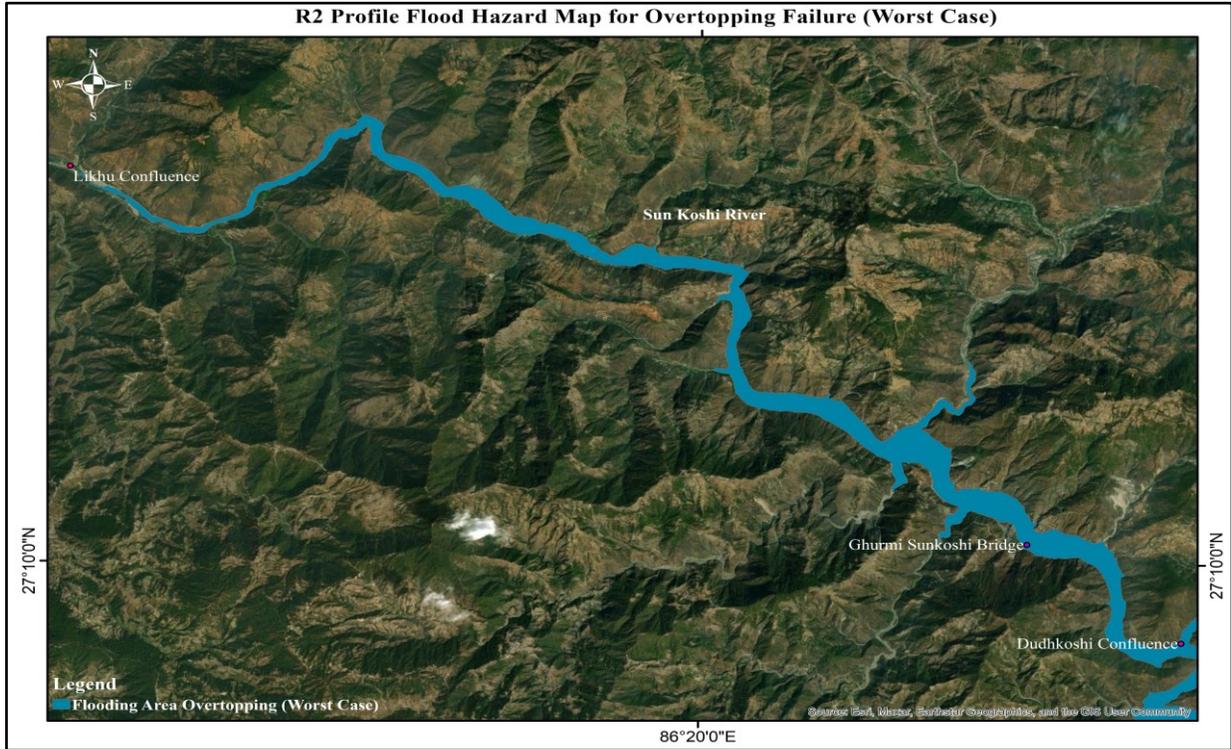


Figure 9: *Overtopping Flood Inundation Mapping for R2 Profile*

228 The overtopping failure depth mapping for R1 profile is shown in Figure 10 which
 229 represents maximum breach flood depth of 112m at Mahadev besi swinging bridge, 106m at
 230 Jayaramghat bridge, 75 m at Dudh Koshi - Sunkoshi confluence, 50 m near the tailrace, 47 m at
 231 Tribeni, 40 m at proposed Saptakoshi high dam and 2 m at Koshi barrage outlet.

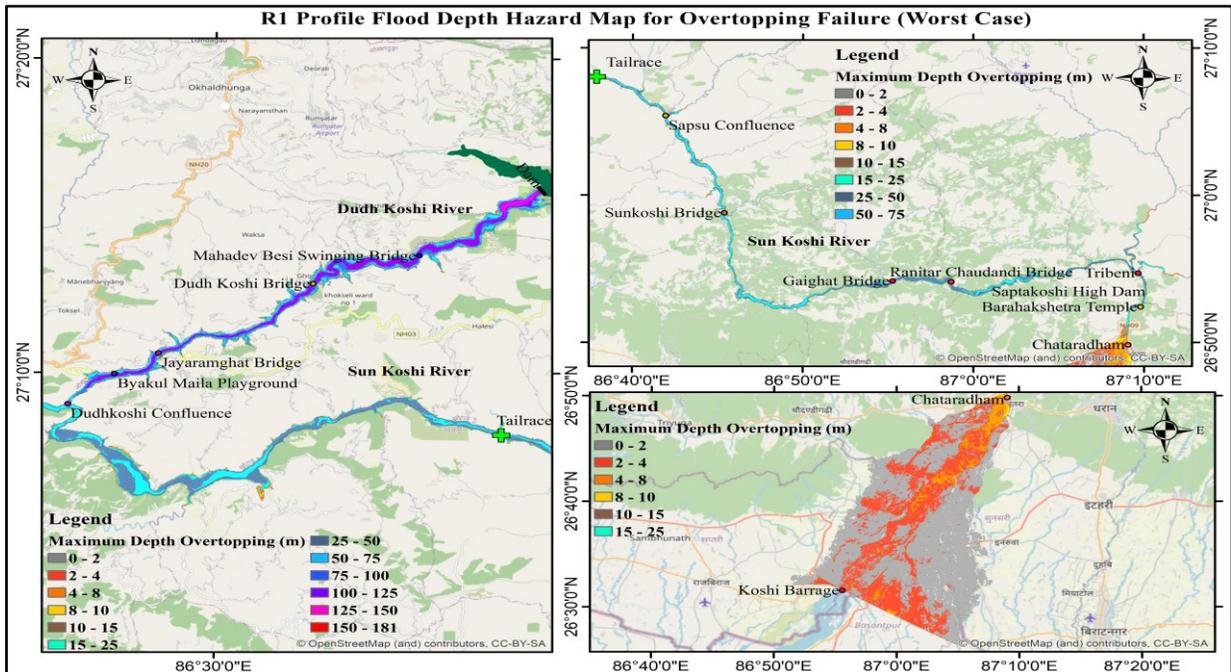


Figure 10: *Overtopping Flood Depth Mapping for R1 Profile*

232 The depth mapping for R2 profile is shown in Figure 11 which represents flood depth of
 233 53 m at Ghurmi Sunkoshi bridge and 0.02m near Likhu - Sunkoshi confluence.

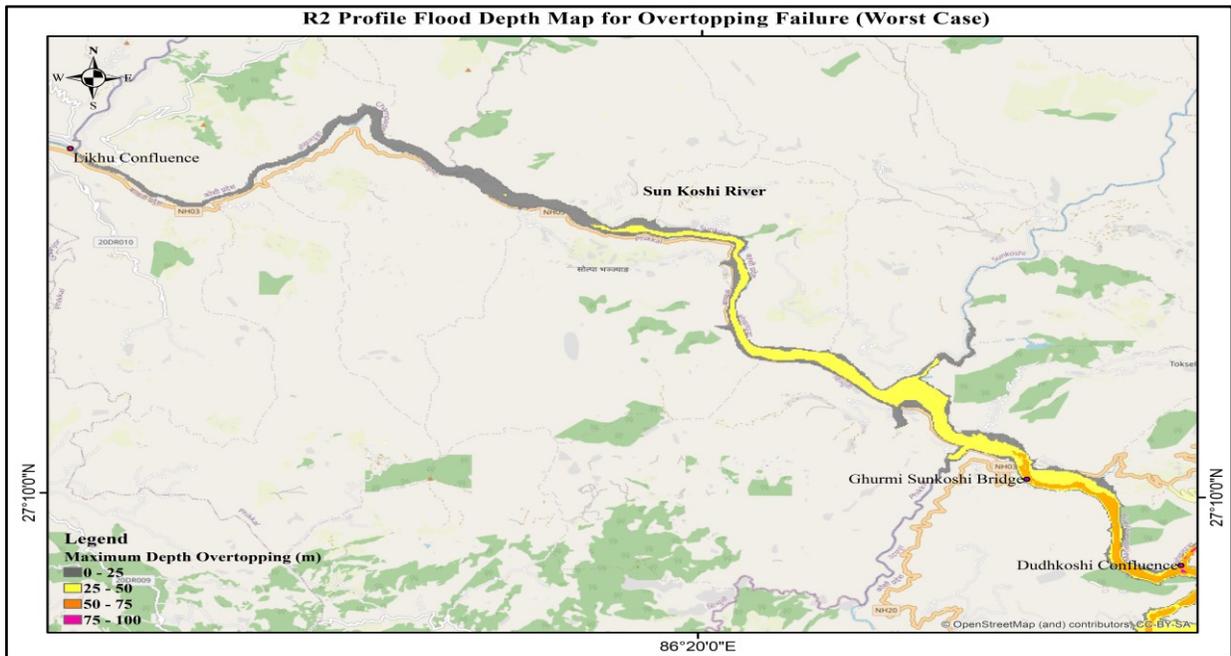


Figure 11: Overtopping Flood Depth Mapping for R2 Profile

234 The maximum velocity at Mahadev besi swinging bridge, Jayaramghat bridge, Dudh Koshi
 235 - Sunkoshi confluence, tailrace is 25m/s, 14m/s, 23m/s, 10m/s respectively while maximum
 236 velocity is 5 m/s at Tribeni, 11 m/s at proposed Saptakoshi high dam and 4 m/s Koshi barrage
 237 outlet for R1 profile as shown in Figure 12.

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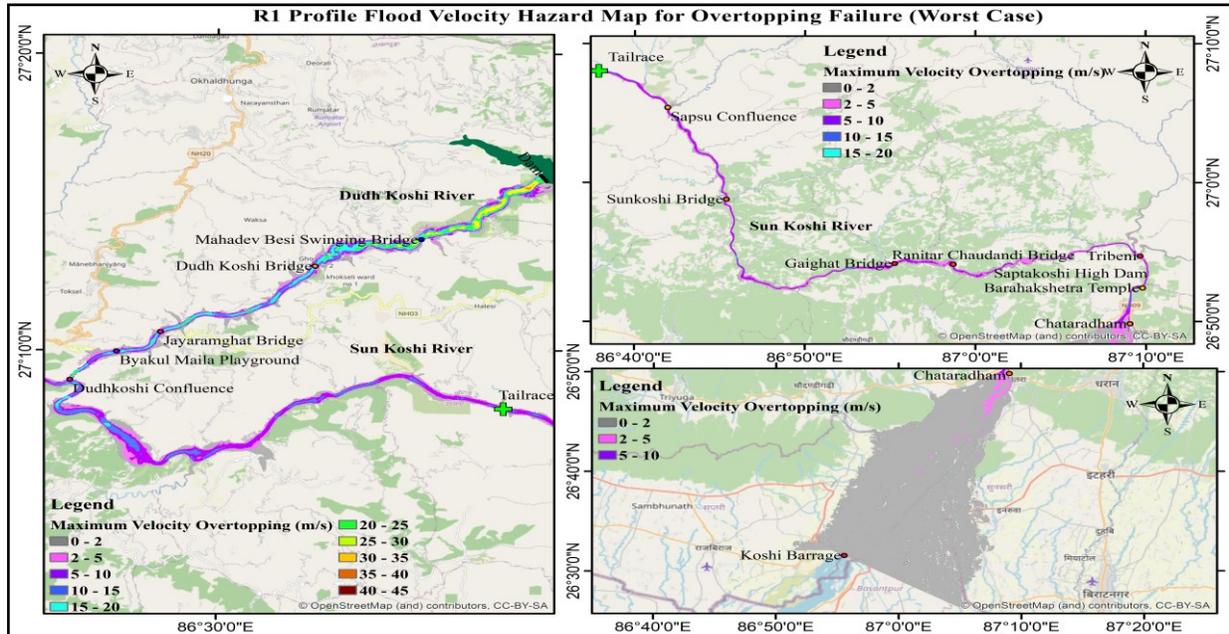


Figure 12: Overtopping Flood Velocity Mapping for R1 Profile

239 The velocity mapping for R2 profile depicts 10m/s at Ghurmi Sunkoshi bridge and 0.2 near
 240 Likhu - Sunkoshi confluence as shown in Figure 13.

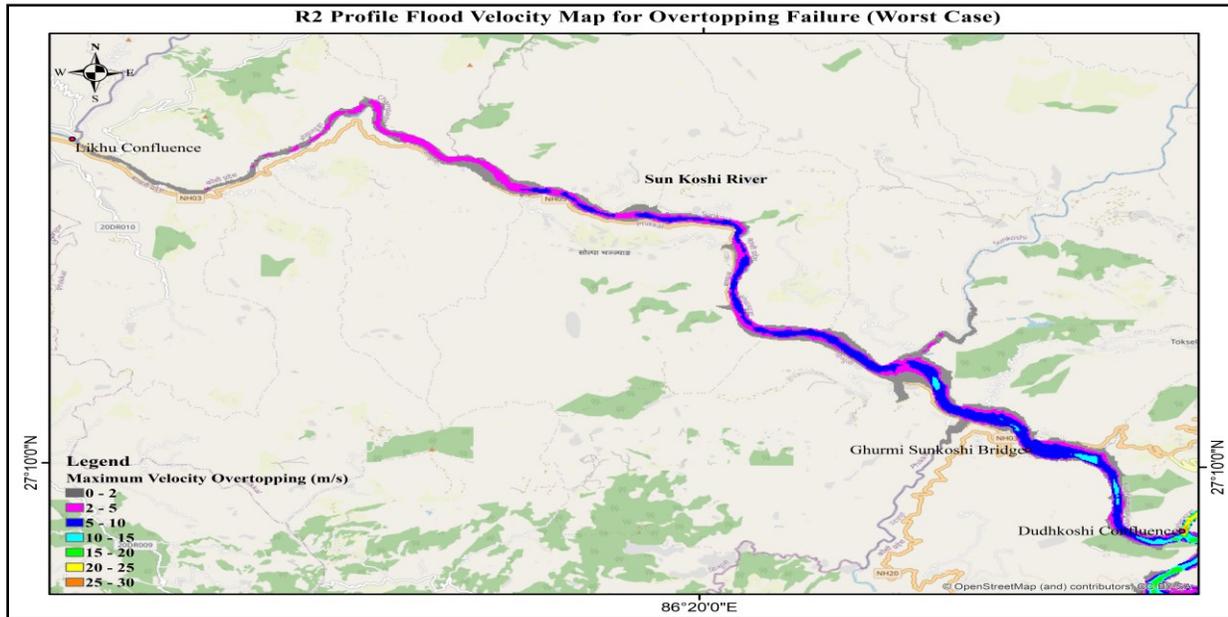
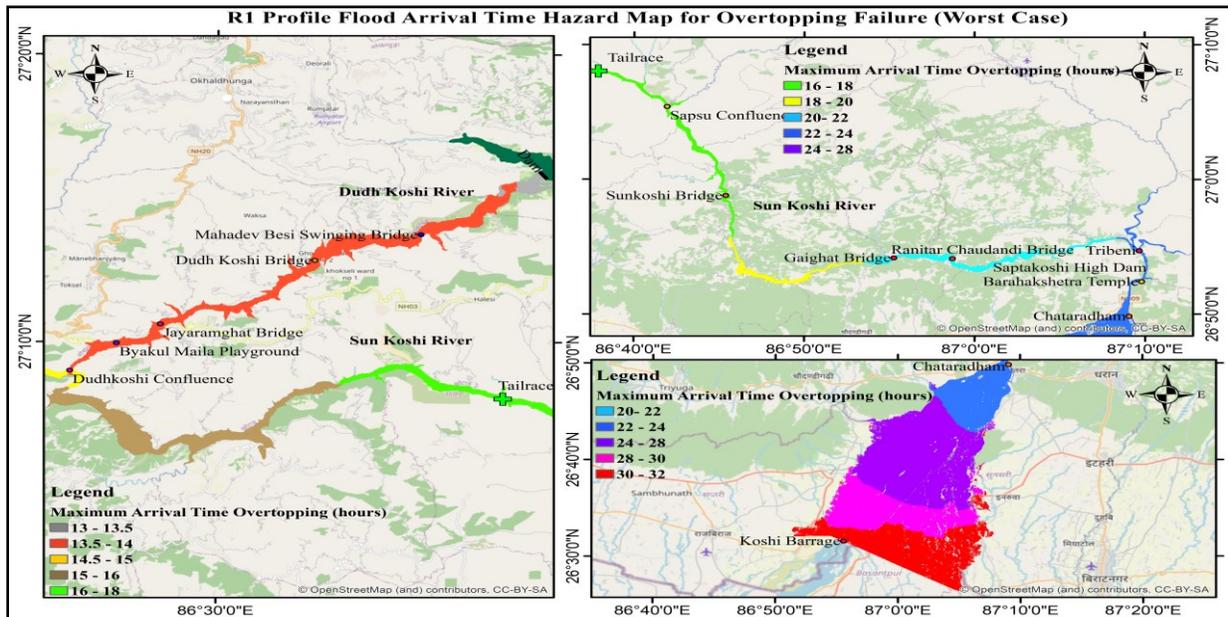


Figure 13: *Overtopping Flood Velocity Mapping for R2 Profile*

241 The maximum arrival time for breach flood is 14.3 hours at Mahadev besi swinging bridge,
 242 14.8 hours at Jayaramghat bridge, 15.5 hours at Dudh Koshi – Sunkoshi confluence, 17.4 hours at
 243 tailrace, 23.4 hours at Tribeni, 23.5 hours at proposed Saptakoshi high dam and 32 hours at Koshi
 244 barrage location for R1 profile as shown in Figure 14.

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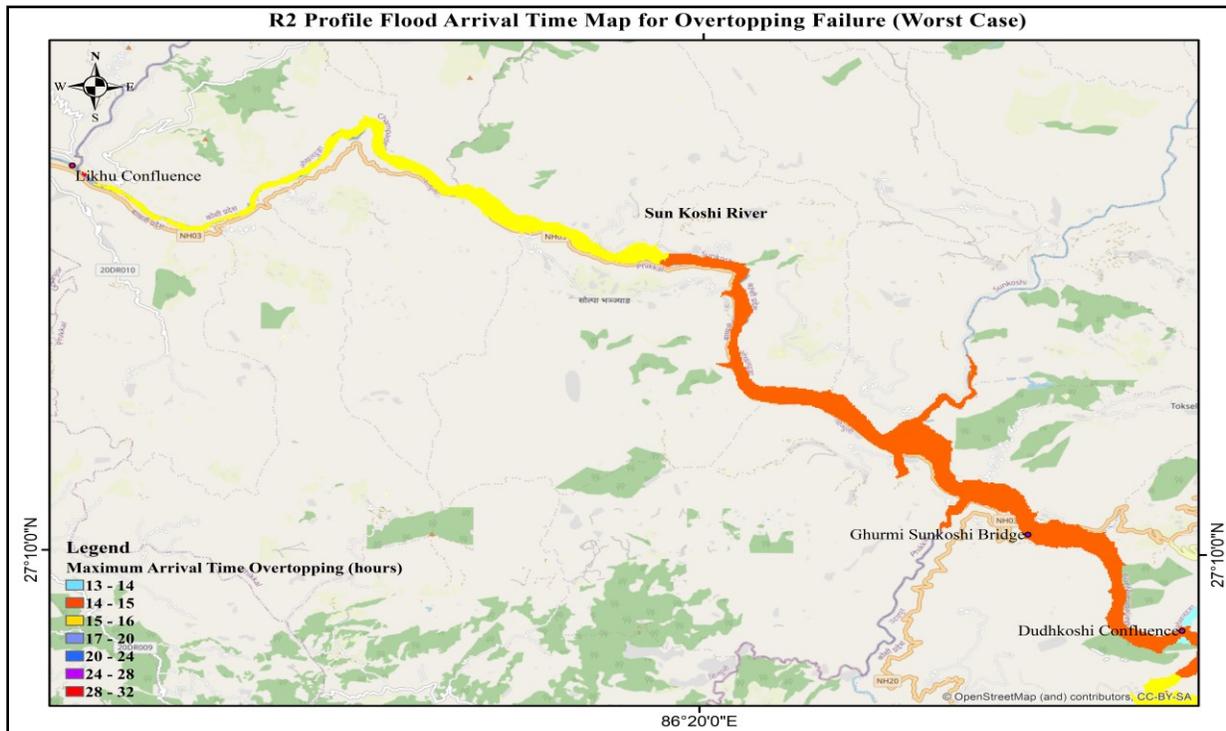


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247

Figure 14: *Overtopping Flood Arrival Time Mapping for R1 Profile*

248 The maximum arrival time for R2 profile the maximum arrival time is 15.5 hours for Ghurmi
 249 Sunkoshi bridge and 16.5 hours near Likhu - Sunkoshi confluence as shown in Figure 14 and
 250 Figure 15 respectively.
 251



252
 253 *Figure 15: Overtopping Flood Arrival Time Mapping for R2 Profile*

254 4.4. Sensitivity Analysis

255 4.4.1. Local Sensitivity Analysis

256 Local sensitivity analysis was performed using one at a time (OAT) approach. Dam breach
 257 parameters were ranked on the basis of ratio of percentage change in output per unit percentage
 258 change in input. Trigger failure elevation is most sensitive and breach formation time is least
 259 sensitive for local sensitivity analysis of peak discharge for overtopping failure while for piping
 260 failure weir coefficient is most sensitive parameters and piping coefficient is least sensitive as
 261 shown in Table 7 and Table 8. The research results shows that trigger elevation defines the peak
 262 discharge, water surface elevation, velocity and arrival time downstream of dam after breach for
 263 overtopping failure while weir coefficient is driving factor for piping failure mode for downstream
 264 impacts under local sensitivity analysis.

Table 7
Local sensitivity analysis for overtopping failure

Sensitivity on	Overtopping Failure		Weir Coefficient	Trigger Elevation
	Dam Breach Width	Breach Formation Time		
Peak Discharge	0.3657	0.2784	0.7171	10.5255
WSE (R1)	0.0530	0.0182	0.0785	1.5329
WSE (R2)	0.0102	0.0004	0.0106	0.0059
Velocity (R1)	0.1085	0.0838	0.1636	0.2420
Velocity (R2)	0.1666	0.0016	0.1923	0.0469
Arrival Time (R1)	0.0262	0.0131	0.0275	0.1276
Arrival Time (R2)	0.0245	0.0148	0.0286	0.2158

Table 8
Local sensitivity analysis for piping failure

Sensitivity on	Piping Failure		Weir Coefficient	Piping Coefficient
	Dam Breach Width	Breach Formation Time		
Peak Discharge	0.4720	0.3186	0.7275	0.0284
WSE (R1)	0.0644	0.0185	0.0794	0.0365
WSE (R2)	0.0113	0.0003	0.0125	0.0027
Velocity (R1)	0.1068	0.1314	0.1928	0.1183
Velocity (R2)	0.2198	0.0373	0.2511	0.0429
Arrival Time (R1)	0.1179	0.0617	0.1228	0.0645
Arrival Time (R2)	0.1766	0.1115	0.1700	0.0170

265 4.4.2. Global Sensitivity Analysis

266 Five lakh sample data within permutation range was used for Monte Carlo simulation with
 267 Monte Carlo filtering for outputs results in Origin Pro. Global sensitivity analysis was examined
 268 on the basis of variation of standard deviation of outputs with respect to variation of standard
 269 deviation of inputs. Dam breach width is most sensitive parameter followed by breach formation
 270 time. weir coefficient and trigger failure elevation for global sensitivity analysis of peak discharge
 271 for overtopping failure while for piping failure dam breach width is most sensitive parameter
 272 followed by breach formation time. weir coefficient and piping coefficient as shown in **Error!**
 273 **Reference source not found.** and **Error! Reference source not found.** The research result shows
 274 that dam breach width is most sensitive parameter for both
 275 overtopping and piping failure hence the width of breach will mainly define the downstream
 276 impacts from the breach under global sensitivity analysis of dam breach parameters

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Table 9
Global Sensitivity Analysis for Overtopping Failure

Sensitivity on	Overtopping Failure			
	Dam Breach Width	Breach Formation Time	Weir Coefficient	Trigger Elevation
Peak Discharge	46350.57	44264.01	5214.57	468.85
Peak Velocity	0.1186	0.0993	1.2735	0.0026
WSE	0.5993	0.0038	0.0153	0.0081
Arrival Time	0.1727	0.0388	0.0036	0.0590

Table 10
Global Sensitivity Analysis for Piping Failure

Sensitivity on	Piping Failure			
	Dam Breach Width	Breach Formation Time	Weir Coefficient	Piping Coefficient
Peak Discharge	96195.07	31808.50	4259.15	291.53
Peak Velocity	0.0188	0.0114	1.4303	0.0128
WSE	0.8137	0.0019	0.0126	0.0017
Arrival Time	0.1933	0.0541	0.0025	0.0005

283

284

285 6 Discussions

286 The dam breach analysis of Dudh Koshi storage hydroelectric project (DKSHEP) was
 287 performed for overtopping and piping modes of failure under worst case and base case scenario.
 288 The results obtained from analysis are summarized as follows:

- 289 (1) Overtopping failure was found to be critical mode of dam breach failure for peak
 290 discharge and flood plain area while piping failure mode was critical for arrival time.
 291 The outflow hydrograph for worst case scenario showed greater discharge, larger flood
 292 plain, higher velocity profile and faster arrival time as compared to base case scenario
 293 for both overtopping and piping failure modes.
- 294 (2) The peak discharge flood routing showed that overtopping failure discharge have
 295 higher peak and longer arrival time as compared to piping failure. The worst and base
 296 case scenario for both failure modes showed varying behavior in terms of discharge at
 297 the start of breach while on downstream end the flood showed similar nature for both
 298 scenarios.
- 299 (3) The flood routing for proposed Saptakoshi High dam located 143 km downstream of
 300 DKSHEP dam location showed peak depth at 40.87 m, peak discharge of 48163.65
 301 m³/s with arrival time at 23.44 hours from start of simulation and 9.67 hours after start
 302 of breach. The maximum velocity of dam breach flood is 11 m/s with WSE of 158.86
 303 m for overtopping failure under worst case scenario.

- 304 (4) Flood hazard mapping for worst case scenario was performed for Koshi barrage outlet.
305 Peak discharge and flood plain area of overtopping failure was critical. The variation
306 of depth, velocity, arrival time and water surface elevation were studied.
- 307 (5) 12 municipality, 20 rural municipality and Koshi Tappu Wildlife Reserve in 3 districts
308 would be affected with 28,032 buildings and 812.35 km of road under dam breach flood
309 risk for overtopping failure. The population at risk is 1,34,211.
- 310 (6) 12 municipality, 21 rural municipality and Koshi Tappu Wildlife Reserve in 3 districts
311 will be affected with 25,343 buildings and 776.87 km of road under dam breach flood
312 risk for piping failure. The population at risk is 1,21,437.

313 **7 Conclusions**

- 314 (1) The breach outflow hydrograph for overtopping and piping failure was determined for
315 base case and worst-case scenario while flood routing was performed up to Sunkoshi
316 bridge outlet.
- 317 (2) Flood hazard mapping was performed with respect to depth, velocity, arrival time and
318 water surface elevation at Koshi barrage outlet for worst case scenario under
319 overtopping and piping failure modes. The local levels affected, population at risk of
320 hazard, buildings and roads flooded due to dam breach hazard was determined.
- 321 (3) Trigger failure elevation was most sensitive parameter for overtopping scenario and
322 weir coefficient was most sensitive parameter for piping scenario under local sensitivity
323 analysis while for global sensitivity analysis dam breach width was most sensitive for
324 both overtopping and piping failure scenario.
325

326 **8 Validation**

- 327 (1) Dam breach parameters were selected as per USACE (2007) guidelines.
- 328 (2) Dam breach bottom elevation was selected within dam cross section as per State of
329 Colorado Guidelines for Dam breach analysis (2020).
- 330 (3) (Mudashiru et al., 2021) reviewed flood hazard mapping methods which presented use
331 of HEC-RAS 2D model for dam breach flood hazard mapping.
- 332 (4) (Psomiadis et al., 2021; Phyou, 2023) concluded that flooded area for overtopping
333 scenario is slightly larger than piping scenario in case of DEM data which aligns with
334 research work.
- 335 (5) (Abdulrazzaq et al., 2021) sensitivity analysis for Hamrin Dam showed that increase in
336 DBW increased the peak discharge while increase in BFT decreased the peak
337 discharge.
- 338 (6) (Karki et al., 2022) dam breach analysis on Nalgad Hydroelectric Project depicted WC
339 parameter as more sensitive than DBW and BFT for Peak Discharge and WSE while
340 for arrival time, TFE was most sensitive parameter which aligned with result obtained
341 for R1 profile in LSA while for GSA on peak discharge and WSE, DBW was found
342 most sensitive parameter.
343

344 9 Limitations

- 345 (1) Dam breach flood hazard mapping was done for Koshi barrage outlet while local
 346 sensitivity analysis, global sensitivity analysis and downstream flood routing was done
 347 for Sunkoshi bridge outlet lying 85 km downstream of dam location.
- 348 (2) Dam breach bottom elevation was fixed to 535masl due to cross sectional constraint
 349 for both overtopping and piping failure analysis. Gated spillway is assumed to be closed
 350 for overtopping failure analysis.
- 351 (3) Only four dam breach parameters were considered for sensitivity analysis.

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357 Open Research

359 Rainfall data processing was done in excel (Mohanty et al.,2014). Buildings and road
 360 shapefile was obtained from geofabric open street map (Singla et al., 2021). Dam breach analysis
 361 was performed in HEC RAS 6.4 (Brunner,2014). Flood hazard mapping was performed in
 362 ArcGIS 10.8.3 (Negesse et al., 2022). Origin Pro 2022 was used for global sensitivity analysis of
 363 dam breach parameters (Karki et al., 2022).

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